

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 1 | 1288 | AGRICULTURAL BANK OF CHINA-H | A | 90% | - | - | 3.75% |
| 2 | 1299 | AIA GROUP LTD | A | 90% | - | - | 3.75% |
| 3 | 0753 | AIR CHINA LTD-H | A | 90% | - | - | 3.75% |
| 4 | 9988 | ALIBABA GROUP HOLDING LIMITED | A | 90% | - | - | 3.75% |
| 5 | 0914 | ANHUI CONCH CEMENT CO LTD-H | A | 90% | - | - | 3.75% |
| 6 | 0522 | ASM PACIFIC TECHNOLOGY | A | 90% | - | - | 3.75% |
| 7 | 2357 | AVICHINA INDUSTRY & TECH-H | A | 90% | - | - | 3.75% |
| 8 | 1958 | BAIC MOTOR CORP LTD-H | A | 90% | - | - | 3.75% |
| 9 | 3988 | BANK OF CHINA LTD-H | A | 90% | - | - | 3.75% |
| 10 | 3328 | BANK OF COMMUNICATIONS CO-H | A | 90% | - | - | 3.75% |
| 11 | 0023 | BANK OF EAST ASIA LTD | A | 90% | - | - | 3.75% |
| 12 | 0392 | BEIJING ENTERPRISES HLDGS | A | 90% | - | - | 3.75% |
| 13 | 0371 | BEIJING ENTERPRISES WATER GR | A | 90% | - | - | 3.75% |
| 14 | 2588 | BOC AVIATION LIMITED | A | 90% | - | - | 3.75% |
| 15 | 2388 | BOC HONG KONG HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 16 | 1816 | CGN POWER CO LTD-H | A | 90% | - | - | 3.75% |
| 17 | 1359 | CHINA CINDA ASSET MANAGEME-H | A | 90% | - | - | 3.75% |
| 18 | 0998 | CHINA CITIC BANK CORP LTD-H | A | 90% | - | - | 3.75% |
| 19 | 1898 | CHINA COAL ENERGY CO-H | A | 90% | - | - | 3.75% |
| 20 | 1800 | CHINA COMMUNICATIONS CONST-H | A | 90% | - | - | 3.75% |
| 21 | 0552 | CHINA COMMUNICATIONS SERVI-H | A | 90% | - | - | 3.75% |
| 22 | 0586 | CHINA CONCH VENTURE HOLDINGS | A | 90% | - | - | 3.75% |
| 23 | 0939 | CHINA CONSTRUCTION BANK-H | A | 90% | - | - | 3.75% |
| 24 | 0670 | CHINA EASTERN AIRLINES CO-H | A | 90% | - | - | 3.75% |
| 25 | 6818 | CHINA EVERBRIGHT BANK CO L-H | A | 90% | - | - | 3.75% |
| 26 | 0257 | CHINA EVERBRIGHT INTL LTD | A | 90% | - | - | 3.75% |
| 27 | 0165 | CHINA EVERBRIGHT LTD | A | 90% | - | - | 3.75% |
| 28 | 6881 | CHINA GALAXY SECURITIES CO-H | A | 90% | - | - | 3.75% |
| 29 | 0384 | CHINA GAS HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 30 | 3908 | CHINA INTERNATIONAL CAPITA-H | A | 90% | - | - | 3.75% |
| 31 | 2628 | CHINA LIFE INSURANCE CO-H | A | 90% | - | - | 3.75% |
| 32 | 0916 | CHINA LONGYUAN POWER GROUP-H | A | 90% | - | - | 3.75% |
| 33 | 2319 | CHINA MENGNIU DAIRY CO | A | 90% | - | - | 3.75% |
| 34 | 3968 | CHINA MERCHANTS BANK-H | A | 90% | - | - | 3.75% |
| 35 | 0144 | CHINA MERCHANTS PORT HOLDING | A | 90% | - | - | 3.75% |
| 36 | 0941 | CHINA MOBILE LTD | A | 90% | - | - | 3.75% |
| 37 | 3323 | CHINA NATIONAL BUILDING MA-H | A | 90% | - | - | 3.75% |
| 38 | 0688 | CHINA OVERSEAS LAND & INVEST | A | 90% | - | - | 3.75% |
| 39 | 2601 | CHINA PACIFIC INSURANCE GR-H | A | 90% | - | - | 3.75% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 40 | 0386 | CHINA PETROLEUM & CHEMICAL-H | A | 90% | - | - | 3.75% |
| 41 | 2380 | CHINA POWER INTERNATIONAL | A | 90% | - | - | 3.75% |
| 42 | 1186 | CHINA RAILWAY CONSTRUCTION-H | A | 90% | - | - | 3.75% |
| 43 | 0390 | CHINA RAILWAY GROUP LTD-H | A | 90% | - | - | 3.75% |
| 44 | 0291 | CHINA RESOURCES BEER HOLDING | A | 90% | - | - | 3.75% |
| 45 | 1313 | CHINA RESOURCES CEMENT | A | 90% | - | - | 3.75% |
| 46 | 1193 | CHINA RESOURCES GAS GROUP LT | A | 90% | - | - | 3.75% |
| 47 | 1109 | CHINA RESOURCES LAND LTD | A | 90% | - | - | 3.75% |
| 48 | 0836 | CHINA RESOURCES POWER HOLDIN | A | 90% | - | - | 3.75% |
| 49 | 1088 | CHINA SHENHUA ENERGY CO-H | A | 90% | - | - | 3.75% |
| 50 | 1055 | CHINA SOUTHERN AIRLINES CO-H | A | 90% | - | - | 3.75% |
| 51 | 3311 | CHINA STATE CONSTRUCTION INT | A | 90% | - | - | 3.75% |
| 52 | 0966 | CHINA TAIPING INSURANCE HOLD | A | 90% | - | - | 3.75% |
| 53 | 0728 | CHINA TELECOM CORP LTD-H | A | 90% | - | - | 3.75% |
| 54 | 0788 | CHINA TOWER CORPORATION LIMITED-H | A | 90% | - | - | 3.75% |
| 55 | 0762 | CHINA UNICOM HONG KONG LTD | A | 90% | - | - | 3.75% |
| 56 | 2202 | CHINA VANKE CO LTD-H | A | 90% | - | - | 3.75% |
| 57 | 1929 | CHOW TAI FOOK JEWELLERY GROU | A | 90% | - | - | 3.75% |
| 58 | 0267 | CITIC LTD | A | 90% | - | - | 3.75% |
| 59 | 6030 | CITIC SECURITIES CO LTD-H | A | 90% | - | - | 3.75% |
| 60 | 1113 | CK ASSET HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 61 | 0001 | CK HUTCHISON HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 62 | 1038 | CK INFRASTRUCTURE HOLDINGS L | A | 90% | - | - | 3.75% |
| 63 | 0002 | CLP HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 64 | 0883 | CNOOC LTD | A | 90% | - | - | 3.75% |
| 65 | 1199 | COSCO SHIPPING PORTS LTD | A | 90% | - | - | 3.75% |
| 66 | 1766 | CRRC CORP LTD - H | A | 90% | - | - | 3.75% |
| 67 | 1093 | CSPC PHARMACEUTICAL GROUP LT | A | 90% | - | - | 3.75% |
| 68 | 0489 | DONGFENG MOTOR GRP CO LTD-H | A | 90% | - | - | 3.75% |
| 69 | 2688 | ENN ENERGY HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 70 | 0656 | FOSUN INTERNATIONAL LTD | A | 90% | - | - | 3.75% |
| 71 | 0027 | GALAXY ENTERTAINMENT GROUP L | A | 90% | - | - | 3.75% |
| 72 | 0175 | GEELY AUTOMOBILE HOLDINGS LT | A | 90% | - | - | 3.75% |
| 73 | 1776 | GF SECURITIES CO LTD-H | A | 90% | - | - | 3.75% |
| 74 | 2333 | GREAT WALL MOTOR COMPANY-H | A | 90% | - | - | 3.75% |
| 75 | 0270 | GUANGDONG INVESTMENT LTD | A | 90% | - | - | 3.75% |
| 76 | 2238 | GUANGZHOU AUTOMOBILE GROUP-H | A | 90% | - | - | 3.75% |
| 77 | 1788 | GUOTAI JUNAN INTERNATIONAL | A | 90% | - | - | 3.75% |
| 78 | 0665 | HAITONG INTERNATIONAL SECURI | A | 90% | - | - | 3.75% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 79 | 6837 | HAITONG SECURITIES CO LTD-H | A | 90% | - | - | 3.75% |
| 80 | 0010 | HANG LUNG GROUP LTD | A | 90% | - | - | 3.75% |
| 81 | 0101 | HANG LUNG PROPERTIES LTD | A | 90% | - | - | 3.75% |
| 82 | 0011 | HANG SENG BANK LTD | A | 90% | - | - | 3.75% |
| 83 | 2828 | HANG SENG CHINA ENT IND ETF | A | 90% | - | - | 3.75% |
| 84 | 0012 | HENDERSON LAND DEVELOPMENT | A | 90% | - | - | 3.75% |
| 85 | 1044 | HENGAN INTL GROUP CO LTD | A | 90% | - | - | 3.75% |
| 86 | 0003 | HONG KONG & CHINA GAS | A | 90% | - | - | 3.75% |
| 87 | 0388 | HONG KONG EXCHANGES & CLEAR | A | 90% | - | - | 3.75% |
| 88 | 0005 | HSBC HOLDINGS PLC | A | 90% | - | - | 3.75% |
| 89 | 0902 | HUANENG POWER INTL INC-H | A | 90% | - | - | 3.75% |
| 90 | 6886 | HUATAI SECURITIES CO LTD-H | A | 90% | - | - | 3.75% |
| 91 | 0014 | HYSAN DEVELOPMENT CO | A | 90% | - | - | 3.75% |
| 92 | 1398 | IND & COMM BK OF CHINA-H | A | 90% | - | - | 3.75% |
| 93 | 9618 | JD.COM INC-SW | A | 90% | - | - | 3.75% |
| 94 | 0177 | JIANGSU EXPRESS CO LTD-H | A | 90% | - | - | 3.75% |
| 95 | 0358 | JIANGXI COPPER CO LTD-H | A | 90% | - | - | 3.75% |
| 96 | 0636 | KERRY LOGISTICS NETWORK LTD | A | 90% | - | - | 3.75% |
| 97 | 0683 | KERRY PROPERTIES LTD | A | 90% | - | - | 3.75% |
| 98 | 0135 | KUNLUN ENERGY CO LTD | A | 90% | - | - | 3.75% |
| 99 | 2314 | LEE & MAN PAPER MANUFACTURIN | A | 90% | - | - | 3.75% |
| 100 | 3396 | LEGEND HOLDINGS CORP-H | A | 90% | - | - | 3.75% |
| 101 | 0823 | LINK REIT | A | 90% | - | - | 3.75% |
| 102 | 0960 | LONGFOR PROPERTIES | A | 90% | - | - | 3.75% |
| 103 | 0066 | MTR CORP | A | 90% | - | - | 3.75% |
| 104 | 9999 | NETEASE INC-S | A | 90% | - | - | 3.75% |
| 105 | 1336 | NEW CHINA LIFE INSURANCE C-H | A | 90% | - | - | 3.75% |
| 106 | 0017 | NEW WORLD DEVELOPMENT | A | 90% | - | - | 3.75% |
| 107 | 2689 | NINE DRAGONS PAPER HOLDINGS | A | 90% | - | - | 3.75% |
| 108 | 0659 | NWS HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 109 | 0008 | PCCW LTD | A | 90% | - | - | 3.75% |
| 110 | 1339 | PEOPLE'S INSURANCE CO GROU-H | A | 90% | - | - | 3.75% |
| 111 | 0857 | PETROCHINA CO LTD-H | A | 90% | - | - | 3.75% |
| 112 | 2328 | PICC PROPERTY & CASUALTY-H | A | 90% | - | - | 3.75% |
| 113 | 2318 | PING AN INSURANCE GROUP CO-H | A | 90% | - | - | 3.75% |
| 114 | 1658 | POSTAL SAVINGS BANK OF CHI-H | A | 90% | - | - | 3.75% |
| 115 | 0006 | POWER ASSETS HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 116 | 1928 | SANDS CHINA LTD | A | 90% | - | - | 3.75% |
| 117 | 2727 | SHANGHAI ELECTRIC GRP CO L-H | A | 90% | - | - | 3.75% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 118 | 0363 | SHANGHAI INDUSTRIAL HLDG LTD | A | 90% | - | - | 3.75% |
| 119 | 2607 | SHANGHAI PHARMACEUTICALS-H | A | 90% | - | - | 3.75% |
| 120 | 0152 | SHENZHEN INTL HOLDINGS | A | 90% | - | - | 3.75% |
| 121 | 0604 | SHENZHEN INVESTMENT LTD | A | 90% | - | - | 3.75% |
| 122 | 2313 | SHENZHOU INTERNATIONAL GROUP | A | 90% | - | - | 3.75% |
| 123 | 1177 | SINO BIOPHARMACEUTICAL | A | 90% | - | - | 3.75% |
| 124 | 0083 | SINO LAND CO | A | 90% | - | - | 3.75% |
| 125 | 3377 | SINO-OCEAN GROUP HOLDING LTD | A | 90% | - | - | 3.75% |
| 126 | 0338 | SINOPEC SHANGHAI PETROCHEM-H | A | 90% | - | - | 3.75% |
| 127 | 1099 | SINOPHARM GROUP CO-H | A | 90% | - | - | 3.75% |
| 128 | 0880 | SJM HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 129 | 6808 | SUN ART RETAIL GROUP LTD | A | 90% | - | - | 3.75% |
| 130 | 0016 | SUN HUNG KAI PROPERTIES | A | 90% | - | - | 3.75% |
| 131 | 2382 | SUNNY OPTICAL TECH | A | 90% | - | - | 3.75% |
| 132 | 0019 | SWIRE PACIFIC LTD - CL A | A | 90% | - | - | 3.75% |
| 133 | 1972 | SWIRE PROPERTIES LTD | A | 90% | - | - | 3.75% |
| 134 | 0669 | TECHTRONIC INDUSTRIES CO LTD | A | 90% | - | - | 3.75% |
| 135 | 0700 | TENCENT HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 136 | 0322 | TINGYI (CAYMAN ISLN) HLDG CO | A | 90% | - | - | 3.75% |
| 137 | 2800 | TRACKER FUND OF HONG KONG | A | 90% | - | - | 3.75% |
| 138 | 0696 | TRAVELSKY TECHNOLOGY LTD-H | A | 90% | - | - | 3.75% |
| 139 | 0168 | TSINGTAO BREWERY CO LTD-H | A | 90% | - | - | 3.75% |
| 140 | 0303 | VTECH HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 141 | 0151 | WANT WANT CHINA HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 142 | 0004 | WHARF HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 143 | 1997 | WHARF REAL ESTATE INVESTMENT | A | 90% | - | - | 3.75% |
| 144 | 1810 | XIAOMI CORP | A | 90% | - | - | 3.75% |
| 145 | 2208 | XINJIANG GOLDWIND SCI&TEC-H | A | 90% | - | - | 3.75% |
| 146 | 0868 | XINYI GLASS HOLDINGS LTD | A | 90% | - | - | 3.75% |
| 147 | 1171 | YANZHOU COAL MINING CO-H | A | 90% | - | - | 3.75% |
| 148 | 0551 | YUE YUEN INDUSTRIAL HLDG | A | 90% | - | - | 3.75% |
| 149 | 1818 | ZHAOJIN MINING INDUSTRY - H | A | 90% | - | - | 3.75% |
| 150 | 0576 | ZHEJIANG EXPRESSWAY CO-H | A | 90% | - | - | 3.75% |
| 151 | 3898 | ZHUZHOU CRRC TIMES ELECTRI-H | A | 90% | - | - | 3.75% |
| 152 | 2899 | ZIJIN MINING GROUP CO LTD-H | A | 90% | - | - | 3.75% |
| 153 | 1530 | 3SBIO INC | B | 70% | - | - | 4.25% |
| 154 | 2018 | AAC TECHNOLOGIES HOLDINGS IN | B | 70% | - | - | 4.25% |
| 155 | 3383 | AGILE GROUP HOLDINGS LTD | B | 70% | - | - | 4.25% |
| 156 | 0241 | ALIBABA HEALTH INFORMATION TECHNOLOGY LTD | B | 70% | - | - | 4.25% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 157 | 1060 | ALIBABA PICTURES GROUP LTD | B | 70% | - | - | 4.25% |
| 158 | 2600 | ALUMINUM CORP OF CHINA LTD-H | B | 70% | - | - | 4.25% |
| 159 | 2020 | ANTA SPORTS PRODUCTS LTD | B | 70% | - | - | 4.25% |
| 160 | 9888 | BAIDU INC-SW | B | 70% | - | - | 4.25% |
| 161 | 0694 | BEIJING CAPITAL INTL AIRPO-H | B | 70% | - | - | 4.25% |
| 162 | 1211 | BYD CO LTD-H | B | 70% | - | - | 4.25% |
| 163 | 0285 | BYD ELECTRONIC INTL CO LTD | B | 70% | - | - | 4.25% |
| 164 | 0341 | CAFE DE CORAL HOLDINGS LTD | B | 70% | - | - | 4.25% |
| 165 | 1381 | CANVEST ENVIRONMENTAL PROTEC | B | 70% | - | - | 4.25% |
| 166 | 0293 | CATHAY PACIFIC AIRWAYS | B | 70% | - | - | 4.25% |
| 167 | 1811 | CGN NEW ENERGY HOLDINGS CO L | B | 70% | - | - | 4.25% |
| 168 | 2778 | CHAMPION REIT | B | 70% | - | - | 4.25% |
| 169 | 3818 | CHINA DONGXIANG GROUP CO | B | 70% | - | - | 4.25% |
| 170 | 0506 | CHINA FOODS LTD | B | 70% | - | - | 4.25% |
| 171 | 2039 | CHINA INTERNATIONAL MARINE-H | B | 70% | - | - | 4.25% |
| 172 | 0817 | CHINA JINMAO HOLDINGS GROUP | B | 70% | - | - | 4.25% |
| 173 | 2128 | CHINA LESSO GROUP HOLDINGS L | B | 70% | - | - | 4.25% |
| 174 | 0772 | CHINA LITERATURE LTD | B | 70% | - | - | 4.25% |
| 175 | 0867 | CHINA MEDICAL SYSTEM HOLDING | B | 70% | - | - | 4.25% |
| 176 | 1988 | CHINA MINSHENG BANKING COR-H | B | 70% | - | - | 4.25% |
| 177 | 3993 | CHINA MOLYBDENUM CO LTD-H | B | 70% | - | - | 4.25% |
| 178 | 2883 | CHINA OILFIELD SERVICES-H | B | 70% | - | - | 4.25% |
| 179 | 0081 | CHINA OVERSEAS GRAND OCEANS | B | 70% | - | - | 4.25% |
| 180 | 2669 | CHINA OVERSEAS PROPERTY HOLDINGS LIMITED | B | 70% | - | - | 4.25% |
| 181 | 3969 | CHINA RAILWAY SIGNAL & COM-H | B | 70% | - | - | 4.25% |
| 182 | 3320 | CHINA RESOURCES PHARMACEUTIC | B | 70% | - | - | 4.25% |
| 183 | 1515 | CHINA RESOURCES PHOENIX HEAL | B | 70% | - | - | 4.25% |
| 184 | 0570 | CHINA TRADITIONAL CHINESE ME | B | 70% | - | - | 4.25% |
| 185 | 0308 | CHINA TRAVEL INTL INV HK | B | 70% | - | - | 4.25% |
| 186 | 0855 | CHINA WATER AFFAIRS GROUP | B | 70% | - | - | 4.25% |
| 187 | 3669 | CHINA YONGDA AUTOMOBILES SER | B | 70% | - | - | 4.25% |
| 188 | 3088 | CHINAAMC HANG SENG TECH INDEX-ETF | B | 70% | - | - | 4.25% |
| 189 | 0354 | CHINASOFT INTERNATIONAL LTD | B | 70% | - | - | 4.25% |
| 190 | 3618 | CHONGQING RURAL COMMERCIAL-H | B | 70% | - | - | 4.25% |
| 191 | 0884 | CIFI HOLDINGS GROUP CO LTD | B | 70% | - | - | 4.25% |
| 192 | 1883 | CITIC TELECOM INTERNATIONAL | B | 70% | - | - | 4.25% |
| 193 | 1610 | COFCO MEAT HOLDINGS LIMITED | B | 70% | - | - | 4.25% |
| 194 | 1919 | COSCO SHIPPING HOLDINGS CO-H | B | 70% | - | - | 4.25% |
| 195 | 2007 | COUNTRY GARDEN HOLDINGS CO | B | 70% | - | - | 4.25% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 196 | 6066 | CSC FINANCIAL CO LTD-H | B | 70% | - | - | 4.25% |
| 197 | 3033 | CSOP HANG SENG TECH INDEX-ETF | B | 70% | - | - | 4.25% |
| 198 | 0440 | DAH SING FINANCIAL HOLDINGS | B | 70% | - | - | 4.25% |
| 199 | 3799 | DALI FOODS GROUP CO LTD | B | 70% | - | - | 4.25% |
| 200 | 0991 | DATANG INTL POWER GEN CO-H | B | 70% | - | - | 4.25% |
| 201 | 0861 | DIGITAL CHINA HOLDINGS LTD | B | 70% | - | - | 4.25% |
| 202 | 3360 | FAR EAST HORIZON LTD | B | 70% | - | - | 4.25% |
| 203 | 0142 | FIRST PACIFIC CO | B | 70% | - | - | 4.25% |
| 204 | 0778 | FORTUNE REIT | B | 70% | - | - | 4.25% |
| 205 | 1448 | FU SHOU YUAN INTERNATIONAL GROUP LIMITED | B | 70% | - | - | 4.25% |
| 206 | 0546 | FUFENG GROUP LTD | B | 70% | - | - | 4.25% |
| 207 | 3606 | FUYAO GLASS INDUSTRY GROUP CO LTD-H | B | 70% | - | - | 4.25% |
| 208 | 0493 | GOME RETAIL HOLDINGS LTD | B | 70% | - | - | 4.25% |
| 209 | 3900 | GREENTOWN CHINA HOLDINGS | B | 70% | - | - | 4.25% |
| 210 | 2869 | GREENTOWN SERVICE GROUP CO LTD | B | 70% | - | - | 4.25% |
| 211 | 2611 | GUOTAI JUNAN SECURITIES CO-H | B | 70% | - | - | 4.25% |
| 212 | 1882 | HAITIAN INTERNATIONAL HLDGS | B | 70% | - | - | 4.25% |
| 213 | 3032 | Hang Seng TECH Index-ETF | B | 70% | - | - | 4.25% |
| 214 | 1112 | HEALTH AND HAPPINESS H&H INT | B | 70% | - | - | 4.25% |
| 215 | 2638 | HK ELECTRIC INVESTMENTS -SS | B | 70% | - | - | 4.25% |
| 216 | 1310 | HKBN LTD | B | 70% | - | - | 4.25% |
| 217 | 6823 | HKT TRUST AND HKT LTD-SS | B | 70% | - | - | 4.25% |
| 218 | 1347 | HUA HONG SEMICONDUCTOR LTD | B | 70% | - | - | 4.25% |
| 219 | 0215 | HUTCHISON TELECOMM HONG KONG | B | 70% | - | - | 4.25% |
| 220 | 6618 | JD HEALTH INTERNATIONAL INC | B | 70% | - | - | 4.25% |
| 221 | 0179 | JOHNSON ELECTRIC HOLDINGS | B | 70% | - | - | 4.25% |
| 222 | 0173 | K WAH INTL HOLDINGS | B | 70% | - | - | 4.25% |
| 223 | 0148 | KINGBOARD CHEMICAL HOLDINGS | B | 70% | - | - | 4.25% |
| 224 | 1888 | KINGBOARD LAMINATES HLDG LTD | B | 70% | - | - | 4.25% |
| 225 | 3888 | KINGSOFT CORP LTD | B | 70% | - | - | 4.25% |
| 226 | 1024 | KUAISHOU TECHNOLOGY-W | B | 70% | - | - | 4.25% |
| 227 | 1813 | KWG PROPERTY HOLDING LTD | B | 70% | - | - | 4.25% |
| 228 | 0992 | LENOVO GROUP LTD | B | 70% | - | - | 4.25% |
| 229 | 2331 | LI NING CO LTD | B | 70% | - | - | 4.25% |
| 230 | 1212 | LIFESTYLE INTL HLDGS LTD | B | 70% | - | - | 4.25% |
| 231 | 1513 | LIVZON PHARMACEUTICAL GROUP INC-H | B | 70% | - | - | 4.25% |
| 232 | 3380 | LOGAN PROPERTY HOLDINGS CO L | B | 70% | - | - | 4.25% |
| 233 | 3339 | LONKING HOLDINGS LTD | B | 70% | - | - | 4.25% |
| 234 | 0590 | LUK FOOK HOLDINGS INTL LTD | B | 70% | - | - | 4.25% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 235 | 2186 | LUYE PHARMA GROUP LTD | B | 70% | - | - | 4.25% |
| 236 | 3690 | MEITUAN DIANPING-W | B | 70% | - | - | 4.25% |
| 237 | 0200 | MELCO INTERNATIONAL DEVELOP. | B | 70% | - | - | 4.25% |
| 238 | 2282 | MGM CHINA HOLDINGS LTD | B | 70% | - | - | 4.25% |
| 239 | 0425 | MINTH GROUP LTD | B | 70% | - | - | 4.25% |
| 240 | 1316 | NEXTEER AUTOMOTIVE GROUP LIMITED | B | 70% | - | - | 4.25% |
| 241 | 1475 | NISSIN FOODS COMPANY LIMITED | B | 70% | - | - | 4.25% |
| 242 | 9633 | NONGFU SPRING CO LTD-H | B | 70% | - | - | 4.25% |
| 243 | 1382 | PACIFIC TEXTILES HOLDINGS | B | 70% | - | - | 4.25% |
| 244 | 1833 | PING AN HEALTHCARE AND TECHN | B | 70% | - | - | 4.25% |
| 245 | 0119 | POLY PROPERTY GROUP CO LTD | B | 70% | - | - | 4.25% |
| 246 | 1913 | PRADA S.P.A. | B | 70% | - | - | 4.25% |
| 247 | 1910 | SAMSONITE INTERNATIONAL SA | B | 70% | - | - | 4.25% |
| 248 | 0981 | SEMICONDUCTOR MANUFACTURING | B | 70% | - | - | 4.25% |
| 249 | 1066 | SHANDONG WEIGAO GP MEDICAL-H | B | 70% | - | - | 4.25% |
| 250 | 2196 | SHANGHAI FOSUN PHARMACEUTICAL GROUP CO LTD-H | B | 70% | - | - | 4.25% |
| 251 | 0069 | SHANGRI-LA ASIA LTD | B | 70% | - | - | 4.25% |
| 252 | 0272 | SHUI ON LAND LTD | B | 70% | - | - | 4.25% |
| 253 | 0242 | SHUN TAK HOLDINGS LTD | B | 70% | - | - | 4.25% |
| 254 | 0934 | SINOPEC KANTONS HOLDINGS | B | 70% | - | - | 4.25% |
| 255 | 3808 | SINOTRUK HONG KONG LTD | B | 70% | - | - | 4.25% |
| 256 | 0751 | SKYWORTH DIGITAL HLDGS LTD | B | 70% | - | - | 4.25% |
| 257 | 2005 | SSY GROUP LTD | B | 70% | - | - | 4.25% |
| 258 | 0087 | SWIRE PACIFIC LTD-CL B | B | 70% | - | - | 4.25% |
| 259 | 0780 | TONGCHENG-ELONG HOLDINGS LIMITED | B | 70% | - | - | 4.25% |
| 260 | 1083 | TOWNGAS CHINA CO LTD | B | 70% | - | - | 4.25% |
| 261 | 0220 | UNI-PRESIDENT CHINA HOLDINGS | B | 70% | - | - | 4.25% |
| 262 | 2666 | UNIVERSAL MEDICAL FINANCIAL | B | 70% | - | - | 4.25% |
| 263 | 0806 | VALUE PARTNERS GROUP LTD | B | 70% | - | - | 4.25% |
| 264 | 0345 | VITASOY INTL HOLDINGS LTD | B | 70% | - | - | 4.25% |
| 265 | 2338 | WEICHAJ POWER CO LTD-H | B | 70% | - | - | 4.25% |
| 266 | 0288 | WH GROUP LTD | B | 70% | - | - | 4.25% |
| 267 | 2269 | WUXI BIOLOGICS CAYMAN INC | B | 70% | - | - | 4.25% |
| 268 | 3868 | XINYI ENERGY HOLDINGS LIMITED | B | 70% | - | - | 4.25% |
| 269 | 0968 | XINYI SOLAR HOLDINGS LTD | B | 70% | - | - | 4.25% |
| 270 | 1368 | XTEP INTERNATIONAL HOLDINGS | B | 70% | - | - | 4.25% |
| 271 | 0123 | YUEXIU PROPERTY CO LTD | B | 70% | - | - | 4.25% |
| 272 | 0405 | YUEXIU REAL ESTATE INVESTMEN | B | 70% | - | - | 4.25% |
| 273 | 1052 | YUEXIU TRANSPORT INFRASTRUCT | B | 70% | - | - | 4.25% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 274 | 6060 | ZHONGAN ONLINE P AND C INSURANCE CO LTD-H | B | 70% | - | - | 4.25% |
| 275 | 0881 | ZHONGSHENG GROUP HOLDINGS | B | 70% | - | - | 4.25% |
| 276 | 1458 | ZHOU HEI YA INTERNATIONAL HOLDINGS COMPANY LIMITED | B | 70% | - | - | 4.25% |
| 277 | 1157 | ZOOMLION HEAVY INDUSTRY - H | B | 70% | - | - | 4.25% |
| 278 | 3319 | A-LIVING SERVICES CO LTD-H | C | 50% | - | - | 5.75% |
| 279 | 0347 | ANGANG STEEL CO LTD-H | C | 50% | - | - | 5.75% |
| 280 | 0995 | ANHUI EXPRESSWAY CO LTD-H | C | 50% | - | - | 5.75% |
| 281 | 6996 | ANTENGENE CORPORATION LIMITED-B | C | 50% | - | - | 5.75% |
| 282 | 9990 | ARCHOSAUR GAMES INC | C | 50% | - | - | 5.75% |
| 283 | 2009 | BBMG CORP-H | C | 50% | - | - | 5.75% |
| 284 | 6160 | BEIGENE LTD-B | C | 50% | - | - | 5.75% |
| 285 | 0579 | BEIJING JINGNENG CLEAN ENE-H | C | 50% | - | - | 5.75% |
| 286 | 0588 | BEIJING NORTH STAR CO LTD-H | C | 50% | - | - | 5.75% |
| 287 | 3613 | BEIJING TONG REN TANG CHINESE MEDICINE CO LTD | C | 50% | - | - | 5.75% |
| 288 | 1599 | BEIJING URBAN CONSTRUCTION DESIGN AND DEVELOP-H | C | 50% | - | - | 5.75% |
| 289 | 9626 | BILIBILI INC-SW | C | 50% | - | - | 5.75% |
| 290 | 6993 | BLUE MOON GROUP HOLDINGS LIMITED | C | 50% | - | - | 5.75% |
| 291 | 3329 | BOCOM INTERNATIONAL HOLDINGS COMPANY LIMITED | C | 50% | - | - | 5.75% |
| 292 | 0710 | BOE VARITRONIX LTD | C | 50% | - | - | 5.75% |
| 293 | 1428 | BRIGHT SMART SECURITIES AND | C | 50% | - | - | 5.75% |
| 294 | 1876 | BUDWEISER BREWING COMPANY APAC LIMITED | C | 50% | - | - | 5.75% |
| 295 | 1375 | CENTRAL CHINA SECURITIES C-H | C | 50% | - | - | 5.75% |
| 296 | 0951 | CHAOWEI POWER HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 297 | 0031 | CHINA AEROSPACE INTERNATIONAL HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 298 | 1848 | CHINA AIRCRAFT LEASING GROUP HOLDINGS LIMITED | C | 50% | - | - | 5.75% |
| 299 | 3983 | CHINA BLUECHEMICAL LTD - H | C | 50% | - | - | 5.75% |
| 300 | 1798 | CHINA DATANG CORPORATION RENEWABLE POWER CO LTD | C | 50% | - | - | 5.75% |
| 301 | 1257 | CHINA EVERBRIGHT GREENTECH LIMITED | C | 50% | - | - | 5.75% |
| 302 | 6186 | CHINA FEIHE LIMITED | C | 50% | - | - | 5.75% |
| 303 | 2099 | CHINA GOLD INTERNATIONAL RESOURCES CORP LTD | C | 50% | - | - | 5.75% |
| 304 | 1234 | CHINA LILANG LTD | C | 50% | - | - | 5.75% |
| 305 | 0978 | CHINA MERCHANTS LAND LIMITED | C | 50% | - | - | 5.75% |
| 306 | 6099 | CHINA MERCHANTS SECURITIES-H | C | 50% | - | - | 5.75% |
| 307 | 1117 | CHINA MODERN DAIRY HOLDINGS | C | 50% | - | - | 5.75% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 308 | 1508 | CHINA REINSURANCE GROUP CO-H | C | 50% | - | - | 5.75% |
| 309 | 1911 | CHINA RENAISSANCE HOLDINGS LIMITED | C | 50% | - | - | 5.75% |
| 310 | 1209 | CHINA RESOURCES MIXC LIFESTYLE SERVICES LIMITED | C | 50% | - | - | 5.75% |
| 311 | 2877 | CHINA SHINEWAY PHARMACEUTICAL GROUP LTD | C | 50% | - | - | 5.75% |
| 312 | 0956 | CHINA SUNTIEN GREEN ENERGY CORP | C | 50% | - | - | 5.75% |
| 313 | 1728 | CHINA ZHENG TONG AUTO SERVICE | C | 50% | - | - | 5.75% |
| 314 | 0127 | CHINESE ESTATES HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 315 | 2722 | CHONGQING MACHINERY AND ELECTRONICS HLDG GROUP | C | 50% | - | - | 5.75% |
| 316 | 0116 | CHOW SANG SANG HOLDINGS INTERNATIONAL LTD | C | 50% | - | - | 5.75% |
| 317 | 3899 | CIMC ENRIC HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 318 | 0775 | CK LIFE SCIENCES INTL (HOLDINGS) INC | C | 50% | - | - | 5.75% |
| 319 | 1240 | CNQC INTERNATIONAL HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 320 | 2342 | COMBA TELECOM SYSTEMS HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 321 | 0182 | CONCORD NEW ENERGY GROUP LTD | C | 50% | - | - | 5.75% |
| 322 | 1681 | CONSUN PHARMACEUTICAL GROUP LIMITED | C | 50% | - | - | 5.75% |
| 323 | 2866 | COSCO SHIPPING DEVELOPMENT-H | C | 50% | - | - | 5.75% |
| 324 | 1138 | COSCO SHIPPING ENERGY TRAN-H | C | 50% | - | - | 5.75% |
| 325 | 0517 | COSCO SHIPPING INTERNATIONAL HONG KONG CO LTD | C | 50% | - | - | 5.75% |
| 326 | 6098 | COUNTRY GARDEN SERVICES HOLDINGS COMPANY LIMITED | C | 50% | - | - | 5.75% |
| 327 | 0906 | CPMC HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 328 | 1786 | CRCC HIGH TECH EQUIPMENT CORPORATION LIMITED-H | C | 50% | - | - | 5.75% |
| 329 | 2232 | CRYSTAL INTERNATIONAL GROUP LIMITED | C | 50% | - | - | 5.75% |
| 330 | 0317 | CSSC OFFSHORE & MARINE ENG-H | C | 50% | - | - | 5.75% |
| 331 | 2356 | DAH SING BANKING GROUP LTD | C | 50% | - | - | 5.75% |
| 332 | 2880 | DALIAN PORT PDA CO LTD - H | C | 50% | - | - | 5.75% |
| 333 | 3958 | DFZQ-H | C | 50% | - | - | 5.75% |
| 334 | 1072 | DONGFANG ELECTRIC CORP LTD-H | C | 50% | - | - | 5.75% |
| 335 | 0189 | DONGYUE GROUP | C | 50% | - | - | 5.75% |
| 336 | 6889 | DYNAM JAPAN HOLDINGS CO LTD | C | 50% | - | - | 5.75% |
| 337 | 1821 | ESR CAYMAN LTD | C | 50% | - | - | 5.75% |
| 338 | 6178 | EVERBRIGHT SECURITIES CO L-H | C | 50% | - | - | 5.75% |
| 339 | 6989 | EXCELLENCE COMMERCIAL PROPERTY AND FACILITIES MNGT | C | 50% | - | - | 5.75% |
| 340 | 0035 | FAR EAST CONSORTIUM INTERNAT | C | 50% | - | - | 5.75% |
| 341 | 2038 | FIH MOBILE LTD | C | 50% | - | - | 5.75% |
| 342 | 0038 | FIRST TRACTOR CO LTD-H | C | 50% | - | - | 5.75% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 343 | 6088 | FIT HON TENG LIMITED | C | 50% | - | - | 5.75% |
| 344 | 9698 | GDS HOLDINGS LIMITED-SW | C | 50% | - | - | 5.75% |
| 345 | 0709 | GIORDANO INTERNATIONAL LTD | C | 50% | - | - | 5.75% |
| 346 | 3308 | GOLDEN EAGLE RETAIL GROUP LTD | C | 50% | - | - | 5.75% |
| 347 | 1086 | GOODBABY INTERNATIONAL HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 348 | 0041 | GREAT EAGLE HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 349 | 0468 | GREATVIEW ASEPTIC PACKAGING | C | 50% | - | - | 5.75% |
| 350 | 0525 | GUANGSHEN RAILWAY CO LTD-H | C | 50% | - | - | 5.75% |
| 351 | 0874 | GUANGZHOU BAIYUNSHAN PHARM-H | C | 50% | - | - | 5.75% |
| 352 | 0053 | GUOCO GROUP LTD | C | 50% | - | - | 5.75% |
| 353 | 1456 | GUOLIAN SECURITIES CO LTD-H | C | 50% | - | - | 5.75% |
| 354 | 6862 | HAILIAO INTERNATIONAL HOLDING LTD | C | 50% | - | - | 5.75% |
| 355 | 6690 | HAIER SMART HOME CO LTD-H | C | 50% | - | - | 5.75% |
| 356 | 3347 | HANGZHOU TIGERMED CONSULTING CO LTD-H | C | 50% | - | - | 5.75% |
| 357 | 1133 | HARBIN ELECTRIC CO LTD-H | C | 50% | - | - | 5.75% |
| 358 | 2280 | HC GROUP INC | C | 50% | - | - | 5.75% |
| 359 | 0097 | HENDERSON INVESTMENT LTD | C | 50% | - | - | 5.75% |
| 360 | 0921 | HISENSE KELON ELEC HLD-H | C | 50% | - | - | 5.75% |
| 361 | 0480 | HKR INTERNATIONAL LTD | C | 50% | - | - | 5.75% |
| 362 | 0737 | HOPEWELL HIGHWAY INFRASTRUCT | C | 50% | - | - | 5.75% |
| 363 | 1071 | HUADIAN POWER INTL CORP-H | C | 50% | - | - | 5.75% |
| 364 | 0799 | IGG INC | C | 50% | - | - | 5.75% |
| 365 | 2618 | JD Logistics Inc | C | 50% | - | - | 5.75% |
| 366 | 0207 | JOY CITY PROPERTY LTD | C | 50% | - | - | 5.75% |
| 367 | 3336 | JU TENG INTERNATIONAL HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 368 | 0034 | KOWLOON DEVELOPMENT CO LTD | C | 50% | - | - | 5.75% |
| 369 | 0488 | LAI SUN DEVELOPMENT COMPANY LIMITED | C | 50% | - | - | 5.75% |
| 370 | 0194 | LIU CHONG HING INVESTMENT LTD | C | 50% | - | - | 5.75% |
| 371 | 0973 | L'OCCITANE INTERNATIONAL SA | C | 50% | - | - | 5.75% |
| 372 | 0323 | MAANSHAN IRON & STEEL-H | C | 50% | - | - | 5.75% |
| 373 | 1680 | MACAU LEGEND DEVELOPMENT LTD | C | 50% | - | - | 5.75% |
| 374 | 0945 | MANULIFE FINANCIAL CORP | C | 50% | - | - | 5.75% |
| 375 | 1618 | METALLURGICAL CORP OF CHIN-H | C | 50% | - | - | 5.75% |
| 376 | 0853 | MICROPORT SCIENTIFIC CORP | C | 50% | - | - | 5.75% |
| 377 | 0909 | MING YUAN CLOUD GROUP HOLDINGS LIMITED | C | 50% | - | - | 5.75% |
| 378 | 0071 | MIRAMAR HOTEL & INVESTMENT CO LTD | C | 50% | - | - | 5.75% |
| 379 | 1208 | MMG LTD | C | 50% | - | - | 5.75% |
| 380 | 3918 | NAGACORP LTD | C | 50% | - | - | 5.75% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 381 | 0777 | NETDRAGON WEBSOFT HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 382 | 2343 | PACIFIC BASIN SHIPPING LTD | C | 50% | - | - | 5.75% |
| 383 | 0327 | PAX GLOBAL TECHNOLOGY LTD | C | 50% | - | - | 5.75% |
| 384 | 6049 | POLY PROPERTY DEVELOPMENT CO LTD-H | C | 50% | - | - | 5.75% |
| 385 | 9992 | POP MART INTERNATIONAL GROUP LIMITED | C | 50% | - | - | 5.75% |
| 386 | 3813 | POU SHENG INTERNATIONAL HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 387 | 1238 | POWERLONG REAL ESTATE HOLDINGS | C | 50% | - | - | 5.75% |
| 388 | 2378 | PRUDENTIAL PLC | C | 50% | - | - | 5.75% |
| 389 | 6198 | QINGDAO PORT INTERNATIONAL CO LTD-H | C | 50% | - | - | 5.75% |
| 390 | 1122 | QINGLING MOTORS CO LTD-H | C | 50% | - | - | 5.75% |
| 391 | 3369 | QINHUANGDAO PORT CO LTD | C | 50% | - | - | 5.75% |
| 392 | 1528 | RED STAR MACALLINE GROUP C-H | C | 50% | - | - | 5.75% |
| 393 | 1098 | ROAD KING INFRASTRUCTURE LTD | C | 50% | - | - | 5.75% |
| 394 | 0178 | SA SA INTERNATIONAL HLDGS | C | 50% | - | - | 5.75% |
| 395 | 0631 | SANY HEAVY EQUIPMENT INTERNATIONAL HOLDINGS CO LTD | C | 50% | - | - | 5.75% |
| 396 | 1030 | SEAZEN GROUP LTD | C | 50% | - | - | 5.75% |
| 397 | 1787 | SHANDONG GOLD MINING CO LTD-H | C | 50% | - | - | 5.75% |
| 398 | 0563 | SHANGHAI INDUSTRIAL URBAN DE | C | 50% | - | - | 5.75% |
| 399 | 2006 | SHANGHAI JIN JIANG INTL HO-H | C | 50% | - | - | 5.75% |
| 400 | 0218 | SHENWAN HONGYUAN HK LTD | C | 50% | - | - | 5.75% |
| 401 | 0548 | SHENZHEN EXPRESSWAY CO-H | C | 50% | - | - | 5.75% |
| 402 | 9989 | SHENZHEN HEPALINK PHARMACEUTICAL GROUP | C | 50% | - | - | 5.75% |
| 403 | 0639 | SHOUGANG FUSHAN RESOURCES GROUP LTD | C | 50% | - | - | 5.75% |
| 404 | 0107 | SICHUAN EXPRESSWAY CO-H | C | 50% | - | - | 5.75% |
| 405 | 2096 | SIMCERE PHARMACEUTICAL GROUP LIMITED | C | 50% | - | - | 5.75% |
| 406 | 0297 | SINOFERT HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 407 | 2386 | SINOPEC ENGINEERING GROUP-H | C | 50% | - | - | 5.75% |
| 408 | 1033 | SINOPEC OILFIELD SERVICE CORPORATION-H SHARE | C | 50% | - | - | 5.75% |
| 409 | 0598 | SINOTRANS LIMITED-H | C | 50% | - | - | 5.75% |
| 410 | 1308 | SITC INTERNATIONAL CO LTD | C | 50% | - | - | 5.75% |
| 411 | 0315 | SMARTONE TELECOMMUNICATIONS | C | 50% | - | - | 5.75% |
| 412 | 6969 | SMOORE INTERNATIONAL HOLDINGS LIMITED | C | 50% | - | - | 5.75% |
| 413 | 0410 | SOHO CHINA LTD | C | 50% | - | - | 5.75% |
| 414 | 2888 | STANDARD CHARTERED PLC | C | 50% | - | - | 5.75% |
| 415 | 1836 | STELLA INTERNATIONAL HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 416 | 0086 | SUN HUNG KAI & CO LTD | C | 50% | - | - | 5.75% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.

**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2022
(HK MARKET)**

| No. | Code | Security Name (Sorted by Alphabetical Order) | Group | Valuation Ratio | Price Cap | Marginable Value Cap Per Client | Interest Rate |
|-----|------|--|-------|-----------------|-----------|---------------------------------|---------------|
| 417 | 0435 | SUNLIGHT REAL ESTATE INVESTMENT TRUST | C | 50% | - | - | 5.75% |
| 418 | 0088 | TAI CHEUNG HOLDINGS | C | 50% | - | - | 5.75% |
| 419 | 1070 | TCL ELECTRONICS HOLDINGS LIMITED | C | 50% | - | - | 5.75% |
| 420 | 0511 | TELEVISION BROADCASTS LTD | C | 50% | - | - | 5.75% |
| 421 | 2678 | TEXHONG TEXTILE GROUP LTD | C | 50% | - | - | 5.75% |
| 422 | 0321 | TEXWINCA HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 423 | 3933 | THE UNITED LABORATORIES INTE | C | 50% | - | - | 5.75% |
| 424 | 0028 | TIAN AN CHINA INVESTMENTS CO LTD | C | 50% | - | - | 5.75% |
| 425 | 1065 | TIANJIN CAPITAL ENVIRON-H | C | 50% | - | - | 5.75% |
| 426 | 0882 | TIANJIN DEVELOPMENT HLDGS LT | C | 50% | - | - | 5.75% |
| 427 | 3382 | TIANJIN PORT DEVELOPMENT HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 428 | 1666 | TONG REN TANG TECHNOLOGIES-H | C | 50% | - | - | 5.75% |
| 429 | 0062 | TRANSPORT INTL HLDG LTD | C | 50% | - | - | 5.75% |
| 430 | 9961 | TRIP.COM GROUP LIMITED | C | 50% | - | - | 5.75% |
| 431 | 3331 | VINDA INTERNATIONAL HOLDINGS | C | 50% | - | - | 5.75% |
| 432 | 3393 | WASION GROUP HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 433 | 2233 | WEST CHINA CEMENT LTD | C | 50% | - | - | 5.75% |
| 434 | 2359 | WUXI APPTec CO LTD-H | C | 50% | - | - | 5.75% |
| 435 | 3378 | XIAMEN INTERNATIONAL PORT CO LTD - H | C | 50% | - | - | 5.75% |
| 436 | 1899 | XINGDA INT'L HOLDINGS | C | 50% | - | - | 5.75% |
| 437 | 0811 | XINHUA WINSHARE PUBLISHING-H | C | 50% | - | - | 5.75% |
| 438 | 9868 | XPeng Inc-W | C | 50% | - | - | 5.75% |
| 439 | 1585 | YADEA GROUP HOLDINGS LTD | C | 50% | - | - | 5.75% |
| 440 | 6869 | YANGTZE OPTICAL FIBRE AND-H | C | 50% | - | - | 5.75% |
| 441 | 1558 | YICHANG HEC CHANGJIANG PHARMACEUTICAL CO LTD-H | C | 50% | - | - | 5.75% |
| 442 | 2158 | YIDU TECH INC | C | 50% | - | - | 5.75% |
| 443 | 2858 | YIXIN GROUP LIMITED | C | 50% | - | - | 5.75% |
| 444 | 9987 | YUM CHINA HOLDINGS INC | C | 50% | - | - | 5.75% |
| 445 | 0564 | ZHENGZHOU COAL MINING MACHINERY GROUP CO-H | C | 50% | - | - | 5.75% |
| 446 | 2057 | ZTO EXPRESS (CAYMAN) INC-SW | C | 50% | - | - | 5.75% |

* Company's margin limit for the counter has been fully utilized. No further marginable value will be accorded to the counter for purchases and/or transfer-in of shares.

Note: The marginable list is subject to change at the Company's discretion without prior notice. Kindly check with your trading representative for the latest updated marginable list.