



**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 27 NOVEMBER 2019
(HK MARKET)**

No.	Code	Security Name (Sorted by Alphabetical Order)	Group	Valuation Ratio	Price Cap	Marginable Value Cap Per Client	Interest Rate
1	6862	HAIDILAO INTERNATIONAL HOLDING LTD	C	50%	-	-	5.75%
2	1361	361 DEGREES INTERNATIONAL	B	70%	-	-	4.25%
3	1530	3SBIO INC	B	70%	-	-	4.25%
4	2018	AAC TECHNOLOGIES HOLDINGS IN	B	70%	-	-	4.25%
5	3383	AGILE GROUP HOLDINGS LTD	B	70%	-	-	4.25%
6	1288	AGRICULTURAL BANK OF CHINA-H	A	90%	-	-	3.75%
7	1299	AIA GROUP LTD	A	90%	-	-	3.75%
8	753	AIR CHINA LTD-H	A	90%	-	-	3.75%
9	9988	ALIBABA GROUP HOLDING LIMITED	A	90%	-	-	3.75%
10	1060	ALIBABA PICTURES GROUP LTD	B	70%	-	-	4.25%
11	3319	A-LIVING SERVICES CO LTD-H	C	50%	-	-	5.75%
12	56	ALLIED PROPERTIES (HK) LTD	C	50%	-	-	5.75%
13	2600	ALUMINUM CORP OF CHINA LTD-H	B	70%	-	-	4.25%
14	347	ANGANG STEEL CO LTD-H	A	90%	-	-	3.75%
15	914	ANHUI CONCH CEMENT CO LTD-H	A	90%	-	-	3.75%
16	995	ANHUI EXPRESSWAY CO LTD-H	C	50%	-	-	5.75%
17	2020	ANTA SPORTS PRODUCTS LTD	A	90%	-	-	3.75%
18	522	ASM PACIFIC TECHNOLOGY	A	90%	-	-	3.75%
19	2357	AVICHINA INDUSTRY & TECH-H	A	90%	-	-	3.75%
20	1958	BAIC MOTOR CORP LTD-H	A	90%	-	-	3.75%
21	3988	BANK OF CHINA LTD-H	A	90%	-	-	3.75%
22	1963	BANK OF CHONGQING CO LTD-H	B	70%	-	-	4.25%
23	3328	BANK OF COMMUNICATIONS CO-H	A	90%	-	-	3.75%
24	23	BANK OF EAST ASIA LTD	A	90%	-	-	3.75%
25	2009	BBMG CORP-H	B	70%	-	-	4.25%
26	694	BEIJING CAPITAL INTL AIRPO-H	B	70%	-	-	4.25%
27	2868	BEIJING CAPITAL LAND LTD-H	B	70%	-	-	4.25%
28	392	BEIJING ENTERPRISES HLDGS	A	90%	-	-	3.75%
29	371	BEIJING ENTERPRISES WATER GR	A	90%	-	-	3.75%
30	579	BEIJING JINGNENG CLEAN ENE-H	B	70%	-	-	4.25%
31	588	BEIJING NORTH STAR CO LTD-H	B	70%	-	-	4.25%
32	1599	BEIJING URBAN CONSTRUCTION DESIGN AND DEVELOP-H	C	50%	-	-	5.75%
33	2588	BOC AVIATION LIMITED	A	90%	-	-	3.75%
34	2388	BOC HONG KONG HOLDINGS LTD	A	90%	-	-	3.75%
35	3329	BOCOM INTERNATIONAL HOLDINGS COMPANY LIMITED	C	50%	-	-	5.75%
36	710	BOE VARITRONIX LTD	C	50%	-	-	5.75%
37	1428	BRIGHT SMART SECURITIES AND	C	50%	-	-	5.75%
38	1114	BRILLIANCE CHINA AUTOMOTIVE	A	90%	-	-	3.75%
39	1211	BYD CO LTD-H	A	90%	-	-	3.75%

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40	285	BYD ELECTRONIC INTL CO LTD	B	70%	-	-	4.25%
41	341	CAFE DE CORAL HOLDINGS LTD	B	70%	-	-	4.25%
42	1381	CANVEST ENVIRONMENTAL PROTEC	B	70%	-	-	4.25%
43	699	CAR INC	A	90%	-	-	3.75%
44	293	CATHAY PACIFIC AIRWAYS	A	90%	-	-	3.75%
45	1375	CENTRAL CHINA SECURITIES C-H	C	50%	-	-	5.75%
46	1811	CGN NEW ENERGY HOLDINGS CO L	B	70%	-	-	4.25%
47	1816	CGN POWER CO LTD-H	A	90%	-	-	3.75%
48	2778	CHAMPION REIT	B	70%	-	-	4.25%
49	951	CHAOWEI POWER HOLDINGS LTD	B	70%	-	-	4.25%
50	31	CHINA AEROSPACE INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
51	606	CHINA AGRI-INDUSTRIES HLDGS	A	90%	-	-	3.75%
52	1848	CHINA AIRCRAFT LEASING GROUP HOLDINGS LIMITED	B	70%	-	-	4.25%
53	3883	CHINA AOYUAN PROPERTY GROUP	B	70%	-	-	4.25%
54	3983	CHINA BLUECHEMICAL LTD - H	C	50%	-	-	5.75%
55	1359	CHINA CINDA ASSET MANAGEME-H	A	90%	-	-	3.75%
56	998	CHINA CITIC BANK CORP LTD-H	A	90%	-	-	3.75%
57	1898	CHINA COAL ENERGY CO-H	A	90%	-	-	3.75%
58	1800	CHINA COMMUNICATIONS CONST-H	A	90%	-	-	3.75%
59	552	CHINA COMMUNICATIONS SERVI-H	A	90%	-	-	3.75%
60	586	CHINA CONCH VENTURE HOLDINGS	A	90%	-	-	3.75%
61	939	CHINA CONSTRUCTION BANK-H	A	90%	-	-	3.75%
62	1798	CHINA DATANG CORPORATION RENEWABLE POWER CO LTD	C	50%	-	-	5.75%
63	1606	CHINA DEVELOPMENT BANK FINANCIAL LEASING CO LTD	C	50%	-	-	5.75%
64	3818	CHINA DONGXIANG GROUP CO	B	70%	-	-	4.25%
65	670	CHINA EASTERN AIRLINES CO-H	A	90%	-	-	3.75%
66	6818	CHINA EVERBRIGHT BANK CO L-H	A	90%	-	-	3.75%
67	1257	CHINA EVERBRIGHT GREENTECH LIMITED	C	50%	-	-	5.75%
68	257	CHINA EVERBRIGHT INTL LTD	A	90%	-	-	3.75%
69	165	CHINA EVERBRIGHT LTD	A	90%	-	-	3.75%
70	3333	CHINA EVERGRANDE GROUP	C	50%	-	-	5.75%
71	506	CHINA FOODS LTD	A	90%	-	-	3.75%
72	6881	CHINA GALAXY SECURITIES CO-H	A	90%	-	-	3.75%
73	384	CHINA GAS HOLDINGS LTD	A	90%	-	-	3.75%
74	2099	CHINA GOLD INTERNATIONAL RESOURCES CORP LTD	C	50%	-	-	5.75%
75	3836	CHINA HARMONY NEW ENERGY AUT	B	70%	-	-	4.25%
76	658	CHINA HIGH SPEED TRANSMISSIO	B	70%	-	-	4.25%
77	3908	CHINA INTERNATIONAL CAPITA-H	A	90%	-	-	3.75%
78	2039	CHINA INTERNATIONAL MARINE-H	B	70%	-	-	4.25%

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79	817	CHINA JINMAO HOLDINGS GROUP	B	70%	-	-	4.25%
80	2128	CHINA LESSO GROUP HOLDINGS L	B	70%	-	-	4.25%
81	2628	CHINA LIFE INSURANCE CO-H	A	90%	-	-	3.75%
82	1234	CHINA LILANG LTD	C	50%	-	-	5.75%
83	772	CHINA LITERATURE LTD	B	70%	-	-	4.25%
84	916	CHINA LONGYUAN POWER GROUP-H	A	90%	-	-	3.75%
85	1829	CHINA MACHINERY ENGINEERIN-H	A	90%	-	-	3.75%
86	1317	CHINA MAPLE LEAF EDUCATIONAL	C	50%	-	-	5.75%
87	867	CHINA MEDICAL SYSTEM HOLDING	B	70%	-	-	4.25%
88	2319	CHINA MENGNIU DAIRY CO	A	90%	-	-	3.75%
89	3968	CHINA MERCHANTS BANK-H	A	90%	-	-	3.75%
90	978	CHINA MERCHANTS LAND LIMITED	C	50%	-	-	5.75%
91	144	CHINA MERCHANTS PORT HOLDING	A	90%	-	-	3.75%
92	6099	CHINA MERCHANTS SECURITIES-H	A	90%	-	-	3.75%
93	1988	CHINA MINSHENG BANKING COR-H	B	70%	-	-	4.25%
94	941	CHINA MOBILE LTD	A	90%	-	-	3.75%
95	1117	CHINA MODERN DAIRY HOLDINGS	C	50%	-	-	5.75%
96	3993	CHINA MOLYBDENUM CO LTD-H	B	70%	-	-	4.25%
97	3323	CHINA NATIONAL BUILDING MA-H	A	90%	-	-	3.75%
98	715	CHINA OCEANWIDE HOLDINGS LTD	C	50%	-	-	5.75%
99	2883	CHINA OILFIELD SERVICES-H	A	90%	-	-	3.75%
100	81	CHINA OVERSEAS GRAND OCEANS	B	70%	-	-	4.25%
101	688	CHINA OVERSEAS LAND & INVEST	A	90%	-	-	3.75%
102	2601	CHINA PACIFIC INSURANCE GR-H	A	90%	-	-	3.75%
103	386	CHINA PETROLEUM & CHEMICAL-H	A	90%	-	-	3.75%
104	735	CHINA POWER CLEAN ENERGY DEVELOPMENT COMPANY LTD	C	50%	-	-	5.75%
105	2380	CHINA POWER INTERNATIONAL	A	90%	-	-	3.75%
106	1186	CHINA RAILWAY CONSTRUCTION-H	A	90%	-	-	3.75%
107	390	CHINA RAILWAY GROUP LTD-H	A	90%	-	-	3.75%
108	3969	CHINA RAILWAY SIGNAL & COM-H	B	70%	-	-	4.25%
109	1508	CHINA REINSURANCE GROUP CO-H	B	70%	-	-	4.25%
110	291	CHINA RESOURCES BEER HOLDING	A	90%	-	-	3.75%
111	1313	CHINA RESOURCES CEMENT	A	90%	-	-	3.75%
112	1193	CHINA RESOURCES GAS GROUP LT	A	90%	-	-	3.75%
113	1109	CHINA RESOURCES LAND LTD	A	90%	-	-	3.75%
114	3320	CHINA RESOURCES PHARMACEUTIC	B	70%	-	-	4.25%
115	1515	CHINA RESOURCES PHOENIX HEAL	B	70%	-	-	4.25%
116	836	CHINA RESOURCES POWER HOLDIN	A	90%	-	-	3.75%
117	1088	CHINA SHENHUA ENERGY CO-H	A	90%	-	-	3.75%

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118	2877	CHINA SHINEWAY PHARMACEUTICAL GROUP LTD	C	50%	-	-	5.75%
119	1668	CHINA SOUTH CITY HOLDINGS	B	70%	-	-	4.25%
120	1055	CHINA SOUTHERN AIRLINES CO-H	A	90%	-	-	3.75%
121	3311	CHINA STATE CONSTRUCTION INT	A	90%	-	-	3.75%
122	956	CHINA SUNTIEN GREEN ENERGY CORP	C	50%	-	-	5.75%
123	966	CHINA TAIPING INSURANCE HOLD	A	90%	-	-	3.75%
124	728	CHINA TELECOM CORP LTD-H	A	90%	-	-	3.75%
125	788	CHINA TOWER CORPORATION LIMITED-H	B	70%	-	-	4.25%
126	570	CHINA TRADITIONAL CHINESE ME	B	70%	-	-	4.25%
127	308	CHINA TRAVEL INTL INV HK	B	70%	-	-	4.25%
128	762	CHINA UNICOM HONG KONG LTD	A	90%	-	-	3.75%
129	2202	CHINA VANKE CO LTD-H	A	90%	-	-	3.75%
130	855	CHINA WATER AFFAIRS GROUP	B	70%	-	-	4.25%
131	3669	CHINA YONGDA AUTOMOBILES SER	B	70%	-	-	4.25%
132	6169	CHINA YUHUA EDUCATION CORPORATION LIMITED	C	50%	-	-	5.75%
133	1728	CHINA ZHENG TONG AUTO SERVICE	B	70%	-	-	4.25%
134	1333	CHINA ZHONGWANG HOLDINGS LIMITED	C	50%	-	-	5.75%
135	354	CHINASOFT INTERNATIONAL LTD	B	70%	-	-	4.25%
136	127	CHINESE ESTATES HOLDINGS LTD	B	70%	-	-	4.25%
137	1111	CHONG HING BANK LTD	C	50%	-	-	5.75%
138	2722	CHONGQING MACHINERY AND ELECTRONICS HLDG GROUP	C	50%	-	-	5.75%
139	3618	CHONGQING RURAL COMMERCIAL-H	A	90%	-	-	3.75%
140	116	CHOW SANG SANG HOLDINGS INTERNATIONAL LTD	C	50%	-	-	5.75%
141	1929	CHOW TAI FOOK JEWELLERY GROU	A	90%	-	-	3.75%
142	884	CIFI HOLDINGS GROUP CO LTD	B	70%	-	-	4.25%
143	3899	CIMC ENRIC HOLDINGS LTD	C	50%	-	-	5.75%
144	267	CITIC LTD	A	90%	-	-	3.75%
145	6030	CITIC SECURITIES CO LTD-H	A	90%	-	-	3.75%
146	1883	CITIC TELECOM INTERNATIONAL	B	70%	-	-	4.25%
147	1113	CK ASSET HOLDINGS LTD	A	90%	-	-	3.75%
148	1	CK HUTCHISON HOLDINGS LTD	A	90%	-	-	3.75%
149	1038	CK INFRASTRUCTURE HOLDINGS L	A	90%	-	-	3.75%
150	775	CK LIFE SCIENCES INTL (HOLDINGS) INC	C	50%	-	-	5.75%
151	2	CLP HOLDINGS LTD	A	90%	-	-	3.75%
152	883	CNOOC LTD	A	90%	-	-	3.75%
153	1240	CNQC INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
154	1610	COFCO MEAT HOLDINGS LIMITED	B	70%	-	-	4.25%
155	1778	COLOUR LIFE SERVICES GROUP CO LIMITED	C	50%	-	-	5.75%
156	2342	COMBA TELECOM SYSTEMS HOLDINGS LTD	C	50%	-	-	5.75%

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157	182	CONCORD NEW ENERGY GROUP LTD	C	50%	-	-	5.75%
158	1681	CONSUN PHARMACEUTICAL GROUP LIMITED	C	50%	-	-	5.75%
159	2866	COSCO SHIPPING DEVELOPMENT-H	B	70%	-	-	4.25%
160	1138	COSCO SHIPPING ENERGY TRAN-H	B	70%	-	-	4.25%
161	1919	COSCO SHIPPING HOLDINGS CO-H	B	70%	-	-	4.25%
162	517	COSCO SHIPPING INTERNATIONAL HONG KONG CO LTD	C	50%	-	-	5.75%
163	1199	COSCO SHIPPING PORTS LTD	A	90%	-	-	3.75%
164	2298	COSMO LADY (CHINA) HOLDINGS COMPANY LIMITED	C	50%	-	-	5.75%
165	2007	COUNTRY GARDEN HOLDINGS CO	B	70%	-	-	4.25%
166	906	CPMC HOLDINGS LTD	B	70%	-	-	4.25%
167	1786	CRCC HIGH TECH EQUIPMENT CORPORATION LIMITED-H	C	50%	-	-	5.75%
168	1766	CRRC CORP LTD - H	A	90%	-	-	3.75%
169	2232	CRYSTAL INTERNATIONAL GROUP LIMITED	C	50%	-	-	5.75%
170	6066	CSC FINANCIAL CO LTD-H	B	70%	-	-	4.25%
171	1093	CSPC PHARMACEUTICAL GROUP LT	A	90%	-	-	3.75%
172	317	CSSC OFFSHORE & MARINE ENG-H	B	70%	-	-	4.25%
173	1828	DAH CHONG HONG	B	70%	-	-	4.25%
174	2356	DAH SING BANKING GROUP LTD	B	70%	-	-	4.25%
175	440	DAH SING FINANCIAL HOLDINGS	A	90%	-	-	3.75%
176	3799	DALI FOODS GROUP CO LTD	B	70%	-	-	4.25%
177	2880	DALIAN PORT PDA CO LTD - H	C	50%	-	-	5.75%
178	991	DATANG INTL POWER GEN CO-H	A	90%	-	-	3.75%
179	3958	DFZQ-H	B	70%	-	-	4.25%
180	861	DIGITAL CHINA HOLDINGS LTD	C	50%	-	-	5.75%
181	1072	DONGFANG ELECTRIC CORP LTD-H	B	70%	-	-	4.25%
182	489	DONGFENG MOTOR GRP CO LTD-H	A	90%	-	-	3.75%
183	6889	DYNAM JAPAN HOLDINGS CO LTD	C	50%	-	-	5.75%
184	717	EMPEROR CAPITAL GROUP LTD	B	70%	-	-	4.25%
185	163	EMPEROR INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
186	2688	ENN ENERGY HOLDINGS LTD	A	90%	-	-	3.75%
187	330	ESPRIT HOLDINGS LTD	C	50%	-	-	5.75%
188	6178	EVERBRIGHT SECURITIES CO L-H	B	70%	-	-	4.25%
189	1777	FANTASIA HOLDINGS GROUP CO LIMITED	C	50%	-	-	5.75%
190	35	FAR EAST CONSORTIUM INTERNAT	B	70%	-	-	4.25%
191	3360	FAR EAST HORIZON LTD	B	70%	-	-	4.25%
192	2038	FIH MOBILE LTD	B	70%	-	-	4.25%
193	142	FIRST PACIFIC CO	B	70%	-	-	4.25%
194	227	FIRST SHANGHAI INVESTMENT LTD	C	50%	-	-	5.75%
195	38	FIRST TRACTOR CO LTD-H	C	50%	-	-	5.75%

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196	778	FORTUNE REIT	B	70%	-	-	4.25%
197	656	FOSUN INTERNATIONAL LTD	A	90%	-	-	3.75%
198	1448	FU SHOU YUAN INTERNATIONAL GROUP LIMITED	B	70%	-	-	4.25%
199	546	FUFENG GROUP LTD	B	70%	-	-	4.25%
200	3606	FUYAO GLASS INDUSTRY GROUP CO LTD-H	B	70%	-	-	4.25%
201	27	GALAXY ENTERTAINMENT GROUP L	A	90%	-	-	3.75%
202	3800	GCL-POLY ENERGY HOLDINGS LTD	B	70%	-	-	4.25%
203	175	GEELY AUTOMOBILE HOLDINGS LT	A	90%	-	-	3.75%
204	1776	GF SECURITIES CO LTD-H	A	90%	-	-	3.75%
205	709	GIORDANO INTERNATIONAL LTD	B	70%	-	-	4.25%
206	787	GLOBAL BRANDS GROUP HOLDING	B	70%	-	-	4.25%
207	393	GLORIOUS SUN ENTERPRISES LTD	C	50%	-	-	5.75%
208	3308	GOLDEN EAGLE RETAIL GROUP LTD	C	50%	-	-	5.75%
209	493	GOME RETAIL HOLDINGS LTD	B	70%	-	-	4.25%
210	1086	GOODBABY INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
211	1293	GRAND BAOXIN AUTO GROUP LTD	B	70%	-	-	4.25%
212	41	GREAT EAGLE HOLDINGS LTD	B	70%	-	-	4.25%
213	2333	GREAT WALL MOTOR COMPANY-H	A	90%	-	-	3.75%
214	468	GREATVIEW ASEPTIC PACKAGING	B	70%	-	-	4.25%
215	3900	GREENTOWN CHINA HOLDINGS	B	70%	-	-	4.25%
216	2869	GREENTOWN SERVICE GROUP CO LTD	B	70%	-	-	4.25%
217	270	GUANGDONG INVESTMENT LTD	A	90%	-	-	3.75%
218	525	GUANGSHEN RAILWAY CO LTD-H	B	70%	-	-	4.25%
219	2238	GUANGZHOU AUTOMOBILE GROUP-H	A	90%	-	-	3.75%
220	874	GUANGZHOU BAIYUNSHAN PHARM-H	B	70%	-	-	4.25%
221	2777	GUANGZHOU R&F PROPERTIES - H	B	70%	-	-	4.25%
222	53	GUOCO GROUP LTD	B	70%	-	-	4.25%
223	1456	GUOLIAN SECURITIES CO LTD-H	C	50%	-	-	5.75%
224	1788	GUOTAI JUNAN INTERNATIONAL	A	90%	-	-	3.75%
225	2611	GUOTAI JUNAN SECURITIES CO-H	B	70%	-	-	4.25%
226	1169	HAIER ELECTRONICS GROUP CO	A	90%	-	-	3.75%
227	1882	HAITIAN INTERNATIONAL HLDGS	B	70%	-	-	4.25%
228	665	HAITONG INTERNATIONAL SECURI	A	90%	-	-	3.75%
229	6837	HAITONG SECURITIES CO LTD-H	A	90%	-	-	3.75%
230	10	HANG LUNG GROUP LTD	A	90%	-	-	3.75%
231	101	HANG LUNG PROPERTIES LTD	A	90%	-	-	3.75%
232	11	HANG SENG BANK LTD	A	90%	-	-	3.75%
233	2828	HANG SENG CHINA ENT IND ETF	B	70%	-	-	4.25%
234	6138	HARBIN BANK CO LTD-H	C	50%	-	-	5.75%

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235	1133	HARBIN ELECTRIC CO LTD-H	C	50%	-	-	5.75%
236	1509	HARMONICARE MEDICAL HOLDINGS LIMITED	C	50%	-	-	5.75%
237	2280	HC GROUP INC	C	50%	-	-	5.75%
238	1112	HEALTH AND HAPPINESS H&H INT	B	70%	-	-	4.25%
239	97	HENDERSON INVESTMENT LTD	C	50%	-	-	5.75%
240	12	HENDERSON LAND DEVELOPMENT	A	90%	-	-	3.75%
241	1044	HENGAN INTL GROUP CO LTD	A	90%	-	-	3.75%
242	3389	HENGDELI HOLDINGS LTD	C	50%	-	-	5.75%
243	921	HISENSE KELON ELEC HLD-H	B	70%	-	-	4.25%
244	2638	HK ELECTRIC INVESTMENTS -SS	B	70%	-	-	4.25%
245	480	HKR INTERNATIONAL LTD	B	70%	-	-	4.25%
246	6823	HKT TRUST AND HKT LTD-SS	B	70%	-	-	4.25%
247	3	HONG KONG & CHINA GAS	A	90%	-	-	3.75%
248	388	HONG KONG EXCHANGES & CLEAR	A	90%	-	-	3.75%
249	45	HONGKONG & SHANGHAI HOTELS	B	70%	-	-	4.25%
250	737	HOPEWELL HIGHWAY INFRASTRUCT	B	70%	-	-	4.25%
251	80737	HOPEWELL HIGHWAY INFRASTRUCTURE LIMITED-RMB	B	70%	-	-	4.25%
252	54	HOPEWELL HOLDINGS LTD	A	90%	-	-	3.75%
253	5	HSBC HOLDINGS PLC	A	90%	-	-	3.75%
254	1347	HUA HONG SEMICONDUCTOR LTD	B	70%	-	-	4.25%
255	336	HUABAO INTERNATIONAL HOLDING	B	70%	-	-	4.25%
256	816	HUADIAN FUXIN ENERGY CORP -H	B	70%	-	-	4.25%
257	1071	HUADIAN POWER INTL CORP-H	A	90%	-	-	3.75%
258	902	HUANENG POWER INTL INC-H	A	90%	-	-	3.75%
259	958	HUANENG RENEWABLES CORP-H	A	90%	-	-	3.75%
260	6886	HUATAI SECURITIES CO LTD-H	A	90%	-	-	3.75%
261	87001	HUI XIAN REAL ESTATE INVESTMENT TRUST	B	70%	-	-	4.25%
262	3698	HUIZHANG BANK CORP LTD-H	C	50%	-	-	5.75%
263	215	HUTCHISON TELECOMM HONG KONG	A	90%	-	-	3.75%
264	14	HYSAN DEVELOPMENT CO	A	90%	-	-	3.75%
265	799	IGG INC	C	50%	-	-	5.75%
266	1970	IMAX CHINA HOLDING INC	C	50%	-	-	5.75%
267	1398	IND & COMM BK OF CHINA-H	A	90%	-	-	3.75%
268	177	JIANGSU EXPRESS CO LTD-H	A	90%	-	-	3.75%
269	358	JIANGXI COPPER CO LTD-H	A	90%	-	-	3.75%
270	179	JOHNSON ELECTRIC HOLDINGS	B	70%	-	-	4.25%
271	207	JOY CITY PROPERTY LTD	C	50%	-	-	5.75%
272	3336	JU TENG INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
273	173	K WAH INTL HOLDINGS	B	70%	-	-	4.25%

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**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 27 NOVEMBER 2019
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No.	Code	Security Name (Sorted by Alphabetical Order)	Group	Valuation Ratio	Price Cap	Marginable Value Cap Per Client	Interest Rate
274	636	KERRY LOGISTICS NETWORK LTD	A	90%	-	-	3.75%
275	683	KERRY PROPERTIES LTD	A	90%	-	-	3.75%
276	148	KINGBOARD CHEMICAL HOLDINGS	A	90%	-	-	3.75%
277	1888	KINGBOARD LAMINATES HLDG LTD	A	90%	-	-	3.75%
278	268	KINGDEE INTERNATIONAL SFTWR	B	70%	-	-	4.25%
279	3888	KINGSOFT CORP LTD	B	70%	-	-	4.25%
280	1031	KINGSTON FINANCIAL GROUP LTD	C	50%	-	-	5.75%
281	34	KOWLOON DEVELOPMENT CO LTD	C	50%	-	-	5.75%
282	135	KUNLUN ENERGY CO LTD	A	90%	-	-	3.75%
283	1813	KWG PROPERTY HOLDING LTD	B	70%	-	-	4.25%
284	488	LAI SUN DEVELOPMENT COMPANY LIMITED	C	50%	-	-	5.75%
285	2314	LEE & MAN PAPER MANUFACTURIN	A	90%	-	-	3.75%
286	3396	LEGEND HOLDINGS CORP-H	A	90%	-	-	3.75%
287	992	LENOVO GROUP LTD	B	70%	-	-	4.25%
288	842	LEOCH INTERNATIONAL TECHNOLOGY LTD	C	50%	-	-	5.75%
289	494	LI & FUNG LTD	A	90%	-	-	3.75%
290	2331	LI NING CO LTD	B	70%	-	-	4.25%
291	1212	LIFESTYLE INTL HLDGS LTD	B	70%	-	-	4.25%
292	823	LINK REIT	A	90%	-	-	3.75%
293	194	LIU CHONG HING INVESTMENT LTD	C	50%	-	-	5.75%
294	1513	LIVZON PHARMACEUTICAL GROUP INC-H	B	70%	-	-	4.25%
295	973	L'OCCITANE INTERNATIONAL SA	C	50%	-	-	5.75%
296	3380	LOGAN PROPERTY HOLDINGS CO L	B	70%	-	-	4.25%
297	960	LONGFOR PROPERTIES	A	90%	-	-	3.75%
298	3339	LONKING HOLDINGS LTD	B	70%	-	-	4.25%
299	590	LUK FOOK HOLDINGS INTL LTD	A	90%	-	-	3.75%
300	2186	LUYE PHARMA GROUP LTD	B	70%	-	-	4.25%
301	323	MAANSHAN IRON & STEEL-H	B	70%	-	-	4.25%
302	1680	MACAU LEGEND DEVELOPMENT LTD	C	50%	-	-	5.75%
303	945	MANULIFE FINANCIAL CORP	B	70%	-	-	4.25%
304	200	MELCO INTERNATIONAL DEVELOP.	B	70%	-	-	4.25%
305	1618	METALLURGICAL CORP OF CHIN-H	A	90%	-	-	3.75%
306	2282	MGM CHINA HOLDINGS LTD	A	90%	-	-	3.75%
307	853	MICROPORT SCIENTIFIC CORP	C	50%	-	-	5.75%
308	230	MINMETALS LAND LTD	C	50%	-	-	5.75%
309	425	MINTH GROUP LTD	B	70%	-	-	4.25%
310	71	MIRAMAR HOTEL & INVESTMENT CO LTD	C	50%	-	-	5.75%
311	1208	MMG LTD	C	50%	-	-	5.75%
312	66	MTR CORP	A	90%	-	-	3.75%

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313	3918	NAGACORP LTD	C	50%	-	-	5.75%
314	777	NETDRAGON WEBSOFT HOLDINGS LTD	C	50%	-	-	5.75%
315	1336	NEW CHINA LIFE INSURANCE C-H	A	90%	-	-	3.75%
316	825	NEW WORLD DEPARTMENT STORE CHINA LTD	C	50%	-	-	5.75%
317	17	NEW WORLD DEVELOPMENT	A	90%	-	-	3.75%
318	1316	NEXTEER AUTOMOTIVE GROUP LIMITED	B	70%	-	-	4.25%
319	2689	NINE DRAGONS PAPER HOLDINGS	A	90%	-	-	3.75%
320	659	NWS HOLDINGS LTD	A	90%	-	-	3.75%
321	877	O-NET TECHNOLOGIES GROUP LTD	C	50%	-	-	5.75%
322	316	ORIENT OVERSEAS INTL LTD	A	90%	-	-	3.75%
323	2343	PACIFIC BASIN SHIPPING LTD	C	50%	-	-	5.75%
324	1382	PACIFIC TEXTILES HOLDINGS	B	70%	-	-	4.25%
325	3368	PARKSON RETAIL GROUP LTD	C	50%	-	-	5.75%
326	327	PAX GLOBAL TECHNOLOGY LTD	B	70%	-	-	4.25%
327	8	PCCW LTD	A	90%	-	-	3.75%
328	1339	PEOPLE'S INSURANCE CO GROU-H	A	90%	-	-	3.75%
329	857	PETROCHINA CO LTD-H	A	90%	-	-	3.75%
330	2008	PHOENIX MEDIA INVESTMENT HOLDINGS LTD	C	50%	-	-	5.75%
331	2328	PICC PROPERTY & CASUALTY-H	A	90%	-	-	3.75%
332	1833	PING AN HEALTHCARE AND TECHN	B	70%	-	-	4.25%
333	2318	PING AN INSURANCE GROUP CO-H	A	90%	-	-	3.75%
334	3636	POLY CULTURE GROUP CORPORATION LIMITED-H	C	50%	-	-	5.75%
335	119	POLY PROPERTY GROUP CO LTD	B	70%	-	-	4.25%
336	1658	POSTAL SAVINGS BANK OF CHI-H	A	90%	-	-	3.75%
337	3813	POU SHENG INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
338	6	POWER ASSETS HOLDINGS LTD	A	90%	-	-	3.75%
339	1238	POWERLONG REAL ESTATE HOLDINGS	C	50%	-	-	5.75%
340	1913	PRADA S.P.A.	B	70%	-	-	4.25%
341	808	PROSPERITY REIT	C	50%	-	-	5.75%
342	2378	PRUDENTIAL PLC	C	50%	-	-	5.75%
343	6198	QINGDAO PORT INTERNATIONAL CO LTD-H	C	50%	-	-	5.75%
344	1122	QINGLING MOTORS CO LTD-H	C	50%	-	-	5.75%
345	3369	QINHUANGDAO PORT CO LTD	C	50%	-	-	5.75%
346	1528	RED STAR MACALLINE GROUP C-H	B	70%	-	-	4.25%
347	78	REGAL HOTELS INTL HLDGS LTD	C	50%	-	-	5.75%
348	2199	REGINA MIRACLE INTERNATIONAL (HOLDINGS) LIMITED	C	50%	-	-	5.75%
349	1098	ROAD KING INFRASTRUCTURE LTD	B	70%	-	-	4.25%
350	178	SA SA INTERNATIONAL HLDGS	B	70%	-	-	4.25%
351	1910	SAMSONITE INTERNATIONAL SA	B	70%	-	-	4.25%

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352	1928	SANDS CHINA LTD	A	90%	-	-	3.75%
353	981	SEMICONDUCTOR MANUFACTURING	B	70%	-	-	4.25%
354	1066	SHANDONG WEIGAO GP MEDICAL-H	B	70%	-	-	4.25%
355	2727	SHANGHAI ELECTRIC GRP CO L-H	A	90%	-	-	3.75%
356	2196	SHANGHAI FOSUN PHARMACEUTICAL GROUP CO LTD-H	B	70%	-	-	4.25%
357	363	SHANGHAI INDUSTRIAL HLDG LTD	A	90%	-	-	3.75%
358	563	SHANGHAI INDUSTRIAL URBAN DE	C	50%	-	-	5.75%
359	2006	SHANGHAI JIN JIANG INTL HO-H	B	70%	-	-	4.25%
360	2607	SHANGHAI PHARMACEUTICALS-H	A	90%	-	-	3.75%
361	2345	SHANGHAI PRIME MACHINERY CO LTD - H	C	50%	-	-	5.75%
362	69	SHANGRI-LA ASIA LTD	A	90%	-	-	3.75%
363	2066	SHENGJING BANK CO LTD-H	C	50%	-	-	5.75%
364	218	SHENWAN HONGYUAN HK LTD	C	50%	-	-	5.75%
365	548	SHENZHEN EXPRESSWAY CO-H	B	70%	-	-	4.25%
366	152	SHENZHEN INTL HOLDINGS	A	90%	-	-	3.75%
367	604	SHENZHEN INVESTMENT LTD	A	90%	-	-	3.75%
368	2313	SHENZHOU INTERNATIONAL GROUP	A	90%	-	-	3.75%
369	813	SHIMAO PROPERTY HOLDINGS LTD	A	90%	-	-	3.75%
370	639	SHOUGANG FUSHAN RESOURCES GROUP LTD	C	50%	-	-	5.75%
371	272	SHUI ON LAND LTD	B	70%	-	-	4.25%
372	242	SHUN TAK HOLDINGS LTD	B	70%	-	-	4.25%
373	107	SICHUAN EXPRESSWAY CO-H	B	70%	-	-	4.25%
374	460	SIHUA PHARMACEUTICAL HLDGS	C	50%	-	-	5.75%
375	1177	SINO BIOPHARMACEUTICAL	A	90%	-	-	3.75%
376	83	SINO LAND CO	A	90%	-	-	3.75%
377	297	SINOFERT HOLDINGS LTD	C	50%	-	-	5.75%
378	3377	SINO-OCEAN GROUP HOLDING LTD	A	90%	-	-	3.75%
379	2386	SINOPEC ENGINEERING GROUP-H	A	90%	-	-	3.75%
380	934	SINOPEC KANTONS HOLDINGS	B	70%	-	-	4.25%
381	338	SINOPEC SHANGHAI PETROCHEM-H	A	90%	-	-	3.75%
382	1099	SINOPHARM GROUP CO-H	A	90%	-	-	3.75%
383	598	SINOTRANS LIMITED-H	A	90%	-	-	3.75%
384	3808	SINOTRUK HONG KONG LTD	B	70%	-	-	4.25%
385	1308	SITC INTERNATIONAL CO LTD	C	50%	-	-	5.75%
386	880	SJM HOLDINGS LTD	A	90%	-	-	3.75%
387	751	SKYWORTH DIGITAL HLDGS LTD	A	90%	-	-	3.75%
388	315	SMARTONE TELECOMMUNICATIONS	B	70%	-	-	4.25%
389	410	SOHO CHINA LTD	B	70%	-	-	4.25%
390	878	SOUNDWILL HOLDINGS LTD	C	50%	-	-	5.75%

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391	1700	SPRINGLAND INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
392	2005	SSY GROUP LTD	B	70%	-	-	4.25%
393	2888	STANDARD CHARTERED PLC	A	90%	-	-	3.75%
394	1836	STELLA INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
395	6808	SUN ART RETAIL GROUP LTD	A	90%	-	-	3.75%
396	86	SUN HUNG KAI & CO LTD	C	50%	-	-	5.75%
397	16	SUN HUNG KAI PROPERTIES	A	90%	-	-	3.75%
398	1918	SUNAC CHINA HOLDINGS LTD	C	50%	-	-	5.75%
399	435	SUNLIGHT REAL ESTATE INVESTMENT TRUST	C	50%	-	-	5.75%
400	2382	SUNNY OPTICAL TECH	A	90%	-	-	3.75%
401	19	SWIRE PACIFIC LTD - CL A	A	90%	-	-	3.75%
402	87	SWIRE PACIFIC LTD-CL B	A	90%	-	-	3.75%
403	1972	SWIRE PROPERTIES LTD	A	90%	-	-	3.75%
404	88	TAI CHEUNG HOLDINGS	C	50%	-	-	5.75%
405	1070	TCL ELECTRONICS HOLDINGS LIMITED	C	50%	-	-	5.75%
406	669	TECHTRONIC INDUSTRIES CO LTD	A	90%	-	-	3.75%
407	511	TELEVISION BROADCASTS LTD	B	70%	-	-	4.25%
408	700	TENCENT HOLDINGS LTD	A	90%	-	-	3.75%
409	2678	TEXHONG TEXTILE GROUP LTD	C	50%	-	-	5.75%
410	321	TEXWINCA HOLDINGS LTD	B	70%	-	-	4.25%
411	3933	THE UNITED LABORATORIES INTE	C	50%	-	-	5.75%
412	28	TIAN AN CHINA INVESTMENTS CO LTD	C	50%	-	-	5.75%
413	1065	TIANJIN CAPITAL ENVIRON-H	B	70%	-	-	4.25%
414	882	TIANJIN DEVELOPMENT HLDGS LT	B	70%	-	-	4.25%
415	3382	TIANJIN PORT DEVELOPMENT HOLDINGS LTD	C	50%	-	-	5.75%
416	819	TIANNENG POWER INTL LTD	B	70%	-	-	4.25%
417	322	TINGYI (CAYMAN ISLN) HLDG CO	A	90%	-	-	3.75%
418	1666	TONG REN TANG TECHNOLOGIES-H	B	70%	-	-	4.25%
419	698	TONGDA GROUP HOLDINGS LTD	B	70%	-	-	4.25%
420	1083	TOWNGAS CHINA CO LTD	B	70%	-	-	4.25%
421	903	TPV TECHNOLOGY LTD	C	50%	-	-	5.75%
422	2800	TRACKER FUND OF HONG KONG	A	90%	-	-	3.75%
423	62	TRANSPORT INTL HLDG LTD	B	70%	-	-	4.25%
424	696	TRAVELSKY TECHNOLOGY LTD-H	A	90%	-	-	3.75%
425	732	TRULY INTERNATIONAL HOLDINGS	B	70%	-	-	4.25%
426	168	TSINGTAO BREWERY CO LTD-H	A	90%	-	-	3.75%
427	220	UNI-PRESIDENT CHINA HOLDINGS	A	90%	-	-	3.75%
428	2666	UNIVERSAL MEDICAL FINANCIAL	B	70%	-	-	4.25%
429	806	VALUE PARTNERS GROUP LTD	B	70%	-	-	4.25%

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430	3331	VINDA INTERNATIONAL HOLDINGS	B	70%	-	-	4.25%
431	345	VITASOY INTL HOLDINGS LTD	B	70%	-	-	4.25%
432	303	VTECH HOLDINGS LTD	A	90%	-	-	3.75%
433	151	WANT WANT CHINA HOLDINGS LTD	A	90%	-	-	3.75%
434	3393	WASION GROUP HOLDINGS LTD	C	50%	-	-	5.75%
435	2338	WEICHAI POWER CO LTD-H	B	70%	-	-	4.25%
436	2233	WEST CHINA CEMENT LTD	C	50%	-	-	5.75%
437	288	WH GROUP LTD	A	90%	-	-	3.75%
438	4	WHARF HOLDINGS LTD	A	90%	-	-	3.75%
439	1997	WHARF REAL ESTATE INVESTMENT	A	90%	-	-	3.75%
440	20	WHEELOCK & CO LTD	A	90%	-	-	3.75%
441	2269	WUXI BIOLOGICS CAYMAN INC	C	50%	-	-	5.75%
442	1128	WYNN MACAU LTD	B	70%	-	-	4.25%
443	3378	XIAMEN INTERNATIONAL PORT CO LTD - H	C	50%	-	-	5.75%
444	1810	XIAOMI CORP	B	70%	-	-	4.25%
445	1899	XINGDA INT'L HOLDINGS	C	50%	-	-	5.75%
446	811	XINHUA WINSHARE PUBLISHING-H	B	70%	-	-	4.25%
447	2208	XINJIANG GOLDWIND SCI&TEC-H	A	90%	-	-	3.75%
448	868	XINYI GLASS HOLDINGS LTD	A	90%	-	-	3.75%
449	968	XINYI SOLAR HOLDINGS LTD	A	90%	-	-	3.75%
450	1368	XTEP INTERNATIONAL HOLDINGS	B	70%	-	-	4.25%
451	1585	YADEA GROUP HOLDINGS LTD	C	50%	-	-	5.75%
452	6869	YANGTZE OPTICAL FIBRE AND-H	B	70%	-	-	4.25%
453	1171	YANZHOU COAL MINING CO-H	A	90%	-	-	3.75%
454	1558	YICHANG HEC CHANGJIANG PHARMACEUTICAL CO LTD-H	C	50%	-	-	5.75%
455	551	YUE YUEN INDUSTRIAL HLDG	A	90%	-	-	3.75%
456	123	YUEXIU PROPERTY CO LTD	A	90%	-	-	3.75%
457	405	YUEXIU REAL ESTATE INVESTMEN	B	70%	-	-	4.25%
458	1052	YUEXIU TRANSPORT INFRASTRUCT	B	70%	-	-	4.25%
459	1628	YUZHOU PROPERTIES CO	B	70%	-	-	4.25%
460	1818	ZHAOJIN MINING INDUSTRY - H	A	90%	-	-	3.75%
461	576	ZHEJIANG EXPRESSWAY CO-H	A	90%	-	-	3.75%
462	564	ZHENGZHOU COAL MINING MACHINERY GROUP CO-H	C	50%	-	-	5.75%
463	6060	ZHONGAN ONLINE P AND C INSURANCE CO LTD-H	C	50%	-	-	5.75%
464	881	ZHONGSHENG GROUP HOLDINGS	B	70%	-	-	4.25%
465	1458	ZHOU HEI YA INTERNATIONAL HOLDINGS COMPANY LIMITED	B	70%	-	-	4.25%
466	3898	ZHUZHOU CRRC TIMES ELECTRI-H	A	90%	-	-	3.75%
467	2899	ZIJIN MINING GROUP CO LTD-H	A	90%	-	-	3.75%
468	1157	ZOOMLION HEAVY INDUSTRY - H	B	70%	-	-	4.25%

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