



APPLICATION FOR OPENING OF TRADING ACCOUNT (CORPORATE)

1. TRADING ACCOUNT TYPE		2. MODE OF SERVICE	
<input type="checkbox"/> Ordinary Trading	<input type="text"/>	<input type="checkbox"/> TR Name : _____	
<input type="checkbox"/> Margin Trading**	<input type="text"/>	TR Code : <input type="text"/>	
<input type="checkbox"/> REITs Leverage**	<input type="text"/>	<input type="checkbox"/> Internet:	
<input type="checkbox"/> Securities Borrowing & Lending ("SBL")**	<input type="text"/>		
<input type="checkbox"/> Cash Collateralised Trading ("CCT")	<input type="text"/>		
<i>** Please sign the Margin Agreement and Memorandum of Deposit</i>			
3. CUSTODY ACCOUNT			
<input type="checkbox"/> Sub Account No. maintained with KGI Securities: 2011 -	<input type="text"/>	-	<input type="text"/>
<input type="checkbox"/> CDP Account No. ("GSA") : 1681 -	<input type="text"/>	-	<input type="text"/>
4. PARTICULARS OF CORPORATION			
Name of Corporation			
Registered Address			
Principal Place of Business (If different from Registered Address)			
Mailing Address* (If different from Registered/Principal Place of Business Address)			
Reason for using the mailing address:			

Relationship to the owner of the mailing address:			

<small>* Please provide a copy of the supporting document (less than 3 months old)</small>			
Nationality of Shareholders Owning at Least 51% of the Company		Company Registration No.	
<input type="checkbox"/> Singapore <input type="checkbox"/> Malaysian <input type="checkbox"/> Others, _____		Place of Incorporation	
Permanent Resident of Singapore? <input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Singapore <input type="checkbox"/> Malaysian <input type="checkbox"/> Others, _____	
Ultimate Holding Company (if applicable):		Date of Incorporation	
Has the Applicant ever issued bearer shares?			
<input type="checkbox"/> 1. The Applicant has never issued bearer shares.			
<input type="checkbox"/> 2. The Applicant has issued bearer shares, and (select one option by ticking the relevant box below):			
<input type="checkbox"/> Has converted such bearer shares into registered shares; or			
<input type="checkbox"/> Has disclosed to KGI the information of holder(s) of bearer shares with controlling ownership.			
Office Telephone No.		Nature of Business	
Fax No.		Email Address	

5. TAX RESIDENCY SELF-CERTIFICATION

(1) Entity Type (Please provide the account holder's status by ticking one of the following boxes)

- (a) Financial Institution - Investment Entity
 - An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution

Please indicate the name of **all** Controlling Person(s) of the account holder:

_____	_____	_____
_____	_____	_____
_____	_____	_____

Each Controlling Person is required to complete "Controlling Person Tax Residency Self-Certification Form", which can be downloaded from the Company's website.

- Other Investment Entity
- (b) Financial Institution - Depository Institution, Custodial Institution or Specified Insurance Company

If you have ticked (a) or (b) above, please provide, if any, the account holder's Global Intermediary Identification Number ("GIIN") obtained for FATCA purposes.

□□□□□□□□	-	□□□□□□□□	-	□□□□	-	□□□□
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- (c) Active NFE - a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation

Please indicate the name of the established securities market: _____
Please indicate the name of the regularly traded corporation if you are a related entity of such a corporation: _____
- (d) Active NFE - a government entity or central bank
- (e) Active NFE - an international organisation
- (f) Active NFE - other than (c)-(e) (for example a start-up NFE or a non-profit NFE)
- (g) Passive NFE

Please indicate the name of **all** Controlling Person(s) of the account holder:

_____	_____	_____
_____	_____	_____
_____	_____	_____

Each Controlling Person is required to complete "Controlling Person Tax Residency Self-Certification Form", which can be downloaded from the Company's website.

(2) Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number ("TIN")

Please complete the following table indicating (i) where the account holder is tax resident and (ii) the account holder's TIN for each country/jurisdiction indicated. Please indicate **all** countries/jurisdictions (including Singapore and US, if applicable). If the account holder is not tax resident in any country/jurisdiction (e.g., because it is fiscally transparent), please indicate that on line 1 in the table below and provide its place of effective management or jurisdiction in which its principal office is located.

If the account holder is a tax resident of Singapore, the TIN is the Unique Entity Number ("UEN"), the Income Tax Reference Number ("ITR"), or the Tax Reference Number assigned by IRAS ("ASGD").

If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

Reason A - The country/jurisdiction where the account holder is resident does not issue TINs to its residents
Reason B - The account holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason).

Reason C - No TIN is required. (Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such jurisdiction).

Country/Jurisdiction of tax residence	TIN	If no TIN available, enter Reason A, B or C	Explain why you are unable to obtain a TIN if you selected Reason B
1			
2			
3			
4			
5			

* If the entity is a U.S. tax resident, please provide us with the completed and signed Form W9.
 Note: The Form W-9 is available from request or can be downloaded from the US Internal Revenue Service-Forms.

For more information, please visit the OECD or IRAS website:
<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>
<https://www.iras.gov.sg/IRASHome/Quick-Links/International-Tax/Common-Reporting-Standard--CRS-/>

(3) Declarations

- a. I/We understand that the information contained herein is covered by the full provisions of the terms and conditions governing the account holder's relationship with KGI Securities setting out how KGI Securities may use and share the information supplied by me/us.
- b. I/We acknowledge that the information contained herein and information regarding the account holder and any reportable account(s) may be provided to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the account holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.
- c. I/We understand that it is an offense under applicable Singapore laws to knowingly provide false or misleading information regarding tax residency status and that such offense is punishable with a fine and/or imprisonment. I/We hereby declare and confirm that the information given and the statements made herein are, to the best of my/our knowledge and belief, correct and complete.
- d. I/We undertake to advise KGI Securities of any change in circumstances which affects my/our tax residency status or causes the information contained herein to become incorrect or incomplete, and to provide KGI Securities with a suitably updated self-certification form within **30 days** of such change in circumstances.

6. BOARD OF DIRECTORS / PRINCIPAL OFFICERS

Please attach a separate schedule if the space provided is insufficient.

Please attach a certified true copy of their identification documents.

Name: _____ Designation: _____ Date of appointment: _____

NRIC/passport No.: _____ Date of birth: _____

Nationality: _____ Permanent Resident of Singapore? Yes No

Residential Address: _____

Home Tel No.: _____ Office Tel No. _____ Mobile Phone No.: _____

Name: _____ Designation: _____ Date of appointment: _____

NRIC/passport No.: _____ Date of birth: _____

Nationality: _____ Permanent Resident of Singapore? Yes No

Residential Address: _____

Home Tel No.: _____ Office Tel No. _____ Mobile Phone No.: _____

Name: _____ Designation: _____ Date of appointment: _____

NRIC/passport No.: _____ Date of birth: _____

Nationality: _____ Permanent Resident of Singapore? Yes No

Residential Address: _____

Home Tel No.: _____ Office Tel No. _____ Mobile Phone No.: _____

Name: _____ Designation: _____ Date of appointment: _____

NRIC/passport No.: _____ Date of birth: _____

Nationality: _____ Permanent Resident of Singapore? Yes No

Residential Address: _____

Home Tel No.: _____ Office Tel No. _____ Mobile Phone No.: _____

7. CUSTODIAN INFORMATION

Please tick one (1) box:

- We have an existing Global Securities Account ("GSA") maintained with The Central Depository Pte Ltd and to link it to Securities Trading Account (please complete SGX Account Linkage Form)
- We have an existing custodian arrangement with a financial institution and enclose herewith our standing settlement instruction including our Custodian details.
- We would like to open a sub account and appoint KGI Securities (Singapore) Pte. Ltd. ("KGI Securities") to act as our Depository Agent for custody of SGX-ST listed securities.

Please tick one (1) box:

- sub account for our company's investment.
We declare that we are the ultimate beneficial owner and not using this account to provide nominees and other services for other person(s).
- omnibus account (for foreign beneficial owners), applicable for institutional client whose end clients ie. the ultimate beneficial owners who are non-Singaporeans or non-Singapore incorporated company.
- ** Designated sub account for _____
(name of beneficial owner)

** Please tick accordingly and furnish the following details:

- (i) Particulars of ultimate Beneficial Owner individual Corporation
- (ii) Nationality Singapore Malaysian Others, _____
(For corporation, nationality of shareholders owning more than 51% of shares in the company)

Permanent resident of Singapore? Yes No

(iii) Residency / Place of Incorporation: _____

(iv) NRIC / Passport No.: _____ Date of Birth: _____ (For Individual)
Company Registration No.: _____ Date of registration: _____ (For Corporation)

(v) Nature of Business: _____ (For Corporation)

Please attach a certified copy of individual's identification documents/corporate's certificate of incorporation

8. ACCOUNT RELATIONSHIP INFORMATION

i) Does your company have any securities trading account(s) with other broking firms? No Yes, please furnish the name of firm(s):

(1). _____ (2). _____ (3). _____

ii) Is/are the authorized person(s) related to any employee or trading representative of KGI Securities? No Yes

If yes, please furnish the following information:

Name of Authorised Person	Name of Employee/TR	Relationship

iii) Is/are your company, company's directors or shareholders and their immediate family members¹ a principal shareholder² of any company that has an Account with KGI Securities? No Yes, please furnish the name(s) and account(s):

Name: _____ Relationship: _____ Account No.: _____

iv) Does any of your company's directors or shareholders and their immediate family members¹ have any influence or control of any account maintained with KGI Securities? No Yes, please furnish the name(s) and account(s):

Name: _____ Relationship: _____ Account No.: _____

Name: _____ Relationship: _____ Account No.: _____

Please attach a separate schedule if the space provided here is insufficient.

v) Purpose and intend nature of business relationship:

Trading of Equity Others, please specify: _____

Expected amount for each transaction: _____

(¹) Immediate family members include spouse, child, adopted child, step-child, brothers, sister and parents.

(²) Principle shareholder means the person and/or company has control of not less than 25% of the voting power in the company.

9. FINANCIAL INFORMATION

Enclosed a copy of our latest financial statement / report ending _____

Authorised Capital S\$ _____ Paid up / Issued capital S\$ _____

Total Shareholders' Fund S\$ _____

Source of Wealth*: Business Income Investment Income Sales of Investment Injection of Capital

Others, _____

* Please provide a copy of the supporting document.

Source of Fund: Business Income Investment Income Sales of Investment Injection of Capital

Others, _____

We confirm that we are tax resident of Singapore³.

We confirm that we are not tax resident of Singapore³

(³) tax resident as defined by Income Tax Act, Section 2 in relation to a company or body of persons means or body of persons exercising control and management of business in Singapore.

10. DECLARATION OF POLITICALLY EXPOSED PERSON ("PEP")⁴

i) Do any of your company's directors, shareholders or authorized personnel hold, have previously held or actively seeking a position or being entrusted or being considered a prominent public function⁵,

No Yes, details as follow:

Name/NRIC or Passport No.	Designation Company	Status (currently holding/is actively seeking/is being considered/ previously holding)	Prominent Public Position

ii) Do any immediate family members⁷ of your company's directors, shareholders or authorized personnel hold, have previously held or actively seeking a position or being entrusted or being considered a prominent public function⁵,

No Yes, details as follow:

Name/NRIC or Passport No.	Designation Company	Status (currently holding/is actively seeking/is being considered/ previously holding)	Prominent Public Position

Note:

⁽⁴⁾ Politically Exposed Person ("PEP") means (i) a natural person who is or has been entrusted with prominent public functions ⁽⁵⁾ whether in Singapore, a foreign country or an international organisation⁽⁶⁾; (ii) family members⁽⁷⁾ of such a person; or (iii) close associates⁽⁸⁾ of such a person.

⁽⁵⁾ Prominent public functions includes the roles held by a head of state, a head of government, government ministers, senior civil servants, senior judicial or military officials, senior executives of state owned corporations, and senior political party officials.

⁽⁶⁾ International organization means an entity established by formal political agreements between member countries that have the status of international treaties, whose existence is recognized by law in member countries and which is not treated as a resident institutional unit of the country in which it is located.

⁽⁷⁾ Family member means a parent, step-parent, child, step-child, adopted child, spouse, sibling, step-sibling and adopted sibling of the PEP.

⁽⁸⁾ Close associate means a natural person who is closely connected to a PEP, either socially or professionally.

11. DECLARATION AND AGREEMENT

- i) We hereby apply and request KGI Securities to open and maintain a Securities Trading Account (the "Account") in our name, and to provide custody facilities and as our custodian in relation to securities that may be purchased and/or deposited in our Account from time to time.
- ii) We declare that we are not insolvent or a delinquent account holder with any trading member of the Singapore Exchange Securities Trading Limited ("SGX-ST")
- iii) We agree that all transaction for the Account will be made in accordance with and be subject to all prevailing rule and regulations of the Singapore Exchange Limited and its subsidiaries or any regulatory body or exchange (whether in or outside Singapore) where the transactions are effected. Where the securities are centrally deposited and transferred by way of electronics book entries, the transactions shall, in addition, be governed by the laws and rules governing the relevant central depository.
- iv) We acknowledge that we have received, read and fully understood the Terms & Conditions for Securities Trading ("T&C") including and not limited to Risk Disclosure Statement, Terms and Conditions for the Operation of Securities Trading Account, Terms and Conditions for Electronic Services, Terms and Conditions for Electronic Payment Services, Guide & Cautionary Notes, Privacy Policy and Personal Data Protection Terms.

We agree that this application and the Account(s) herein shall be governed by the KGI Securities' T&C as may be varied/amended or supplemented from time to time.

We acknowledge that the prevailing KGI Securities' T&C is available on www.kgieworld.sg and that a printed copy of the KGI Securities' T&C is available upon our request.

- v) We request and irrevocably authorize KGI Securities to honour and comply with all our requests and instructions whether verbal, written or otherwise communicated to KGI Securities with regard to the operation of the Account.
- vi) We understand that our submission of this application and the acceptance of this application by KGI Securities in no way implies approval for the opening of the Account and that KGI Securities reserves the unconditional right not to approve this application without giving any reasons whatsoever.
- vii) We declare that the particulars given herein in this application are true and correct and we undertake to advise KGI Securities of any change in particulars immediately.
- viii) We understand that we are obliged to comply with the Companies Act (Cap 50) to disclose our substantial shareholding in securities of Singapore incorporated companies.
- ix) We declare that all monies which will be paid to KGI Securities originates from legitimate source.

11A. DECLARATION OF TAX COMPLIANCE

We hereby represent and declare that:

- i) We shall be fully responsible for our own tax affairs and ensure that our account(s) maintained with KGI Securities are in compliance with all laws to which they are subject including, without limitation, all tax laws and regulations, exchange control requirements and registration requirements on funds and assets ("Tax Laws");
- ii) Without detracting from the generality of (i), we confirm that any and all funds and assets placed and will place with KGI Securities and any profit that may accrue from their use are placed shall be in full compliance with the Tax Laws of relevant jurisdiction where we are domiciles, resident or deemed resident in, employed, engaged in any business or trade of which we are citizen or permanent resident and/or which we are legally otherwise subject to;
- iii) To the best of our knowledge and belief, I have not willfully committed and/or convicted of any serious tax crimes;
- iv) We shall be fully responsible for taking advice from a tax expert in the jurisdiction of our tax residence and KGI Securities shall neither be responsible for nor provide any tax advice to us.
- v) We hereby agree and undertake to notify KGI Securities in writing within thirty (30) days and provide the relevant documents to KGI Securities of any change in our personal information or circumstances including, without limitation, our place of incorporation, address, principal place of business and additional information which affects our tax status, and any material adverse change in our financial condition or any change in the ultimate beneficial ownership of our account.

We hereby agree to indemnify KGI Securities and its related companies or affiliates, shareholders, officers, directors, employees and assignees from any and all liability arising from KGI Securities' reliance on the declarations made by us in this Application or our delay in informing KGI Securities of any change in our tax status.

11B. ADDITIONAL DECLARATION OF TAX COMPLIANCE [APPLICABLE FOR FUND MANAGER & FINANCIAL INSTITUTION TRANSACTING IN OMNIBUS ACCOUNT(S)]

We are acting for our customer(s) in our dealing with KGI Securities and hereby represent and warrant the following in respect of our customer(s):

- i) That in accordance with the anti-money laundering laws of the jurisdiction of the supervisory authority by whom we are regulated, we have ascertained the identity of all customers for whom we act for.
- ii) We have conducted client verification procedures which are sufficient to establish the identity and source of funds of each of such customers
- iii) We have recorded the evidence establishing the identities and sources of funds of such customers and we will retain or procure the retention of such evidence for a period not less than five (5) years and
- iv) We undertake to promptly provide copies of such evidence to KGI Securities in response to a request from the regulator whether directly to us or KGI Securities.

In addition, we also specifically represent and warrant:

- i) None of the proposed customers, nor any person controlling, controlled by, or under common control with, any customer, nor any person having a significant beneficial interest in the customer (being a beneficial interest of no less than 5%), or for whom the customer is (a) a person or entity resident in, or organized or chartered under the laws of a jurisdiction identified as non-cooperative by the Financial Action Task Force ("FATF"); or (b) a person whose subscription funds/monies originate from or will be routed through, an account maintained at a foreign shell bank or "offshore bank" not subject to compliance with the anti-money laundering and anti-terrorism financing prescription of FATF;
- ii) We hereby undertake to immediately notify KGI Securities if we become aware of (a) any update information on any customer; and/or (ii) any suspicious activity or pattern of activity that may require further review to determine whether the information gives rise to suspicion of the customer; and/or the activity or pattern of activity is suspicious;
- iii) None of the customers and proposed customers is or will be a political exposed person within the meaning of the expression under the FATF prescriptions or the notices of the Monetary Authority of Singapore unless they have successfully passed the enhanced customer due diligence required to be performed against such persons.

We will retain, unless further notice, all documentation required to identify our customers and the basis for reasonable belief on their respective funds and funds use note being illicit accurately.

12. DECLARATIONS FOR OTHER SERVICES

OPTION FOR RECEIVING E-CONTRACT / E-STATEMENT

We would like to receive our e-contract note / e-statement sent via to our email address maintained with the Company.
We further undertake to notify the Company in writing of any change of our email address.

Authorised Signatory

Name: _____

Signature: _____

Designation: _____

Date: _____

Authorised Signatory

Name: _____

Signature: _____

Designation: _____

Date: _____

Company's stamp:

CERTIFIED EXTRACT OF RESOLUTION PASSED BY THE BOARD OF DIRECTORS' MEETING OF

(company name) _____ (the "Company")

held at (address) _____

on (date) _____ at (time) _____

RESOLVED:

That * securities trading account(s) / margin securities trading account(s) (collectively "the Account") and corresponding CDP sub-account(s), where applicable, be opened with KGI Securities (Singapore) Pte. Ltd. ("KGI Securities") in the capacity of the Company for the purpose of purchase and sale of securities, stocks and shares, bonds, warrants and rights and other financial instruments traded in the Singapore Exchange and other exchanges or markets in accordance with the Terms and Conditions for Securities Trading of KGI Securities, as amended.

That the Company has the capacity to contract with KGI Securities and that all the legal requirements for opening of the Account, including but not limited to an online trading facility, with KGI Securities have been satisfied in the country of incorporation.

That any * one / two of the following persons be and are hereby authorised to sign the Application Form, * Margin Facility Agreement, Memorandum of Deposit and all other relevant documents relating to the opening of the Account for and on behalf of the Company.

Name	NRIC/Passport No.	Designation	Contact No.	Signature
i. _____	_____	_____	_____	_____
ii. _____	_____	_____	_____	_____
iii. _____	_____	_____	_____	_____

That any * one / two of the following persons whose specimen signatures appear hereunder be and are hereby authorised to execute all documentation necessary to effect transfers and assignments and to give instructions on any and all matters relating to the settlement of securities in connection with the Account.

Name	NRIC/Passport No.	Designation	Contact No.	Signature
i. _____	_____	_____	_____	_____
ii. _____	_____	_____	_____	_____
iii. _____	_____	_____	_____	_____

That each of the following persons be and is hereby empowered to give instruction on any and all matters relating to trading of securities in connection with the Account on behalf of the Company with KGI Securities:-

Name	NRIC/Passport No.	Designation	Contact No.	Signature
i. _____	_____	_____	_____	_____
ii. _____	_____	_____	_____	_____
iii. _____	_____	_____	_____	_____

[Optional, applicable for online trading only]

That each of the following persons be and is hereby empowered to receive the passwords, personal identification numbers or access numbers or codes and to perform online securities trading on behalf of the Company with KGI Securities: -

Name	NRIC/Passport No.	Designation	Email Address	Handphone No.	Signature
i. _____	_____	_____	_____	_____	_____
ii. _____	_____	_____	_____	_____	_____
iii. _____	_____	_____	_____	_____	_____

We confirm that we shall ensure that there is no unauthorized use of the passwords, personal identification numbers or access numbers or codes and we will be fully responsible for all trades executed.

That a certified copy of this resolution be communicated to KGI Securities and shall remain in full force until an amending resolution shall have been passed and communicated to KGI Securities.

CERTIFIED TRUE COPY
(affix company stamp if any)

Chairman / Director

Director / Secretary

Date

* Delete as necessary

TO BE COMPLETED BY TRADING REPRESENTATIVE (TR)

- Walk-in Client, referred by _____
- Recommended by Client - Account No. _____
- Recommended by TR - No. of years known to TR _____
- Relationship to TR No Yes, please specify _____
- Others, please specify: _____

Accepted By:

Trading Representative Name / Signature

Date: _____