



**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 14 JANUARY 2021
(HK MARKET)**

No.	Code	Security Name (Sorted by Alphabetical Order)	Group	Valuation Ratio	Price Cap	Marginable Value Cap Per Client	Interest Rate
1	1288	AGRICULTURAL BANK OF CHINA-H	A	90%	-	-	3.75%
2	1299	AIA GROUP LTD	A	90%	-	-	3.75%
3	0753	AIR CHINA LTD-H	A	90%	-	-	3.75%
4	9988	ALIBABA GROUP HOLDING LIMITED	A	90%	-	-	3.75%
5	0347	ANGANG STEEL CO LTD-H	A	90%	-	-	3.75%
6	0914	ANHUI CONCH CEMENT CO LTD-H	A	90%	-	-	3.75%
7	0522	ASM PACIFIC TECHNOLOGY	A	90%	-	-	3.75%
8	2357	AVICHINA INDUSTRY & TECH-H	A	90%	-	-	3.75%
9	1958	BAIC MOTOR CORP LTD-H	A	90%	-	-	3.75%
10	3988	BANK OF CHINA LTD-H	A	90%	-	-	3.75%
11	3328	BANK OF COMMUNICATIONS CO-H	A	90%	-	-	3.75%
12	0023	BANK OF EAST ASIA LTD	A	90%	-	-	3.75%
13	0392	BEIJING ENTERPRISES HLDGS	A	90%	-	-	3.75%
14	0371	BEIJING ENTERPRISES WATER GR	A	90%	-	-	3.75%
15	2588	BOC AVIATION LIMITED	A	90%	-	-	3.75%
16	2388	BOC HONG KONG HOLDINGS LTD	A	90%	-	-	3.75%
17	1816	CGN POWER CO LTD-H	A	90%	-	-	3.75%
18	1359	CHINA CINDA ASSET MANAGEME-H	A	90%	-	-	3.75%
19	0998	CHINA CITIC BANK CORP LTD-H	A	90%	-	-	3.75%
20	1898	CHINA COAL ENERGY CO-H	A	90%	-	-	3.75%
21	1800	CHINA COMMUNICATIONS CONST-H	A	90%	-	-	3.75%
22	0552	CHINA COMMUNICATIONS SERVI-H	A	90%	-	-	3.75%
23	0586	CHINA CONCH VENTURE HOLDINGS	A	90%	-	-	3.75%
24	0939	CHINA CONSTRUCTION BANK-H	A	90%	-	-	3.75%
25	0670	CHINA EASTERN AIRLINES CO-H	A	90%	-	-	3.75%
26	6818	CHINA EVERBRIGHT BANK CO L-H	A	90%	-	-	3.75%
27	0257	CHINA EVERBRIGHT INTL LTD	A	90%	-	-	3.75%
28	0165	CHINA EVERBRIGHT LTD	A	90%	-	-	3.75%
29	6881	CHINA GALAXY SECURITIES CO-H	A	90%	-	-	3.75%
30	0384	CHINA GAS HOLDINGS LTD	A	90%	-	-	3.75%
31	3908	CHINA INTERNATIONAL CAPITA-H	A	90%	-	-	3.75%
32	2628	CHINA LIFE INSURANCE CO-H	A	90%	-	-	3.75%
33	0916	CHINA LONGYUAN POWER GROUP-H	A	90%	-	-	3.75%
34	2319	CHINA MENGNIU DAIRY CO	A	90%	-	-	3.75%
35	3968	CHINA MERCHANTS BANK-H	A	90%	-	-	3.75%
36	0144	CHINA MERCHANTS PORT HOLDING	A	90%	-	-	3.75%
37	0941	CHINA MOBILE LTD	A	90%	-	-	3.75%
38	3323	CHINA NATIONAL BUILDING MA-H	A	90%	-	-	3.75%
39	2883	CHINA OILFIELD SERVICES-H	A	90%	-	-	3.75%

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40	0688	CHINA OVERSEAS LAND & INVEST	A	90%	-	-	3.75%
41	2601	CHINA PACIFIC INSURANCE GR-H	A	90%	-	-	3.75%
42	0386	CHINA PETROLEUM & CHEMICAL-H	A	90%	-	-	3.75%
43	2380	CHINA POWER INTERNATIONAL	A	90%	-	-	3.75%
44	1186	CHINA RAILWAY CONSTRUCTION-H	A	90%	-	-	3.75%
45	0390	CHINA RAILWAY GROUP LTD-H	A	90%	-	-	3.75%
46	0291	CHINA RESOURCES BEER HOLDING	A	90%	-	-	3.75%
47	1313	CHINA RESOURCES CEMENT	A	90%	-	-	3.75%
48	1193	CHINA RESOURCES GAS GROUP LT	A	90%	-	-	3.75%
49	1109	CHINA RESOURCES LAND LTD	A	90%	-	-	3.75%
50	0836	CHINA RESOURCES POWER HOLDIN	A	90%	-	-	3.75%
51	1088	CHINA SHENHUA ENERGY CO-H	A	90%	-	-	3.75%
52	1055	CHINA SOUTHERN AIRLINES CO-H	A	90%	-	-	3.75%
53	3311	CHINA STATE CONSTRUCTION INT	A	90%	-	-	3.75%
54	0966	CHINA TAIPING INSURANCE HOLD	A	90%	-	-	3.75%
55	0728	CHINA TELECOM CORP LTD-H	A	90%	-	-	3.75%
56	0762	CHINA UNICOM HONG KONG LTD	A	90%	-	-	3.75%
57	2202	CHINA VANKE CO LTD-H	A	90%	-	-	3.75%
58	1929	CHOW TAI FOOK JEWELLERY GROU	A	90%	-	-	3.75%
59	0267	CITIC LTD	A	90%	-	-	3.75%
60	6030	CITIC SECURITIES CO LTD-H	A	90%	-	-	3.75%
61	1113	CK ASSET HOLDINGS LTD	A	90%	-	-	3.75%
62	0001	CK HUTCHISON HOLDINGS LTD	A	90%	-	-	3.75%
63	1038	CK INFRASTRUCTURE HOLDINGS L	A	90%	-	-	3.75%
64	0002	CLP HOLDINGS LTD	A	90%	-	-	3.75%
65	0883	CNOOC LTD	A	90%	-	-	3.75%
66	1199	COSCO SHIPPING PORTS LTD	A	90%	-	-	3.75%
67	1766	CRRC CORP LTD - H	A	90%	-	-	3.75%
68	1093	CSPC PHARMACEUTICAL GROUP LT	A	90%	-	-	3.75%
69	0440	DAH SING FINANCIAL HOLDINGS	A	90%	-	-	3.75%
70	0489	DONGFENG MOTOR GRP CO LTD-H	A	90%	-	-	3.75%
71	2688	ENN ENERGY HOLDINGS LTD	A	90%	-	-	3.75%
72	0656	FOSUN INTERNATIONAL LTD	A	90%	-	-	3.75%
73	0027	GALAXY ENTERTAINMENT GROUP L	A	90%	-	-	3.75%
74	0175	GEELY AUTOMOBILE HOLDINGS LT	A	90%	-	-	3.75%
75	1776	GF SECURITIES CO LTD-H	A	90%	-	-	3.75%
76	2333	GREAT WALL MOTOR COMPANY-H	A	90%	-	-	3.75%
77	0270	GUANGDONG INVESTMENT LTD	A	90%	-	-	3.75%
78	2238	GUANGZHOU AUTOMOBILE GROUP-H	A	90%	-	-	3.75%

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79	1788	GUOTAI JUNAN INTERNATIONAL	A	90%	-	-	3.75%
80	0665	HAITONG INTERNATIONAL SECURI	A	90%	-	-	3.75%
81	6837	HAITONG SECURITIES CO LTD-H	A	90%	-	-	3.75%
82	0010	HANG LUNG GROUP LTD	A	90%	-	-	3.75%
83	0101	HANG LUNG PROPERTIES LTD	A	90%	-	-	3.75%
84	0011	HANG SENG BANK LTD	A	90%	-	-	3.75%
85	2828	HANG SENG CHINA ENT IND ETF	A	90%	-	-	3.75%
86	0012	HENDERSON LAND DEVELOPMENT	A	90%	-	-	3.75%
87	1044	HENGAN INTL GROUP CO LTD	A	90%	-	-	3.75%
88	0003	HONG KONG & CHINA GAS	A	90%	-	-	3.75%
89	0388	HONG KONG EXCHANGES & CLEAR	A	90%	-	-	3.75%
90	0005	HSBC HOLDINGS PLC	A	90%	-	-	3.75%
91	1071	HUADIAN POWER INTL CORP-H	A	90%	-	-	3.75%
92	0902	HUANENG POWER INTL INC-H	A	90%	-	-	3.75%
93	6886	HUATAI SECURITIES CO LTD-H	A	90%	-	-	3.75%
94	0014	HYSAN DEVELOPMENT CO	A	90%	-	-	3.75%
95	1398	IND & COMM BK OF CHINA-H	A	90%	-	-	3.75%
96	9618	JD.COM INC-SW	A	90%	-	-	3.75%
97	0177	JIANGSU EXPRESS CO LTD-H	A	90%	-	-	3.75%
98	0358	JIANGXI COPPER CO LTD-H	A	90%	-	-	3.75%
99	0636	KERRY LOGISTICS NETWORK LTD	A	90%	-	-	3.75%
100	0683	KERRY PROPERTIES LTD	A	90%	-	-	3.75%
101	0135	KUNLUN ENERGY CO LTD	A	90%	-	-	3.75%
102	2314	LEE & MAN PAPER MANUFACTURIN	A	90%	-	-	3.75%
103	3396	LEGEND HOLDINGS CORP-H	A	90%	-	-	3.75%
104	0823	LINK REIT	A	90%	-	-	3.75%
105	0960	LONGFOR PROPERTIES	A	90%	-	-	3.75%
106	2282	MGM CHINA HOLDINGS LTD	A	90%	-	-	3.75%
107	0066	MTR CORP	A	90%	-	-	3.75%
108	1336	NEW CHINA LIFE INSURANCE C-H	A	90%	-	-	3.75%
109	0017	NEW WORLD DEVELOPMENT	A	90%	-	-	3.75%
110	2689	NINE DRAGONS PAPER HOLDINGS	A	90%	-	-	3.75%
111	0659	NWS HOLDINGS LTD	A	90%	-	-	3.75%
112	0008	PCCW LTD	A	90%	-	-	3.75%
113	1339	PEOPLE'S INSURANCE CO GROU-H	A	90%	-	-	3.75%
114	0857	PETROCHINA CO LTD-H	A	90%	-	-	3.75%
115	2328	PICC PROPERTY & CASUALTY-H	A	90%	-	-	3.75%
116	2318	PING AN INSURANCE GROUP CO-H	A	90%	-	-	3.75%
117	1658	POSTAL SAVINGS BANK OF CHI-H	A	90%	-	-	3.75%

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118	0006	POWER ASSETS HOLDINGS LTD	A	90%	-	-	3.75%
119	1928	SANDS CHINA LTD	A	90%	-	-	3.75%
120	2727	SHANGHAI ELECTRIC GRP CO L-H	A	90%	-	-	3.75%
121	0363	SHANGHAI INDUSTRIAL HLDG LTD	A	90%	-	-	3.75%
122	2607	SHANGHAI PHARMACEUTICALS-H	A	90%	-	-	3.75%
123	0152	SHENZHEN INTL HOLDINGS	A	90%	-	-	3.75%
124	0604	SHENZHEN INVESTMENT LTD	A	90%	-	-	3.75%
125	2313	SHENZHOU INTERNATIONAL GROUP	A	90%	-	-	3.75%
126	1177	SINO BIOPHARMACEUTICAL	A	90%	-	-	3.75%
127	0083	SINO LAND CO	A	90%	-	-	3.75%
128	3377	SINO-OCEAN GROUP HOLDING LTD	A	90%	-	-	3.75%
129	2386	SINOPEC ENGINEERING GROUP-H	A	90%	-	-	3.75%
130	0338	SINOPEC SHANGHAI PETROCHEM-H	A	90%	-	-	3.75%
131	1099	SINOPHARM GROUP CO-H	A	90%	-	-	3.75%
132	0598	SINOTRANS LIMITED-H	A	90%	-	-	3.75%
133	0880	SJM HOLDINGS LTD	A	90%	-	-	3.75%
134	6808	SUN ART RETAIL GROUP LTD	A	90%	-	-	3.75%
135	0016	SUN HUNG KAI PROPERTIES	A	90%	-	-	3.75%
136	2382	SUNNY OPTICAL TECH	A	90%	-	-	3.75%
137	0019	SWIRE PACIFIC LTD - CL A	A	90%	-	-	3.75%
138	0087	SWIRE PACIFIC LTD-CL B	A	90%	-	-	3.75%
139	1972	SWIRE PROPERTIES LTD	A	90%	-	-	3.75%
140	0669	TECHTRONIC INDUSTRIES CO LTD	A	90%	-	-	3.75%
141	0700	TENCENT HOLDINGS LTD	A	90%	-	-	3.75%
142	0322	TINGYI (CAYMAN ISLN) HLDG CO	A	90%	-	-	3.75%
143	2800	TRACKER FUND OF HONG KONG	A	90%	-	-	3.75%
144	0696	TRAVELSKY TECHNOLOGY LTD-H	A	90%	-	-	3.75%
145	0168	TSINGTAO BREWERY CO LTD-H	A	90%	-	-	3.75%
146	0303	VTECH HOLDINGS LTD	A	90%	-	-	3.75%
147	0151	WANT WANT CHINA HOLDINGS LTD	A	90%	-	-	3.75%
148	0288	WH GROUP LTD	A	90%	-	-	3.75%
149	0004	WHARF HOLDINGS LTD	A	90%	-	-	3.75%
150	1997	WHARF REAL ESTATE INVESTMENT	A	90%	-	-	3.75%
151	1810	XIAOMI CORP	A	90%	-	-	3.75%
152	2208	XINJIANG GOLDWIND SCI&TEC-H	A	90%	-	-	3.75%
153	0868	XINYI GLASS HOLDINGS LTD	A	90%	-	-	3.75%
154	0968	XINYI SOLAR HOLDINGS LTD	A	90%	-	-	3.75%
155	1171	YANZHOU COAL MINING CO-H	A	90%	-	-	3.75%
156	0551	YUE YUEN INDUSTRIAL HLDG	A	90%	-	-	3.75%

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157	0123	YUEXIU PROPERTY CO LTD	A	90%	-	-	3.75%
158	1818	ZHAOJIN MINING INDUSTRY - H	A	90%	-	-	3.75%
159	0576	ZHEJIANG EXPRESSWAY CO-H	A	90%	-	-	3.75%
160	3898	ZHUZHOU CRRR TIMES ELECTRI-H	A	90%	-	-	3.75%
161	2899	ZIJIN MINING GROUP CO LTD-H	A	90%	-	-	3.75%
162	1530	3SBIO INC	B	70%	-	-	4.25%
163	2018	AAC TECHNOLOGIES HOLDINGS IN	B	70%	-	-	4.25%
164	3383	AGILE GROUP HOLDINGS LTD	B	70%	-	-	4.25%
165	0241	ALIBABA HEALTH INFORMATION TECHNOLOGY LTD	B	70%	-	-	4.25%
166	1060	ALIBABA PICTURES GROUP LTD	B	70%	-	-	4.25%
167	2600	ALUMINUM CORP OF CHINA LTD-H	B	70%	-	-	4.25%
168	2020	ANTA SPORTS PRODUCTS LTD	B	70%	-	-	4.25%
169	2009	BBMG CORP-H	B	70%	-	-	4.25%
170	0694	BEIJING CAPITAL INTL AIRPO-H	B	70%	-	-	4.25%
171	1114	BRILLIANCE CHINA AUTOMOTIVE	B	70%	-	-	4.25%
172	1211	BYD CO LTD-H	B	70%	-	-	4.25%
173	0285	BYD ELECTRONIC INTL CO LTD	B	70%	-	-	4.25%
174	0341	CAFE DE CORAL HOLDINGS LTD	B	70%	-	-	4.25%
175	1381	CANVEST ENVIRONMENTAL PROTEC	B	70%	-	-	4.25%
176	0293	CATHAY PACIFIC AIRWAYS	B	70%	-	-	4.25%
177	1811	CGN NEW ENERGY HOLDINGS CO L	B	70%	-	-	4.25%
178	2778	CHAMPION REIT	B	70%	-	-	4.25%
179	1848	CHINA AIRCRAFT LEASING GROUP HOLDINGS LIMITED	B	70%	-	-	4.25%
180	3883	CHINA AOYUAN PROPERTY GROUP	B	70%	-	-	4.25%
181	3818	CHINA DONGXIANG GROUP CO	B	70%	-	-	4.25%
182	0506	CHINA FOODS LTD	B	70%	-	-	4.25%
183	2039	CHINA INTERNATIONAL MARINE-H	B	70%	-	-	4.25%
184	0817	CHINA JINMAO HOLDINGS GROUP	B	70%	-	-	4.25%
185	2128	CHINA LESSO GROUP HOLDINGS L	B	70%	-	-	4.25%
186	0772	CHINA LITERATURE LTD	B	70%	-	-	4.25%
187	0867	CHINA MEDICAL SYSTEM HOLDING	B	70%	-	-	4.25%
188	1988	CHINA MINSHENG BANKING COR-H	B	70%	-	-	4.25%
189	3993	CHINA MOLYBDENUM CO LTD-H	B	70%	-	-	4.25%
190	0081	CHINA OVERSEAS GRAND OCEANS	B	70%	-	-	4.25%
191	2669	CHINA OVERSEAS PROPERTY HOLDINGS LIMITED	B	70%	-	-	4.25%
192	3969	CHINA RAILWAY SIGNAL & COM-H	B	70%	-	-	4.25%
193	1508	CHINA REINSURANCE GROUP CO-H	B	70%	-	-	4.25%
194	3320	CHINA RESOURCES PHARMACEUTIC	B	70%	-	-	4.25%

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195	1515	CHINA RESOURCES PHOENIX HEAL	B	70%	-	-	4.25%
196	1668	CHINA SOUTH CITY HOLDINGS	B	70%	-	-	4.25%
197	0788	CHINA TOWER CORPORATION LIMITED-H	B	70%	-	-	4.25%
198	0570	CHINA TRADITIONAL CHINESE ME	B	70%	-	-	4.25%
199	0308	CHINA TRAVEL INTL INV HK	B	70%	-	-	4.25%
200	0855	CHINA WATER AFFAIRS GROUP	B	70%	-	-	4.25%
201	3669	CHINA YONGDA AUTOMOBILES SER	B	70%	-	-	4.25%
202	3088	CHINAAMC HANG SENG TECH INDEX-ETF	B	70%	-	-	4.25%
203	0354	CHINASOFT INTERNATIONAL LTD	B	70%	-	-	4.25%
204	3618	CHONGQING RURAL COMMERCIAL-H	B	70%	-	-	4.25%
205	0884	CIFI HOLDINGS GROUP CO LTD	B	70%	-	-	4.25%
206	1883	CITIC TELECOM INTERNATIONAL	B	70%	-	-	4.25%
207	1610	COFCO MEAT HOLDINGS LIMITED	B	70%	-	-	4.25%
208	1138	COSCO SHIPPING ENERGY TRAN-H	B	70%	-	-	4.25%
209	1919	COSCO SHIPPING HOLDINGS CO-H	B	70%	-	-	4.25%
210	2007	COUNTRY GARDEN HOLDINGS CO	B	70%	-	-	4.25%
211	6066	CSC FINANCIAL CO LTD-H	B	70%	-	-	4.25%
212	3033	CSOP HANG SENG TECH INDEX-ETF	B	70%	-	-	4.25%
213	3799	DALI FOODS GROUP CO LTD	B	70%	-	-	4.25%
214	0991	DATANG INTL POWER GEN CO-H	B	70%	-	-	4.25%
215	3958	DFZQ-H	B	70%	-	-	4.25%
216	0861	DIGITAL CHINA HOLDINGS LTD	B	70%	-	-	4.25%
217	3360	FAR EAST HORIZON LTD	B	70%	-	-	4.25%
218	0142	FIRST PACIFIC CO	B	70%	-	-	4.25%
219	0778	FORTUNE REIT	B	70%	-	-	4.25%
220	1448	FU SHOU YUAN INTERNATIONAL GROUP LIMITED	B	70%	-	-	4.25%
221	0546	FUFENG GROUP LTD	B	70%	-	-	4.25%
222	3606	FUYAO GLASS INDUSTRY GROUP CO LTD-H	B	70%	-	-	4.25%
223	0493	GOME RETAIL HOLDINGS LTD	B	70%	-	-	4.25%
224	0468	GREATVIEW ASEPTIC PACKAGING	B	70%	-	-	4.25%
225	3900	GREENTOWN CHINA HOLDINGS	B	70%	-	-	4.25%
226	2869	GREENTOWN SERVICE GROUP CO LTD	B	70%	-	-	4.25%
227	0874	GUANGZHOU BAIYUNSHAN PHARM-H	B	70%	-	-	4.25%
228	2611	GUOTAI JUNAN SECURITIES CO-H	B	70%	-	-	4.25%
229	1882	HAITIAN INTERNATIONAL HLDGS	B	70%	-	-	4.25%
230	3032	HANG SENG TECH INDEX-ETF	B	70%	-	-	4.25%
231	1112	HEALTH AND HAPPINESS H&H INT	B	70%	-	-	4.25%
232	2638	HK ELECTRIC INVESTMENTS -SS	B	70%	-	-	4.25%
233	1310	HKBN LTD	B	70%	-	-	4.25%

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234	6823	HKT TRUST AND HKT LTD-SS	B	70%	-	-	4.25%
235	1347	HUA HONG SEMICONDUCTOR LTD	B	70%	-	-	4.25%
236	0215	HUTCHISON TELECOMM HONG KONG	B	70%	-	-	4.25%
237	0179	JOHNSON ELECTRIC HOLDINGS	B	70%	-	-	4.25%
238	0173	K WAH INTL HOLDINGS	B	70%	-	-	4.25%
239	0148	KINGBOARD CHEMICAL HOLDINGS	B	70%	-	-	4.25%
240	1888	KINGBOARD LAMINATES HLDG LTD	B	70%	-	-	4.25%
241	3888	KINGSOFT CORP LTD	B	70%	-	-	4.25%
242	1813	KWG PROPERTY HOLDING LTD	B	70%	-	-	4.25%
243	0992	LENOVO GROUP LTD	B	70%	-	-	4.25%
244	2331	LI NING CO LTD	B	70%	-	-	4.25%
245	1212	LIFESTYLE INTL HLDGS LTD	B	70%	-	-	4.25%
246	1513	LIVZON PHARMACEUTICAL GROUP INC-H	B	70%	-	-	4.25%
247	3380	LOGAN PROPERTY HOLDINGS CO L	B	70%	-	-	4.25%
248	3339	LONKING HOLDINGS LTD	B	70%	-	-	4.25%
249	0590	LUK FOOK HOLDINGS INTL LTD	B	70%	-	-	4.25%
250	2186	LUYE PHARMA GROUP LTD	B	70%	-	-	4.25%
251	0323	MAANSHAN IRON & STEEL-H	B	70%	-	-	4.25%
252	3690	MEITUAN DIANPING-W	B	70%	-	-	4.25%
253	0200	MELCO INTERNATIONAL DEVELOP.	B	70%	-	-	4.25%
254	1618	METALLURGICAL CORP OF CHIN-H	B	70%	-	-	4.25%
255	0425	MINTH GROUP LTD	B	70%	-	-	4.25%
256	1316	NEXTEER AUTOMOTIVE GROUP LIMITED	B	70%	-	-	4.25%
257	1475	NISSIN FOODS COMPANY LIMITED	B	70%	-	-	4.25%
258	9633	NONGFU SPRING CO LTD-H	B	70%	-	-	4.25%
259	1382	PACIFIC TEXTILES HOLDINGS	B	70%	-	-	4.25%
260	0327	PAX GLOBAL TECHNOLOGY LTD	B	70%	-	-	4.25%
261	1833	PING AN HEALTHCARE AND TECHN	B	70%	-	-	4.25%
262	0119	POLY PROPERTY GROUP CO LTD	B	70%	-	-	4.25%
263	1913	PRADA S.P.A.	B	70%	-	-	4.25%
264	1528	RED STAR MACALLINE GROUP C-H	B	70%	-	-	4.25%
265	1098	ROAD KING INFRASTRUCTURE LTD	B	70%	-	-	4.25%
266	0178	SA SA INTERNATIONAL HLDGS	B	70%	-	-	4.25%
267	1910	SAMSONITE INTERNATIONAL SA	B	70%	-	-	4.25%
268	0981	SEMICONDUCTOR MANUFACTURING	B	70%	-	-	4.25%
269	1066	SHANDONG WEIGAO GP MEDICAL-H	B	70%	-	-	4.25%
270	2196	SHANGHAI FOSUN PHARMACEUTICAL GROUP CO LTD-H	B	70%	-	-	4.25%
271	0069	SHANGRI-LA ASIA LTD	B	70%	-	-	4.25%
272	0548	SHENZHEN EXPRESSWAY CO-H	B	70%	-	-	4.25%

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(HK MARKET)**

No.	Code	Security Name (Sorted by Alphabetical Order)	Group	Valuation Ratio	Price Cap	Marginable Value Cap Per Client	Interest Rate
273	0813	SHIMAO PROPERTY HOLDINGS LTD	B	70%	-	-	4.25%
274	0272	SHUI ON LAND LTD	B	70%	-	-	4.25%
275	0242	SHUN TAK HOLDINGS LTD	B	70%	-	-	4.25%
276	0934	SINOPEC KANTONS HOLDINGS	B	70%	-	-	4.25%
277	3808	SINOTRUK HONG KONG LTD	B	70%	-	-	4.25%
278	0751	SKYWORTH DIGITAL HLDGS LTD	B	70%	-	-	4.25%
279	0315	SMARTONE TELECOMMUNICATIONS	B	70%	-	-	4.25%
280	2005	SSY GROUP LTD	B	70%	-	-	4.25%
281	1666	TONG REN TANG TECHNOLOGIES-H	B	70%	-	-	4.25%
282	0780	TONGCHENG-ELONG HOLDINGS LIMITED	B	70%	-	-	4.25%
283	1083	TOWNGAS CHINA CO LTD	B	70%	-	-	4.25%
284	0220	UNI-PRESIDENT CHINA HOLDINGS	B	70%	-	-	4.25%
285	2666	UNIVERSAL MEDICAL FINANCIAL	B	70%	-	-	4.25%
286	0806	VALUE PARTNERS GROUP LTD	B	70%	-	-	4.25%
287	0345	VITASOY INTL HOLDINGS LTD	B	70%	-	-	4.25%
288	2338	WEICHAJ POWER CO LTD-H	B	70%	-	-	4.25%
289	2269	WUXI BIOLOGICS CAYMAN INC	B	70%	-	-	4.25%
290	1128	WYNN MACAU LTD	B	70%	-	-	4.25%
291	3868	XINYI ENERGY HOLDINGS LIMITED	B	70%	-	-	4.25%
292	1368	XTEP INTERNATIONAL HOLDINGS	B	70%	-	-	4.25%
293	6869	YANGTZE OPTICAL FIBRE AND-H	B	70%	-	-	4.25%
294	0405	YUEXIU REAL ESTATE INVESTMEN	B	70%	-	-	4.25%
295	1052	YUEXIU TRANSPORT INFRASTRUCT	B	70%	-	-	4.25%
296	6060	ZHONGAN ONLINE P AND C INSURANCE CO LTD-H	B	70%	-	-	4.25%
297	0881	ZHONGSHENG GROUP HOLDINGS	B	70%	-	-	4.25%
298	1458	ZHOU HEI YA INTERNATIONAL HOLDINGS COMPANY LIMITED	B	70%	-	-	4.25%
299	1157	ZOOMLION HEAVY INDUSTRY - H	B	70%	-	-	4.25%
300	6862	H Aidilao International Holding Ltd	C	50%	-	-	5.75%
301	3319	A-LIVING SERVICES CO LTD-H	C	50%	-	-	5.75%
302	0995	ANHUI EXPRESSWAY CO LTD-H	C	50%	-	-	5.75%
303	2868	BEIJING CAPITAL LAND LTD-H	C	50%	-	-	5.75%
304	0579	BEIJING JINGNENG CLEAN ENE-H	C	50%	-	-	5.75%
305	0588	BEIJING NORTH STAR CO LTD-H	C	50%	-	-	5.75%
306	3613	BEIJING TONG REN TANG CHINESE MEDICINE CO LTD	C	50%	-	-	5.75%
307	1599	BEIJING URBAN CONSTRUCTION DESIGN AND DEVELOP-H	C	50%	-	-	5.75%
308	6993	BLUE MOON GROUP HOLDINGS LIMITED	C	50%	-	-	5.75%
309	3329	BOCOM INTERNATIONAL HOLDINGS COMPANY LIMITED	C	50%	-	-	5.75%

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(HK MARKET)**

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310	0710	BOE VARITRONIX LTD	C	50%	-	-	5.75%
311	1428	BRIGHT SMART SECURITIES AND	C	50%	-	-	5.75%
312	1876	BUDWEISER BREWING COMPANY APAC LIMITED	C	50%	-	-	5.75%
313	1375	CENTRAL CHINA SECURITIES C-H	C	50%	-	-	5.75%
314	0951	CHAOWEI POWER HOLDINGS LTD	C	50%	-	-	5.75%
315	0031	CHINA AEROSPACE INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
316	3983	CHINA BLUECHEMICAL LTD - H	C	50%	-	-	5.75%
317	1798	CHINA DATANG CORPORATION RENEWABLE POWER CO LTD	C	50%	-	-	5.75%
318	1257	CHINA EVERBRIGHT GREENTECH LIMITED	C	50%	-	-	5.75%
319	3333	CHINA EVERGRANDE GROUP	C	50%	-	-	5.75%
320	6186	CHINA FEIHE LIMITED	C	50%	-	-	5.75%
321	2099	CHINA GOLD INTERNATIONAL RESOURCES CORP LTD	C	50%	-	-	5.75%
322	1234	CHINA LILANG LTD	C	50%	-	-	5.75%
323	1829	CHINA MACHINERY ENGINEERIN-H	C	50%	-	-	5.75%
324	0978	CHINA MERCHANTS LAND LIMITED	C	50%	-	-	5.75%
325	6099	CHINA MERCHANTS SECURITIES-H	C	50%	-	-	5.75%
326	1117	CHINA MODERN DAIRY HOLDINGS	C	50%	-	-	5.75%
327	2877	CHINA SHINEWAY PHARMACEUTICAL GROUP LTD	C	50%	-	-	5.75%
328	0956	CHINA SUNTIEN GREEN ENERGY CORP	C	50%	-	-	5.75%
329	1728	CHINA ZHENG TONG AUTO SERVICE	C	50%	-	-	5.75%
330	0127	CHINESE ESTATES HOLDINGS LTD	C	50%	-	-	5.75%
331	2722	CHONGQING MACHINERY AND ELECTRONICS HLDG GROUP	C	50%	-	-	5.75%
332	0116	CHOW SANG SANG HOLDINGS INTERNATIONAL LTD	C	50%	-	-	5.75%
333	3899	CIMC ENRIC HOLDINGS LTD	C	50%	-	-	5.75%
334	0775	CK LIFE SCIENCES INTL (HOLDINGS) INC	C	50%	-	-	5.75%
335	1240	CNQC INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
336	1778	COLOUR LIFE SERVICES GROUP CO LIMITED	C	50%	-	-	5.75%
337	2342	COMBA TELECOM SYSTEMS HOLDINGS LTD	C	50%	-	-	5.75%
338	0182	CONCORD NEW ENERGY GROUP LTD	C	50%	-	-	5.75%
339	1681	CONSUN PHARMACEUTICAL GROUP LIMITED	C	50%	-	-	5.75%
340	2866	COSCO SHIPPING DEVELOPMENT-H	C	50%	-	-	5.75%
341	0517	COSCO SHIPPING INTERNATIONAL HONG KONG CO LTD	C	50%	-	-	5.75%
342	6098	COUNTRY GARDEN SERVICES HOLDINGS COMPANY LIMITED	C	50%	-	-	5.75%
343	0906	CPMC HOLDINGS LTD	C	50%	-	-	5.75%
344	1786	CRCC HIGH TECH EQUIPMENT CORPORATION LIMITED-H	C	50%	-	-	5.75%
345	2232	CRYSTAL INTERNATIONAL GROUP LIMITED	C	50%	-	-	5.75%

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346	0317	CSSC OFFSHORE & MARINE ENG-H	C	50%	-	-	5.75%
347	2356	DAH SING BANKING GROUP LTD	C	50%	-	-	5.75%
348	2880	DALIAN PORT PDA CO LTD - H	C	50%	-	-	5.75%
349	1072	DONGFANG ELECTRIC CORP LTD-H	C	50%	-	-	5.75%
350	0189	DONGYUE GROUP	C	50%	-	-	5.75%
351	6889	DYNAM JAPAN HOLDINGS CO LTD	C	50%	-	-	5.75%
352	0163	EMPEROR INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
353	1821	ESR CAYMAN LTD	C	50%	-	-	5.75%
354	6178	EVERBRIGHT SECURITIES CO L-H	C	50%	-	-	5.75%
355	1777	FANTASIA HOLDINGS GROUP CO LIMITED	C	50%	-	-	5.75%
356	0035	FAR EAST CONSORTIUM INTERNAT	C	50%	-	-	5.75%
357	2038	FIH MOBILE LTD	C	50%	-	-	5.75%
358	0038	FIRST TRACTOR CO LTD-H	C	50%	-	-	5.75%
359	6088	FIT HON TENG LIMITED	C	50%	-	-	5.75%
360	0535	GEMDALE PROPERTIES AND INVESTMENT CORP LTD	C	50%	-	-	5.75%
361	0709	GIORDANO INTERNATIONAL LTD	C	50%	-	-	5.75%
362	3308	GOLDEN EAGLE RETAIL GROUP LTD	C	50%	-	-	5.75%
363	1086	GOODBABY INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
364	0041	GREAT EAGLE HOLDINGS LTD	C	50%	-	-	5.75%
365	0525	GUANGSHEN RAILWAY CO LTD-H	C	50%	-	-	5.75%
366	0053	GUOCO GROUP LTD	C	50%	-	-	5.75%
367	1456	GUOLIAN SECURITIES CO LTD-H	C	50%	-	-	5.75%
368	3347	HANGZHOU TIGERMED CONSULTING CO LTD-H	C	50%	-	-	5.75%
369	1133	HARBIN ELECTRIC CO LTD-H	C	50%	-	-	5.75%
370	2280	HC GROUP INC	C	50%	-	-	5.75%
371	0097	HENDERSON INVESTMENT LTD	C	50%	-	-	5.75%
372	3389	HENGDELI HOLDINGS LTD	C	50%	-	-	5.75%
373	0921	HISENSE KELON ELEC HLD-H	C	50%	-	-	5.75%
374	0480	HKR INTERNATIONAL LTD	C	50%	-	-	5.75%
375	0737	HOPEWELL HIGHWAY INFRASTRUCT	C	50%	-	-	5.75%
376	0799	IGG INC	C	50%	-	-	5.75%
377	0207	JOY CITY PROPERTY LTD	C	50%	-	-	5.75%
378	3336	JU TENG INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
379	1031	KINGSTON FINANCIAL GROUP LTD	C	50%	-	-	5.75%
380	0034	KOWLOON DEVELOPMENT CO LTD	C	50%	-	-	5.75%
381	0488	LAI SUN DEVELOPMENT COMPANY LIMITED	C	50%	-	-	5.75%
382	0194	LIU CHONG HING INVESTMENT LTD	C	50%	-	-	5.75%
383	0973	L'OCCITANE INTERNATIONAL SA	C	50%	-	-	5.75%
384	1680	MACAU LEGEND DEVELOPMENT LTD	C	50%	-	-	5.75%

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(HK MARKET)**

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385	0945	MANULIFE FINANCIAL CORP	C	50%	-	-	5.75%
386	0853	MICROPORT SCIENTIFIC CORP	C	50%	-	-	5.75%
387	0071	MIRAMAR HOTEL & INVESTMENT CO LTD	C	50%	-	-	5.75%
388	1208	MMG LTD	C	50%	-	-	5.75%
389	3918	NAGACORP LTD	C	50%	-	-	5.75%
390	0777	NETDRAGON WEBSOFT HOLDINGS LTD	C	50%	-	-	5.75%
391	9999	NETEASE INC-S	C	50%	-	-	5.75%
392	2343	PACIFIC BASIN SHIPPING LTD	C	50%	-	-	5.75%
393	3636	POLY CULTURE GROUP CORPORATION LIMITED-H	C	50%	-	-	5.75%
394	6049	POLY PROPERTY DEVELOPMENT CO LTD-H	C	50%	-	-	5.75%
395	3813	POU SHENG INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
396	1238	POWERLONG REAL ESTATE HOLDINGS	C	50%	-	-	5.75%
397	2378	PRUDENTIAL PLC	C	50%	-	-	5.75%
398	6198	QINGDAO PORT INTERNATIONAL CO LTD-H	C	50%	-	-	5.75%
399	1122	QINGLING MOTORS CO LTD-H	C	50%	-	-	5.75%
400	3369	QINHUANGDAO PORT CO LTD	C	50%	-	-	5.75%
401	0078	REGAL HOTELS INTL HLDGS LTD	C	50%	-	-	5.75%
402	0631	SANY HEAVY EQUIPMENT INTERNATIONAL HOLDINGS CO LTD	C	50%	-	-	5.75%
403	1787	SHANDONG GOLD MINING CO LTD-H	C	50%	-	-	5.75%
404	0563	SHANGHAI INDUSTRIAL URBAN DE	C	50%	-	-	5.75%
405	2006	SHANGHAI JIN JIANG INTL HO-H	C	50%	-	-	5.75%
406	2345	SHANGHAI PRIME MACHINERY CO LTD - H	C	50%	-	-	5.75%
407	0218	SHENWAN HONGYUAN HK LTD	C	50%	-	-	5.75%
408	9989	SHENZHEN HEPALINK PHARMACEUTICAL GROUP	C	50%	-	-	5.75%
409	0639	SHOUGANG FUSHAN RESOURCES GROUP LTD	C	50%	-	-	5.75%
410	0107	SICHUAN EXPRESSWAY CO-H	C	50%	-	-	5.75%
411	0460	SIHUAN PHARMACEUTICAL HLDGS	C	50%	-	-	5.75%
412	2096	SIMCERE PHARMACEUTICAL GROUP LIMITED	C	50%	-	-	5.75%
413	0297	SINOFERT HOLDINGS LTD	C	50%	-	-	5.75%
414	1033	SINOPEC OILFIELD SERVICE CORPORATION- H SHARE	C	50%	-	-	5.75%
415	1308	SITC INTERNATIONAL CO LTD	C	50%	-	-	5.75%
416	0410	SOHO CHINA LTD	C	50%	-	-	5.75%
417	0878	SOUNDWILL HOLDINGS LTD	C	50%	-	-	5.75%
418	2888	STANDARD CHARTERED PLC	C	50%	-	-	5.75%
419	1836	STELLA INTERNATIONAL HOLDINGS LTD	C	50%	-	-	5.75%
420	0086	SUN HUNG KAI & CO LTD	C	50%	-	-	5.75%
421	1918	SUNAC CHINA HOLDINGS LTD	C	50%	-	-	5.75%
422	0435	SUNLIGHT REAL ESTATE INVESTMENT TRUST	C	50%	-	-	5.75%

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423	0088	TAI CHEUNG HOLDINGS	C	50%	-	-	5.75%
424	1070	TCL ELECTRONICS HOLDINGS LIMITED	C	50%	-	-	5.75%
425	0511	TELEVISION BROADCASTS LTD	C	50%	-	-	5.75%
426	2678	TEXHONG TEXTILE GROUP LTD	C	50%	-	-	5.75%
427	0321	TEXWINCA HOLDINGS LTD	C	50%	-	-	5.75%
428	3933	THE UNITED LABORATORIES INTE	C	50%	-	-	5.75%
429	0028	TIAN AN CHINA INVESTMENTS CO LTD	C	50%	-	-	5.75%
430	1065	TIANJIN CAPITAL ENVIRON-H	C	50%	-	-	5.75%
431	0882	TIANJIN DEVELOPMENT HLDGS LT	C	50%	-	-	5.75%
432	3382	TIANJIN PORT DEVELOPMENT HOLDINGS LTD	C	50%	-	-	5.75%
433	0698	TONGDA GROUP HOLDINGS LTD	C	50%	-	-	5.75%
434	0062	TRANSPORT INTL HLDG LTD	C	50%	-	-	5.75%
435	3331	VINDA INTERNATIONAL HOLDINGS	C	50%	-	-	5.75%
436	3393	WASION GROUP HOLDINGS LTD	C	50%	-	-	5.75%
437	2233	WEST CHINA CEMENT LTD	C	50%	-	-	5.75%
438	2359	WUXI APPTTEC CO LTD-H	C	50%	-	-	5.75%
439	3378	XIAMEN INTERNATIONAL PORT CO LTD - H	C	50%	-	-	5.75%
440	1899	XINGDA INT'L HOLDINGS	C	50%	-	-	5.75%
441	0811	XINHUA WINSHARE PUBLISHING-H	C	50%	-	-	5.75%
442	1585	YADEA GROUP HOLDINGS LTD	C	50%	-	-	5.75%
443	1558	YICHANG HEC CHANGJIANG PHARMACEUTICAL CO LTD-H	C	50%	-	-	5.75%
444	2858	YIXIN GROUP LIMITED	C	50%	-	-	5.75%
445	9987	YUM CHINA HOLDINGS INC	C	50%	-	-	5.75%
446	0564	ZHENGZHOU COAL MINING MACHINERY GROUP CO-H	C	50%	-	-	5.75%
447	2057	ZTO EXPRESS (CAYMAN) INC-SW	C	50%	-	-	5.75%

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