



## UPDATE OF ACCOUNT PARTICULARS (JOINT)

### Please provide latest information for changes only with a copy of your latest NRIC/Passport

For clients with existing CDP Securities Account, it is mandatory to complete the SGX Update Particulars (Individual/Joint) form for updating of Name, Address, NRIC/Passport No., Nationality and/or PR Status with The Central Depository (Pte) Limited directly.

#### 1. ACCOUNT HOLDER INFORMATION

Name of Account Holder: \_\_\_\_\_  
NRIC / Passport No. : \_\_\_\_\_ Trading Account No(s) : \_\_\_\_\_

#### 2. UPDATE OF SETTLEMENT MODE (APPLICABLE FOR SECURITIES TRADING)

Electronic Payment For Shares (EPS) Participating Banks\* \*Please tick one SGD account only  DBS/POSB  UOB  OCBC  Citibank  Maybank  
Electronic Payment For Shares (EPS) Account No.: \_\_\_\_\_ Name of Bank: \_\_\_\_\_

#### 3. OPTION FOR RECEIVING CONTRACT / STATEMENT (APPLICABLE FOR SECURITIES TRADING)

Account statements and contract notes will be sent electronically to your email address maintained with KGI for all your accounts with online trading or with a valid email address.

We would like to opt-out of eStatements and receive paper-based statements and contract notes via mail.

#### 1<sup>ST</sup> ACCOUNT HOLDER PARTICULARS

#### 4. UPDATE OF NAME, NRIC/PASSPORT NUMBER, NATIONALITY, PR STATUS & GST STATUS (Supporting documents submitted must be certified true copy by KGI's Authorised Staff or a Notary Public)

Name \_\_\_\_\_

Nationality  Singapore Citizen  Malaysian  US Citizen\*  Others, \_\_\_\_\_  
Permanent Resident of Singapore?  Yes  No \* Please provide us with the completed and signed Form W-9\*

NRIC No. (for Singaporean/Malaysian/Singapore PR only) : \_\_\_\_\_

Passport No. (for Non Singaporean/Singapore PR) : \_\_\_\_\_ Date of expiry: \_\_\_\_\_

Do you have your usual place of residence\* in Singapore?  es  o, please specify your usual place of residence: \_\_\_\_\_

\*An individual should just have one usual place of residence at any point in time. The "usual place of residence" of an individual should have the following attributes: 1) The individual stays in that country voluntarily and for a settled purpose, such as to pursue a course of study or due to employment; and 2) The individual's stay in that country has some degree of continuity, apart from temporary or occasional absence, such that it forms part of the regular and habitual pattern of his life. If an individual has a Singapore residential address, he shall be regarded as having usual place of residence in Singapore.

#### 5. UPDATE OF ADDRESS

Residential Address (As in NRIC/Passport)  Self-owned  Rented  Family's House  Mortgaged

Mailing Address\* (If different from Residential Address) \* Please provide a copy of the supporting document (less than 3 months old)

Reason for using the mailing address: \_\_\_\_\_

Relationship to the owner of the mailing address: \_\_\_\_\_

#### 6. UPDATE OF CONTACT NUMBER(S) AND EMAIL ADDRESS

Home No. \_\_\_\_\_ Mobile No. \_\_\_\_\_ Email Address \_\_\_\_\_

#### 7. UPDATE OF EMPLOYMENT INFORMATION

Occupation: \_\_\_\_\_ Office No.: \_\_\_\_\_

Employer Name/Address: \_\_\_\_\_

#### 8. UPDATE OF TAX RESIDENT INFORMATION

Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number ("TIN")

Please complete the following table indicating (i) where the account holder is tax resident and (ii) the account holder's TIN for each country/jurisdiction indicated. Please indicate all countries/jurisdictions (including Singapore and US, if applicable). If the account holder is a tax resident of Singapore, the TIN is the NRIC, FIN, the Income Tax Reference Number ("ITR"), or the Tax Reference Number assigned by IRAS ("ASGD").

If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

**Reason A** - The country/jurisdiction where the account holder is resident does not issue TINs to its residents.

**Reason B** - The account holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason).

**Reason C** - No TIN is required. (Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such jurisdiction).

Country/Jurisdiction of tax residence	TIN	If no TIN available, enter Reason A, B or C	Explain why you are unable to obtain a TIN if you selected Reason B
1			
2			
3			
4			
5			

**Note:**

- (1) A US citizen (US passport holder) or US green card holder is considered a tax resident of the United States even he/she is a tax resident of another jurisdiction.
  - (2) If you declare in the above that you are a US tax resident, please provide us with the completed and signed W-9 Form, which is available upon request or can be downloaded from the US Internal Revenue Service Forms: <https://www.irs.gov/forms-instructions>
  - (3) If you did not declare in the above that you are a US tax resident but you have at least one of the following US indicia, please provide us with the completed and signed W-8BEN Form, which is available upon request or can be downloaded from the US Internal Revenue Service Forms: <https://www.irs.gov/forms-instructions>
    - US place of birth
    - US address (residence, mailing or PO Box)
    - US telephone number
    - Standing instruction to transfer funds to an account maintained in the US
    - Power of attorney or signatory authority granted to a person with a US address
- For more information, please visit the OECD or IRAS website:
    - <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>
    - <https://www.iras.gov.sg/IRASHome/Quick-Links/International-Tax/Common-Reporting-Standard-CRS/>
  - Please note that it is an offense under applicable Singapore laws to knowingly provide false or misleading information regarding tax residency status and that such offense is punishable with a fine and/or imprisonment.

**9. UPDATE OF POLITICALLY EXPOSED PERSON ("PEP") STATUS**

If you or any of your immediate family members hold, has/have previously held, or are currently seeking a position or are being considered for a prominent public position or is/are close associate of such a person, please provide the following details.

Name	Relationship to the Account Holder	Designation/Title	Employer/Country

Note: Politically Exposed Person ("PEP") means (i) a natural person who is or has been entrusted with prominent public functions<sup>(1)</sup> whether in Singapore, a foreign country or an international organization<sup>(2)</sup>; (ii) family members<sup>(3)</sup> of such a person; or (iii) close associates<sup>(4)</sup> of such a person.

- (1) Prominent public functions includes the roles held by a head of state, a head of government, government ministers, senior civil servants, senior judicial or military officials, senior executives of state owned corporations, senior political party officials, member of the legislature and senior management of international organization.
- (2) International organization means an entity established by formal political agreements between member countries that have the status of international treaties, whose existence is recognized by law in member countries and which is not treated as a resident institutional unit of the country in which it is located.
- (3) Family member means a parent, step-parent, child, step-child, adopted child, spouse, sibling, step-sibling and adopted sibling of the PEP.
- (4) Close associate means a natural person who is closely connected to a PEP, either socially or professionally.

**10. UPDATE OF FINANCIAL INFORMATION**

**Gross Annual Income\*** \* Please provide a copy of your latest payslip or Income Tax Statement  
 S\$25,000 & below     S\$25,001-S\$50,000     S\$50,001-S\$100,000     S\$100,001-S\$150,000     S\$150,001-S\$300,000     Above S\$300,000\*

**Estimated Net Worth**  
 S\$250,000 & below     S\$250,001 - S\$1mil     S\$1,000,001-S\$2mil     Above S\$2mil    Estimated Liquid Assets: \_\_\_\_\_

**Source of Wealth\*/Source of Fund** \* Please provide a copy of the supporting document  
 Salary     Savings     Business Income     Investment Income     Inheritance Income     Other \_\_\_\_\_

**2<sup>ND</sup> ACCOUNT HOLDER PARTICULARS**

**11. UPDATE OF NAME, NRIC/PASSPORT NUMBER, NATIONALITY, PR STATUS & GST STATUS**  
 (Supporting documents submitted must be certified true copy by KGI's Authorised Staff or a Notary Public)

Name \_\_\_\_\_

Nationality     Singapore Citizen     Malaysian     US Citizen\*     Others, \_\_\_\_\_  
 Permanent Resident of Singapore?     Yes     No    \* Please provide us with the completed and signed Form W-9\*

NRIC No. (for Singaporean/Malaysian/Singapore PR only) : \_\_\_\_\_

Passport No. (for Non Singaporean/Singapore PR) : \_\_\_\_\_ Date of expiry: \_\_\_\_\_

Do you have your usual place of residence\* in Singapore?     es     o, please specify your usual place of residence: \_\_\_\_\_

\*An individual should just have one usual place of residence at any point in time. The "usual place of residence" of an individual should have the following attributes: 1) The individual stays in that country voluntarily and for a settled purpose, such as to pursue a course of study or due to employment; and 2) The individual's stay in that country has some degree of continuity, apart from temporary or occasional absence, such that it forms part of the regular and habitual pattern of his life. If an individual has a Singapore residential address, he shall be regarded as having usual place of residence in Singapore.

**12. UPDATE OF ADDRESS**

Residential Address (As in NRIC/Passport)     Self-owned     Rented     Family's House     Mortgaged

Mailing Address\* (If different from Residential Address)    \* Please provide a copy of the supporting document (less than 3 months old)

Reason for using the mailing address: \_\_\_\_\_

Relationship to the owner of the mailing address: \_\_\_\_\_

**13. UPDATE OF CONTACT NUMBER(S) AND EMAIL ADDRESS**

Home No.	Mobile No.	Email Address
----------	------------	---------------

**14. UPDATE OF EMPLOYMENT INFORMATION**

Occupation: \_\_\_\_\_ Office No.: \_\_\_\_\_

Employer Name/Address: \_\_\_\_\_

## 15. UPDATE OF TAX RESIDENT INFORMATION

Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number ("TIN")

Please complete the following table indicating (i) where the account holder is tax resident and (ii) the account holder's TIN for each country/jurisdiction indicated. Please indicate **all** countries/jurisdictions (including Singapore and US, if applicable). If the account holder is a tax resident of Singapore, the TIN is the NRIC, FIN, the Income Tax Reference Number ("ITR"), or the Tax Reference Number assigned by IRAS ("ASGD").

If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

**Reason A** - The country/jurisdiction where the account holder is resident does not issue TINs to its residents.

**Reason B** - The account holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason).

**Reason C** - No TIN is required. (Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such jurisdiction).

	Country/Jurisdiction of tax residence	TIN	If no TIN available, enter Reason A, B or C	Explain why you are unable to obtain a TIN if you selected Reason B
1				
2				
3				
4				
5				

Note:

- (1) A US citizen (US passport holder) or US green card holder is considered a tax resident of the United States even he/she is a tax resident of another jurisdiction.
- (2) If you declare in the above that you are a US tax resident, please provide us with the completed and signed W-9 Form, which is available upon request or can be downloaded from the US Internal Revenue Service Forms: <https://www.irs.gov/forms-instructions>
- (3) If you did not declare in the above that you are a US tax resident but you have at least one of the following US indicia, please provide us with the completed and signed W-8BEN Form, which is available upon request or can be downloaded from the US Internal Revenue Service Forms: <https://www.irs.gov/forms-instructions>
  - US place of birth
  - US address (residence, mailing or PO Box)
  - US telephone number
  - Standing instruction to transfer funds to an account maintained in the US
  - Power of attorney or signatory authority granted to a person with a US address

- For more information, please visit the OECD or IRAS website:

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>

<https://www.iras.gov.sg/IRASHome/Quick-Links/International-Tax/Common-Reporting-Standard-CRS-/>

- Please note that it is an offense under applicable Singapore laws to knowingly provide false or misleading information regarding tax residency status and that such offense is punishable with a fine and/or imprisonment.

## 16. UPDATE OF POLITICALLY EXPOSED PERSON ("PEP") STATUS

If you or any of your immediate family members hold, has/have previously held, or are currently seeking a position or are being considered for a prominent public position or is/are close associate of such a person, please provide the following details.

Name	Relationship to the Account Holder	Designation/Title	Employer/Country

Note: Politically Exposed Person ("PEP") means (i) a natural person who is or has been entrusted with prominent public functions<sup>(1)</sup> whether in Singapore, a foreign country or an international organization<sup>(2)</sup>; (ii) family members<sup>(3)</sup> of such a person; or (iii) close associates<sup>(4)</sup> of such a person.

- (1) Prominent public functions includes the roles held by a head of state, a head of government, government ministers, senior civil servants, senior judicial or military officials, senior executives of state owned corporations, senior political party officials, member of the legislature and senior management of international organization.
- (2) International organization means an entity established by formal political agreements between member countries that have the status of international treaties, whose existence is recognized by law in member countries and which is not treated as a resident institutional unit of the country in which it is located.
- (3) Family member means a parent, step-parent, child, step-child, adopted child, spouse, sibling, step-sibling and adopted sibling of the PEP.
- (4) Close associate means a natural person who is closely connected to a PEP, either socially or professionally.

## 17. UPDATE OF FINANCIAL INFORMATION

**Gross Annual Income\***

\* Please provide a copy of your latest payslip or Income Tax Statement

S\$25,000 & below  S\$25,001-S\$50,000  S\$50,001-S\$100,000  S\$100,001-S\$150,000  S\$150,001-S\$300,000  Above S\$300,000\*

**Estimated Net Worth**

S\$250,000 & below  S\$250,001 - S\$1mil  S\$1,000,001-S\$2mil  Above S\$2mil Estimated Liquid Assets: \_\_\_\_\_

**Source of Wealth\*/Source of Fund**

\* Please provide a copy of the supporting document

Salary  Savings  Business Income  Investment Income  Inheritance Income  Other \_\_\_\_\_

## 18. DECLARATION AND AGREEMENT

- (i) We have the authority to make this update and we hereby authorize and consent to the update of the above information to all of our trading accounts ("Account") maintained with KGI.
- (ii) We declare that the Account is maintained solely on our behalf and the information provided herein (including all supporting documentation furnished in connection herewith) is true, complete and correct and we have not withheld any material fact.
- (iii) We hereby represent and warrant that we have provided all documentation or other information to KGI for compliance with all requirements under Foreign Account Tax Compliance Act ("FATCA"), Common Reporting Standard ("CRS"), local legislation in connection with FATCA and CRS, and any other provision arising out of an agreement between governments in relation to FATCA and CRS.

version 10 – Jan 2020

- (iv) We undertake to notify KGI in writing within thirty (30) days of any change of the information herein (including our tax status) and provide KGI with such information, documents or other evidence which may be required in connection with such change.
- (v) We hereby acknowledge and consent to KGI's collecting, processing and disclosing our personal data protected under the Personal Data Protection Act 2012.
- (vi) We agree that the Account shall be governed by the KGI terms & conditions for trading ("T&C") as may be varied/amended or supplemented from time to time. We acknowledge that the prevailing KGI T&C is available on [www.kjieworld.sg](http://www.kjieworld.sg) and that a printed copy of the KGI T&C is available upon request.

Signature of 1<sup>st</sup> Account Holder: \_\_\_\_\_ Date: \_\_\_\_\_

Signature of 2<sup>nd</sup> Account Holder: \_\_\_\_\_ Date: \_\_\_\_\_

- \* Please ensure this update of particulars form is submitted along with your latest NRIC/Passport.
- \* Please ensure that you have completed Sections 8, 15 Tax Residency Information.

FOR OFFICE USE ONLY
Signature Witnessed / Verified * by Name & Signature _____ Date _____
Phone Verification by Name & Signature _____ Date _____

*For clients with existing CDP Securities Account, it is mandatory to complete the SGX Update Particulars (Individual/Joint) form for updating of Name, Address, NRIC/Passport No., Nationality and/or PR Status with The Central Depository (Pte) Limited directly.*