

**Client Confirmation and Declaration for Trading of  
Taiwan Securities, Futures/Options or Derivatives linked to Taiwan Securities, Futures/Options**

To: KGI Securities (Singapore) Pte. Ltd. ("KGI")

Date: \_\_\_\_\_

With respect to the trading of Taiwan securities, futures and options and/or the transactions in derivatives financial products related to Taiwan securities, futures and options ("**Taiwan Investments**"), I/We, the undersigned client(s), hereby confirm my/our understanding and agreement that:

1. I/We am/are required to open a Taiwan Trading Account ("**T Account**") to be used for Taiwan Investments only and to separate my/our Taiwan Investments from those under my/our primary account and other accounts with KGI;
2. The T Account is subject to the same terms and conditions of those applied to my/our primary account, and unless otherwise instructed by me/us, the T Account shall have the same account and service settings of my/our primary account; and
3. while internal fund transfer from the T Account to other trading accounts is generally allowed subject to KGI's prevailing procedures; internal fund transfer from other trading accounts into the T Account will be rejected without KGI's express approval. In no event shall KGI be liable for any direct or indirect damages or losses arising from such rejection or disapproval, if any.

Further, I/We hereby declare that:

- (A) all the funds under the T Account for use of making the Taiwan Investments by me/us or the ultimate beneficiary(ies) of the T Account do not originate from Taiwan or Mainland China;
- (B) I/We or the ultimate beneficiary(ies) of the T Account and (*applicable for corporate client*) our shareholder(s), director(s), authorized trader(s), and the respective controlling person(s) and person(s) directly or indirectly holding or contributing more than 30% of the total shares or funding of any of the above persons (collectively, "**Related Parties**"), if any, do not hold nationality of Mainland China;
- (C) I/We or any of the Related Parties: (*please tick applicable box below*)

- ☐ **am/are** an insider of the following Taiwan listed company(ies) under the definition of prevailing Taiwan laws and regulations, including for example, a/an (1) director, (2) supervisor, (3) officer or (4) shareholder holding 10% or above of total outstanding shares of such company(ies) (including those held by his/her spouse, minor child(ren) and those held under the name of other parties).

Name of the Listed Company(ies): \_\_\_\_\_ (Stock code(s): \_\_\_\_\_)

Position or Identity at the Company(ies): \_\_\_\_\_


Furthermore, I/we and the Related Parties agree not to buy, sell and/or hold any securities of the above company(ies) or any derivatives financial products relating to such securities through KGI.

- ☐ **am NOT/are NOT** an insider (as defined above) of any Taiwan listed company(ies).

- (D) Should there be any changes to the above after signing this confirmation/declaration, I/we will inform KGI immediately and sign an updated confirmation/declaration.
- (E) I/We agree (1) that at the request made by any regulatory bodies (including but not limited to any governmental authorities, regulatory bodies, tax authorities, law enforcement agencies and exchanges whether in Taiwan or outside Taiwan) from time to time or pursuant to any court orders, applicable laws, rules or regulations, KGI and its affiliated companies, brokers, custodians and agents may disclose and transfer to any such regulatory bodies or other persons personal data and information relating to the transaction(s) of the Taiwan Investments, me/us and the Related Parties; and (2) that the above regulatory bodies may use the above personal data and information in carrying out their functions or exercising their powers. If the above personal data and information relate to any third party(ies), I/we confirm that I/we have notified such third party(ies) and such third party(ies) have agreed to the above disclosure and use of personal data and information;
- (F) I/We agree that where permitted by such laws as applicable from time to time, KGI may in its absolute discretion and from time to time take any action or refrain from taking any action (whether in the name of the client or not) without any prior notice, including but not limited to entering into any forced liquidation of the Taiwan Investments, canceling, limiting or refusing to conduct transaction(s) and suspending, limiting or terminating all or any part of its services (1) for the purpose of ensuring compliance with or procuring other persons to comply with such laws, rules, regulations and market requirements as may be applicable from time to time; (2) when I/we or any Related Parties breach any law, rule, regulation, market requirement or any provision of this confirmation/declaration (as such provision may be amended by

KGI from time to time) as the same may be applicable from time to time (whether such breach is actual or potential); or  
(3) for the purpose of avoiding or reducing any losses that KGI may incur or suffer as a result thereof;

- (G) I/We agree to fully indemnify KGI and its affiliated companies, brokers, custodians and agents against all losses, damages, liabilities, costs, expenses, actions, investigations and proceedings (whether actual or potential) incurred or suffered by any of them arising from any breach of any law, rule, regulation, market requirement or any provision of this confirmation/declaration (as such provision may be amended by KGI from time to time) as the same may be applicable from time to time on the part of myself/ourselves and any Related Parties; and
- (H) This confirmation/declaration shall be governed by the Singapore laws. Any disputes arising from or relating to this declaration shall be subject to the jurisdiction of the Singapore courts.

Client's signature	
<div>S.V.</div> <div></div>	<div>Signatory</div> <div><div>Name(s)</div><div></div></div>
(All account holders of joint account must sign jointly)	
Client Primary Account Number: _____	
Client T-Account Number: _____ Client T-Account Name: _____	

For Office Use Only	
Verified By AM	Updated by AM
Name: _____ Date: _____	Name: _____ Date: _____