

**Client Confirmation and Declaration for Trading of
Taiwan Securities, Futures/Options or Derivatives Linked to Taiwan Securities, Futures/Options**

To: KGI Securities (Singapore) Pte. Ltd. ("KGI")

Date: _____

With respect to the trading of Taiwan securities, futures and options and/or the transactions in derivatives financial products related to Taiwan securities, futures and options or derivatives linked to Taiwan securities, futures and options ("**Taiwan Investments**"), I/We, the undersigned client(s), hereby confirm my/our understanding and agreement that:

1. I/We am/are required to open a Taiwan Trading Account ("**T Account**") to be used for Taiwan Investments only and to separate my/our Taiwan Investments from those under my/our primary account and other accounts with KGI;
2. The T Account is subject to the same terms and conditions of those applied to my/our primary account, and unless otherwise instructed by me/us, the T Account shall have the same account and service settings of my/our primary account; and
3. While internal fund transfer from the T Account to other trading accounts is generally allowed subject to KGI's prevailing procedures; internal fund transfer from other trading accounts into the T Account will be rejected without KGI's express approval. In no event shall KGI be liable for any direct or indirect damages or losses arising from such rejection or disapproval, if any.
4. The Taiwan Investment is subject to the laws and regulations of Taiwan and the rules and requirements of relevant exchanges.
5. I/We fully understand the risks in conducting the Taiwan Investments and are prepared to take such risks. I/We have carefully considered whether the Taiwan Investment is suitable for me/us in light of my/our knowledge and experience in financial and business matters, investment objectives, financial means and the risks that I/we are prepared to take.
6. (For clients intending to conduct day-trading of Taiwan securities) I/We further declare and commit my willingness to bear all potential risks in connection with day-trading of Taiwan securities, including but limited to, those listed below, and undertake to, assess my/our own financial standing, risk tolerance and investment experiences before engaging in such day-trading:
 - I. Investment risks: if I/we choose day-trading with highly volatile securities, I/we shall take into consideration the investment risks resulting from the price fluctuations.
 - II. Transaction costs: I/we shall understand that frequent transactions might lead to relevant transaction costs.
 - III. Risks of failing to conduct reverse trading:
 - a. Unable to conduct spot securities sales after cash purchase: I/we should consider the fact that after cash purchase, if I/we am/are unable to conduct spot securities sales for offsetting, I/we would run the risk of raising full amount of the price for settlement.
 - b. Unable to conduct cash purchase after spot securities sales: I/we should take into account the fact that after spot securities sales, if I/we am/are unable to conduct cash purchase, I/we must bear all risks and costs of securities lending for shortfall in the day-trading, borrowing through competitive bid or negotiated transactions, settlement-driven securities borrowing request, the price gap of mandatory repurchase to settle the transaction and other expenses concerned.

Further, I/We hereby declare that:

- (A) all the funds under the T Account for use of making the Taiwan Investments by me/us or by the ultimate beneficiary(ies) of the T Account do not originate from Taiwan or Mainland China;
- (B) I/We or the ultimate beneficiary(ies) of the T Account and (*applicable for corporate client*) our shareholder(s), director(s), authorized trader(s), and the respective controlling person(s) and person(s) directly or indirectly holding or contributing more than 30% of the total shares or funding of any of the above persons (collectively, "**Related Parties**"), if any, do not hold nationality of Mainland China;
- (C) I/We or any of the Related Parties: (*please tick applicable box below*)

am/are an insider of the following Taiwan listed company(ies) under the definition of prevailing Taiwan laws and regulations, including for example, a/an (1) director, (2) supervisor, (3) officer or (4) shareholder holding 5% or above of total outstanding shares of such company(ies) (including those held by his/her spouse, minor child(ren) and those held under the name of other parties).


Name of the Listed Company(ies): _____ (Stock code(s): _____)

Position or Identity at the Company(ies): _____

Furthermore, I/we and the Related Parties agree not to buy, sell and/or hold any securities of the above company(ies) or any derivatives financial products relating to such securities through KGI.

am NOT/are NOT an insider (as defined above) of any Taiwan listed company(ies).

- (D) Should there be any changes to the above after signing this confirmation/declaration, I/we will inform KGI immediately and sign an updated confirmation/declaration.
- (E) I/We agree (1) that at the request made by any regulatory bodies (including but not limited to any governmental authorities, regulatory bodies, tax authorities, law enforcement agencies and exchanges whether in Taiwan or outside Taiwan) from time to time or pursuant to any court orders, applicable laws, rules or regulations, KGI and its affiliated companies, brokers, custodians and agents may disclose and transfer to any such regulatory bodies or other persons personal data and information relating to the transaction(s) of the Taiwan Investments, me/us and the Related Parties; and (2) that the above regulatory bodies may use the above personal data and information in carrying out their functions or exercising their powers. If the above personal data and information relate to any third party(ies), I/we confirm that I/we have notified such third party(ies) and such third party(ies) have agreed to the above disclosure and use of personal data and information;
- (F) I/We agree that where permitted by such laws as applicable from time to time, KGI may in its absolute discretion and from time to time take any action or refrain from taking any action (whether in the name of the client or not) without any prior notice, including but not limited to entering into any forced liquidation of the Taiwan Investments, canceling, limiting or refusing to conduct transaction(s) and suspending, limiting or terminating all or any part of its services (1) for the purpose of ensuring compliance with or procuring other persons to comply with such laws, rules, regulations and market requirements as may be applicable from time to time; (2) when I/we or any Related Parties breach any law, rule, regulation, market requirement or any provision of this confirmation/declaration (as such provision may be amended by KGI from time to time) as the same may be applicable from time to time (whether such breach is actual or potential); or (3) for the purpose of avoiding or reducing any losses that KGI may incur or suffer as a result thereof;
- (G) I/We agree to fully indemnify KGI and its affiliated companies, brokers, custodians and agents against all losses, damages, liabilities, costs, expenses, actions, investigations and proceedings (whether actual or potential) incurred or suffered by any of them arising from any breach of any law, rule, regulation, market requirement or any provision of this confirmation/declaration (as such provision may be amended by KGI from time to time) as the same may be applicable from time to time on the part of myself/ourselves and any Related Parties; and
- (H) This confirmation/declaration shall be governed by the Singapore laws. Any disputes arising from or relating to this declaration shall be subject to the jurisdiction of the Singapore courts.

Client's signature			
<p style="text-align: center; margin: 0;">S.V.</p> <div style="text-align: center; margin: 10px 0;">  </div> <p style="margin: 0;">(All account holders of joint account must sign jointly)</p>	<p style="text-align: center; margin: 0;">Signatory</p> <table border="1" style="width: 100%; border-collapse: collapse; margin: 10px 0;"> <tr> <td style="width: 15%; background-color: #cccccc; text-align: center; padding: 2px;">Name(s)</td> <td style="width: 85%;"></td> </tr> </table>	Name(s)	
Name(s)			
Client Primary Account Number: _____			
Client T-Account Number: _____ Client T-Account Name: _____			

For Office Use Only			
Verified By AM		Updated by AM	
Name:	Date:	Name:	Date: