

UPDATE OF ACCOUNT PARTICULARS (JOINT) Please complete the form and provide the latest NRIC/Passport for both Joint Account holders along with this update of particulars

form. Indicate No Changes where necessary. For clients with existing CDP Securities Account, it is mandatory to complete the SGX Update Particulars (Individual/Joint) form for updating of Name, Address, NRIC/Passport No., Nationality and/or PR Status with The Central Depository (Pte) Limited directly.

1. A	CCOUNT HOLDER I	NFORMATION			Mano	latory Field	
Name							
	C / Passport No. : Trading Account No(s). : UPDATE OF SETTLEMENT MODE						
		MENT MODE ECURITIES TRADING)	No Changes	5			
Electro	nic Payment For Shai	res (EPS) Participating Ba	anks* *Please tick one SGD accou	nt only DBS/POSB		Maybank	
		res (EPS) Account No.: _			Name of Bank:		
		'ING CONTRACT / STAT ECURITIES TRADING)	EMENT  No Changes	i			
	it statements and con nail address.	tract notes will be sent el	ectronically to your email add	ress maintained with K	GI for all your accounts with online trac	ding or with a	
		of eStatements and recei	ve paper-based statements a	nd contract notes via n	nail.		
		R PARTICULARS					
4. U	PDATE OF NAME, N	IRIC/PASSPORT NUMBI	ER, NATIONALITY, PR STA fied true copy by KGI's Autho	TUS & GST STATUS	Public) No Changes		
Name							
Nationa	ality D Singapore	Citizen 🛛 Malaysia	an 🛛 US Citizen*	☐ Others,			
	nent Resident of Sing		□ No	_	h the completed and signed Form W-9*		
			only) :				
		porean/Singapore PR) : _			Date of expiry:		
,	, ,	e of residence* in Singap			place of residence:		
stays	in that country voluntari	ly and for a settled purpose,	such as to pursue a course of stu	dy or due to employment;	lividual should have the following attributes: and 2) The individual's stay in that country h	as some degree	
he sh	all be regarded as havin	g usual place of residence in	Singapore.	iar and nabitual pattern of	his life. If an individual has a Singapore res	idential address,	
	PDATE OF ADDRES ntial Address (As in N	5	s owned 🗌 Rented 🔲 Famil	y's House 🔲 Mortgage	ed		
	× ×	, <u> </u>					
		from Residential Address	* Please provide a	copy of utility bill, telco b	ill, lease or bank statement (Within 3 mor	oths of	
submiss	,						
	n for using the mailing nship to the owner of						
		T NUMBER(S) AND EM					
Home I		Mobile N		Email Address			
Occupa			No changes	Office No.	:		
•							
8 11		DENT INFORMATION			Mar	datory Field	
			ated Taxpayer Identification Nur	nber or equivalent numbe			
		•		·			
		<b>-</b>			older's TIN for each country/jurisdiction in t of Singapore, the TIN is the NRIC, FIN,		
			ssigned by IRAS ("ASGD").		, , , , , , , , , , , , , , , , , , ,		
lf a TIN	is unavailable, please p	provide the appropriate reaso	on A, B or C where indicated bel	JW.			
			older is resident does not issue btain a TIN or equivalent numbe		u are unable to obtain a TIN in the below t	able if you have	
	ted this reason).						
	son C - No TIN is requin liction).	ed. (Only select this reason	if the domestic law of the releva	nt country/jurisdiction doe	es not require the collection of the TIN issue	ed by such	
	Country/Jurisdiction		If no TIN available, enter	Explain why	y you are unable to obtain a TIN		
	of tax residence	TIN	Reason A, B or C		you selected Reason B		
1						-	
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L	1						

Note:								
(2) If you down (3) If you signe - US - US - US - US	declare in the loaded from did not decl d W-8BEN I place of birthe address (rest telephone n inding instruct	idence, mailing or PO Box)	resident, ple ice Forms: <u>h</u> US tax resid equest or ca	ease provide us with <u>https://www.irs.gov/for</u> dent but you have at in be downloaded fro ined in the US	the completed and sign ms-instructions least one of the following	ed W-9 Form, wh g US indicia, plea	nich is available upor ase provide us with th	n request or can be ne completed and
	For more information, please visit the OECD or IRAS website:							
		x/automatic-exchange/comm //IRASHome/Quick-Links/Int			orting-StandardCRS	<u>-/</u>		
is punishable	with a fine a	ffense under applicable Singap and/or imprisonment.		071	se or misleading inform	ation regarding ta	ax residency status a	and that such offense
9. UPDATE	OF POLI	ICALLY EXPOSED PERSO	ON ("PEP")	) STATUS	No Changes			
		ediate family members hold, ose associate of such a pers				a position or are	e being considered	for a prominent
Name		Relationship to the Accourt	nt Holder	Designation/Title			Employer/Country	
foreign country (1) Prominent military offi internation	<ul> <li>or an inter public func- icials, senic al organiza</li> </ul>	Person ("PEP") means (i) a rnational organization <sup>(2)</sup> ; (ii) f tions includes the roles held r executives of state owned tion. tion means an entity establis	amily mem by a head corporatior	bers <sup>(3)</sup> of such a pe of state, a head of ns, senior political p	erson; or (iii) close ass government, governn party officials, membe	sociates <sup>(4)</sup> of sum nent ministers, s r of the legislatu	ch a person. senior civil servants ure and senior man	s, senior judicial or agement of
located.		nce is recognized by law in						-
		is a parent, step-parent, child ns a natural person who is c					ed sibling of the PE	EP.
10. UPDATE	OF FINAM	ICIAL INFORMATION	No Char	nges				
Gross Annual		* Please provide a copy of \$\$25,001-\$\$50,000	• •	• •		] S\$150,001-S	х \$ 300 000 П ль	ove S\$300,000*
Estimated Net		] 3423,001-3430,000 Ц с	5 <del>4</del> 50,001-5	ф100,000 <u>Ц</u> Зф1	0,001-3\$130,000 L	_ 0\$100,001-C	жэоо,ооо Ц Аб	0000 34300,000
S\$250,000	& below							
		S\$250,001 - S\$1mil	S\$1	,000,001-S\$2mil	Above S\$2mil	Estimated L	iquid Assets:	
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Salary <b>11. CLIENT</b> Please ensure	alth*/Sour	ce of Fund * Please pro	vide a copy of	the supporting docume tment Income	nt Inheritance Income Mar incomplete or inacc	Other ndatory Field surate information	for Accredited o	
Salary Salary Salary Salary Please ensure outcome of out I. Accredited I. confirm t	alth*/Sour Saving PROFILE that the ur assessr Investor that I am	ce of Fund * Please pro gs Business Income DECLARATION information provided are of	vide a copy of Invest complete a the relevar	f the supporting docume tment Income	nt Inheritance Income Man incomplete or inacc experience to deal i	Other ndatory Field surate informa n certain prod	for Accredited o tion provided by y ucts.	you may affect the
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□ Salary         11. CLIENT         Please ensure         outcome of out         I. Accredited         □ I confirm t         Please tick acc         □ whose ne         equivaler         □ whose fir         amount a         • a dd         • ann i         • anni         • anni <t< td=""><td>alth*/Sour Baving PROFILE I a that the ur assessing Investor that I am cordingly at personal that a forein- that I am cordingly at personal that a forein- that I am cordingly at personal a the Author a copy of estor that I am cordingly whose buse ac ordingly whose buse ar person a PROFILE I a declare a for the pu verification</td><td>ce of Fund * Please pro ps Business Income DECLARATION information provided are of nent of whether you have an Accredited Investor assets exceed in value S\$2 gn currency ) in value is con ets (net of any related liabilit product as defined in section ets (net of any related liabilit product as defined in section et as may be prescribed by re- e past 12 months is not less of the relevant supporting of an Expert Investor as iness involves the acquisition trust as the Authority may pre- s the Authority may prescribe DECLARATION and warrant as follows: rpose of the above declaration purpose, upon request if the assets and the section as a section as a section and warrant as follows: and the above declaration and the ab</td><td>vide a copy of Invest complete a the relevan as defined million* (or tributed by ies) exceed of the first a anking Act; n 2(1) of the egulations r than S\$300 defined ir on and the c rescribe, wh e.</td><td>f the supporting docume tment Income [ and accurate. Any nt knowledge and d in section 4A(1) r its equivalent in a the net estimated fa d in value \$1 million amount, where "fina e Financial Adviser made under sectior 0,000* (or its equiva and complete the n section 4A(1)(b disposal, or the hold hen acting in that ca</td><td>nt Inheritance Income Man incomplete or inacc experience to deal i (a) of the Securities foreign currency),of w air market value of my (or its equivalent in a ancial asset" means – s Act; or a 341; or alent in a foreign current Accredited Investor ) of the Securities ding, of capital market apacity; or immediately and agr , or otherwise in com</td><td>Other_ ndatory Field surate informar n certain prod s And Futures thich no more th primary reside foreign current or opt-In Form And Futures s products, whe ree to provide s</td><td>for Accredited o tion provided by y ucts. Act (Cap. 289) han S\$1,000,000 (c ence; cy) or such other Act (Cap. 289) ether as principal o</td><td>you may affect the (the "Act"), being: or its (the "Act"), being: r agent;</td></t<>	alth*/Sour Baving PROFILE I a that the ur assessing Investor that I am cordingly at personal that a forein- that I am cordingly at personal that a forein- that I am cordingly at personal a the Author a copy of estor that I am cordingly whose buse ac ordingly whose buse ar person a PROFILE I a declare a for the pu verification	ce of Fund * Please pro ps Business Income DECLARATION information provided are of nent of whether you have an Accredited Investor assets exceed in value S\$2 gn currency ) in value is con ets (net of any related liabilit product as defined in section ets (net of any related liabilit product as defined in section et as may be prescribed by re- e past 12 months is not less of the relevant supporting of an Expert Investor as iness involves the acquisition trust as the Authority may pre- s the Authority may prescribe DECLARATION and warrant as follows: rpose of the above declaration purpose, upon request if the assets and the section as a section as a section and warrant as follows: and the above declaration and the ab	vide a copy of Invest complete a the relevan as defined million* (or tributed by ies) exceed of the first a anking Act; n 2(1) of the egulations r than S\$300 defined ir on and the c rescribe, wh e.	f the supporting docume tment Income [ and accurate. Any nt knowledge and d in section 4A(1) r its equivalent in a the net estimated fa d in value \$1 million amount, where "fina e Financial Adviser made under sectior 0,000* (or its equiva and complete the n section 4A(1)(b disposal, or the hold hen acting in that ca	nt Inheritance Income Man incomplete or inacc experience to deal i (a) of the Securities foreign currency),of w air market value of my (or its equivalent in a ancial asset" means – s Act; or a 341; or alent in a foreign current Accredited Investor ) of the Securities ding, of capital market apacity; or immediately and agr , or otherwise in com	Other_ ndatory Field surate informar n certain prod s And Futures thich no more th primary reside foreign current or opt-In Form And Futures s products, whe ree to provide s	for Accredited o tion provided by y ucts. Act (Cap. 289) han S\$1,000,000 (c ence; cy) or such other Act (Cap. 289) ether as principal o	you may affect the (the "Act"), being: or its (the "Act"), being: r agent;
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□ Salary         11. CLIENT         Please ensure         outcome of or         I. Accredited         □ 1 confirm t         Please tick acc         □ whose ne         equivaler         □ whose fir         amount a         • a de         • ani         • any         □ whose inn         • any         □ aperson         □ the Trust         such othe         12. CLIENT         Declaration         I hereby agree         i. that         for         ii. that         require	alth*/Sour Baving PROFILE I a that the ur assessing Investor that I am cordingly at personal the a forein- ancial assis as the Author eposit as du nvestment to other asses come in the e a copy of estor that I am cordingly whose buse ee of such ar person a PROFILE I a declare a for the pu verification rect or accu- a as long as uired to go I confirm th	ce of Fund * Please pro ps Business Income DECLARATION information provided are of ment of whether you have an Accredited Investor assets exceed in value S\$2 gn currency ) in value is con ets (net of any related liabilit product as defined in section ets (net of any related liabilit product as defined in section et as may be prescribed by re- past 12 months is not less of the relevant supporting of an Expert Investor as siness involves the acquisition trust as the Authority may pre- s the Authority may prescribe DECLARATION Ind warrant as follows: rpose of the above declarati purpose, upon request if the irrate in any respect in relations is I am any one of the above through any assessment of at I will be solely responsible	vide a copy of Invest complete a the relevan as defined million* (or tributed by ies) exceed of the first a anking Act; n 2(1) of the egulations r than S\$300 defined ir an and the c rescribe, wh e.	the supporting docume tment Income [ and accurate. Any nt knowledge and d in section 4A(1) r its equivalent in a the net estimated fa d in value \$1 million amount, where "fina e Financial Adviser made under sectior 0,000* (or its equiva and complete the n section 4A(1)(b disposal, or the hold hen acting in that ca rtake to update you on provided herein counts I maintain wi d investor or until I th the Company as nining the suitability	nt Inheritance Income Man incomplete or inacc experience to deal i (a) of the Securities foreign currency),of w air market value of my (or its equivalent in a ancial asset" means – s Act; or a 341; or alent in a foreign current Accredited Investor ) of the Securities ding, of capital market apacity; or I immediately and agr , or otherwise in com th you; have formally notified s to my knowledge or for myself of any and	Other_ ndatory Field curate informar n certain prod s And Futures thich no more th primary reside foreign current comp); Opt-In Form And Futures s products, whe rece to provide s nection with the d you otherwise cexperience in	for Accredited o tion provided by y ucts. Act (Cap. 289) han S\$1,000,000 (c ence; cy) or such other Act (Cap. 289) ether as principal o ether as principal o	(the "Act"), being: or its (the "Act"), being: r agent; nts to the Company n, is no longer true, rstand that I am not ent Products (SIPs)
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CLIENT         Declaration         I hereby agree         i. that         for         corr         ii. that         requand         and <tr< td=""><td>alth*/Sour Baith*/Sour PROFILE I a that the is a that the is a that the is a that the is a cordingly a personal the is a cordingly a personal ancial assis as the Auth- ancial assis as the Auth- as the Auth- as</td><td>ce of Fund * Please pro ps Business Income DECLARATION information provided are of ment of whether you have an Accredited Investor assets exceed in value S\$2 gn currency ) in value is con ets (net of any related liabilit product as defined in section ets (net of any related liabilit product as defined in section et as may be prescribed by me past 12 months is not less of the relevant supporting of an Expert Investor as siness involves the acquisition trust as the Authority may pre- s the Authority may prescribe DECLARATION Ind warrant as follows: rpose of the above declarati purpose, upon request if the irrate in any respect in relation is I am any one of the above through any assessment of</td><td>vide a copy of Invest complete a the relevan as defined million* (or tributed by ies) exceed of the first a anking Act; n 2(1) of the egulations r than \$\$300 document a defined ir on and the c rescribe, wh e. ion, I under ie information in to all acc ementioned r review with e for determ nd other co tions to be a</td><td>the supporting docume tment Income [ and accurate. 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Act (Cap. 289) han S\$1,000,000 (a ence; cy) or such other Act (Cap. 289) ether as principal of the as principal of the above declaration in writing, I under Specified Investme products, advice of	you may affect the (the "Act"), being: or its (the "Act"), being: r agent; nts to the Company n, is no longer true, rstand that I am not ent Products (SIPs) or recommendations

Tel 65 6202 1188 Fax 65 6538 6038

- Section 25 of the FAA -Disclosure of product information, exempted under Regulation 33 of the FAR
- Section 27 of the FAA Reasonable basis for making investment, recommendation, exempted under regulation 34 of the FAR
- Section 36 of the FAA Disclosure of certain interests in securities, exempted under regulation 35 of the FAR.

iv. that my status as declared herein shall (and continue to) be valid, binding and legally effective on/in relation to me in all respects in relation to all accounts I now or hereafter shall open/maintain with you.

v. I undertake to indemnify the Company (including its related entities, directors, shareholders, officers and employees) for any loss, claim or expenses (including legal costs) it sustains as a result of relying on the information provided.

CRITERIA 1. Educational Qualification	CAR (Listed SIPs) Do you have one or more of the following qualifications? Yes No	CKA (Unlisted SIPs)			
Educational	□ Yes □No				
qualification					
		<ol><li>Any of the following professional finance-related qualification(s):</li></ol>			
	- Accountancy -	Associate Financial Planner			
	<ul> <li>Actuarial science</li> <li>Business/business administration/business</li> </ul>	Associate Wealth Planner     Certified Financial Planner			
	management/business studies	- Certified Financial Technician			
	- Capital markets	- Chartered Financial Analyst			
	- Commerce	- Chartered Financial Consultant			
	- Computational finance	- Chartered Alternative Investment Analyst			
	- Economics - Finance	<ul> <li>Chartered Institute of Securities and Investment</li> </ul>			
	- Financial engineering / planning	- Certified Public Accountant/Association of			
	- Insurance	Chartered Certified Accountants			
		- Capital Markets and Financial Services			
		(CMFAS) Exam Module 6 AND Module 7 (or			
		Module 6A)			
		- Financial Risk Manager			
	Turpe of Qualification:	Type of Qualification:			
	Type of Qualification: Name of Institution :	Type of Qualification: Year of Certification:			
	Year of Graduation:				
2.		t working experience in the past 10 years, in the following area(s):			
Work	(support functions that are administration or clerical in nature	will not considered as relevant experience)			
Experience	□ Yes □No				
	- Development / Structuring of investment products	- Research on or analysis of investment products			
	- Management of investment products	- Work experience in accountancy, actuarial science, treasury			
	- Sale / Trading of investment products	and/or financial risk management activities			
	- Provision of training in investment products	- Provision of legal advice in any of the listed areas			
	Name of Company: Designation: Period of Service:				
	Name of Company: Design	ation: Period of Service:			
3.	Have you traded a minimum of 6 transactions in List	ed Have you traded a minimum of 6 transactions in Unlisted SIPs i			
Investment	SIPs in the preceding 3 years?	the preceding 3 years?			
Experience					
	Examples of Listed SIPs*: - Certificates - Exchange Traded Funds /Notes	Examples of Unlisted SIPs*: - Leverage Foreign Exchange - OTC Products			
	- Futures - Structured Warrants or Options				
	Type of Products:	Type of Products:			
	Month / Year of Transaction:	Month / Year of Transaction:			
	* may vary from time to time according to MAS rules a	nd may vary from time to time according to MAS rules and regulations			
	regulations				
4.	(a) Have you personally undergone and completed the SC	GX (a) Have you personally undergone and completed the ABS-SAS			
nvestment	eTutorial and passed the online qui				
Education					
	https://onlineeducation.sgx.com/specifiedinvestmentproducts/	https://sips.abs.org.sg/			
	∐ Yes □No	□ Yes □No			
	If yes, score is%	Provide copy of the certificate issued by ABS-SAS e-learning system			
	How many attempts did you make before you passed the SC				
	eTutorial Module?	learning Module?			
	(h) I have seen and develop here and the set of the second for an electron the state of	on (b) Have you previously been refused by any financial institution t			
	(b) Have you previously been refused by any financial instituti				
	to open account to trade in SIPs?	open account to trade in unlisted SIPs?			

ASSESSMENT RESULT						
Based on the above assessment, I understand that I :						
CAR for Listed SIPs	CKA for Unlisted SIPs					
possess the relevant knowledge or experience for Listed SIPs, as one or	possess the relevant knowledge or experience in Unlisted SIPs,					
more of my answers to the above (other than Q4(b)) is Yes, and my answer to	as one or more of my answers to the above (other than Q4(b)) is Yes,					
Q4(b) is No. You will contact me if you need more information from me.	and my answer to Q4(b) is No. You will contact me if you need more					
	information from me.					
do not possess the relevant knowledge or experience in Listed SIPs. I will						
not be able to continue to trade in Listed SIPs.	do not possess the relevant knowledge or experience in Unlisted					
	SIPs. I will not be able to continue to trade in Unlisted SIPs.					
Please complete the Online Education programme of the SGX (mentioned in						
Q4(a)) and pass the online quiz or submit the 'Customer's Acknowledgment	If you still wish to trade in Unlisted SIPs, you can take the online e-					
for CAR' if you still intend to trade in Listed SIPs	module course offered by ABS-SAS and be eligible to trade once you					
	have passed.					
14. CKA/CAR ASSESSMENT DECLARATION (Continued)						
<ul> <li>I confirm that all the information provided herein is true and accurate and I have not omitted nor misrepresented any material and/or relevant facts and information. I understand and acknowledge that any inaccurate or incomplete information provided by me may affect the outcome of the CAR and/or CKA.</li> </ul>						
ii. I understand that the above is strictly for the purpose of enabling the Con trade Listed SIPs and/or Unlisted SIPs, and I acknowledge the assessme	mpany to assess whether I have the relevant knowledge or experience to nt result as shown in the above.					

I confirm that regardless of the outcome of this CAR and/or CKA, I am fully aware that I can, at any time, request for advice concerning Listed SIPs and/or Unlisted SIPs for a fee.

onality	Singapore Citizen	🗌 Malaysian	US Citizen*	Others,	
	Resident of Singapore?		No No	* Please provide us with the completed and	I signed Form W-9*
				Date of expi	ry:
ou have	your usual place of resi	dence* in Singapore	? 🛛 es 🗋 o, pl	ease specify your usual place of residenc	e:
in that co nuity, apa be regare	ountry voluntarily and for a s art from temporary or occasi ded as having usual place of	ettled purpose, such as onal absence, such that residence in Singapore.	to pursue a course of stu tit forms part of the regula	place of residence" of an individual should hav dy or due to employment; and 2) The individual r and habitual pattern of his life. If an individua	's stay in that country has some de
	TE OF ADDRESS Address (As in NRIC/Pa	No Changes (sport) Self-owr	ned 🗌 Rented 🔲 Fa	mily's House 🔲 Mortgaged	
nission)	ress* (If different from Re	,		a copy of utility bill, telco bill, lease or bank	statement (Within 3 months of
	using the mailing addres to the owner of the mail				
UPDAT	TE OF CONTACT NUM	BER(S) AND EMAIL	ADDRESS	No Changes	
e No. IPDATE	E OF EMPLOYMENT IN	Mobile No.	No Changes	Email Address	
				Office No.:	
	ame/Address:				
	E OF TAX RESIDENT I	FORMATION			Mandatory
ate <u>all</u> co rence Nu IN is una son A - T son B - T sted this i	ountries/jurisdictions (includ umber ("ITR"), or the Tax R available, please provide th The country/jurisdiction whe The account holder is othe reason).	ling Singapore and US eference Number assig e appropriate reason A ree the account holder i rwise unable to obtain	S, if applicable). If the acc gned by IRAS ("ASGD"). A, B or C where indicated s resident does not issue a TIN or equivalent num	TINs to its residents. ber (Please explain why you are unable to ob	ne TIN is the NRIC, FIN, the Inco
ate <u>all</u> corrence Nu TN is una son A - T son B - T ted this under son C - liction).	ountries/jurisdictions (includ umber ("ITR"), or the Tax R available, please provide the The country/jurisdiction whe The account holder is othe reason). No TIN is required. (Only	ling Singapore and US eference Number assig e appropriate reason A ree the account holder i rwise unable to obtain	S, if applicable). If the acc gred by IRAS ("ASGD"). A, B or C where indicated s resident does not issue a TIN or equivalent num ne domestic law of the residual content of the residual con	ount holder is a tax resident of Singapore, the below: TINs to its residents. Ser (Please explain why you are unable to ob elevant country/jurisdiction does not require t	the TIN is the NRIC, FIN, the Inco
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lf you	or any of your imme	ICALLY EXPOSED PERSON ("PEP") ediate family members hold, has/have p ose associate of such a person, please	previously held, or are currently seeking a position or	are being considered for a prominent
	1			
Nar	ne	Relationship to the Account Holder	Designation/Title	Employer/Country
foreig (1) P m in (2) In tr lo (3) F	in country or an inter rominent public func illitary officials, senic iternational organiza iternational organiza eaties, whose existe icated. amily member mean	rnational organization <sup>(2)</sup> ; (ii) family mem tions includes the roles held by a head or executives of state owned corporation tion. tion means an entity established by forn nce is recognized by law in member co us a parent, step-parent, child, step-child	I son who is or has been entrusted with prominent pub bers <sup>(3)</sup> of such a person; or (iii) close associates <sup>(4)</sup> of of state, a head of government, government minister hs, senior political party officials, member of the legisl mal political agreements between member countries i untries and which is not treated as a resident instituti d, adopted child, spouse, sibling, step-sibling and add ected to a PEP, either socially or professionally.	such a person. s, senior civil servants, senior judicial or ature and senior management of hat have the status of international onal unit of the country in which it is
. ,		. ,	lo Changes	
Gros	s Annual Income*	* Please provide a copy of your latest pa	ayslip or Income Tax Statement	
		]S\$25,001-S\$50,000 🗌 S\$50,001-S	\$100,000 🔲 S\$100,001-S\$150,000 🗍 S\$150,00 <sup>7</sup>	-S\$300,000
	nated Net Worth \$250,000 & below	☐ S\$250,001 - S\$1mil	,000,001-S\$2mil Above S\$2mil Estimated	I Liquid Assets:
Sour	ce of Wealth*/Sour	1 13	the supporting document	
	DECLARATION AN		wauthorize and concept to the undete of the choice	information to all of our trading appoint
(i)	("Account") maintai		y authorize and consent to the update of the above	information to all of our trading accounts
(ii)			ehalf and the information provided herein (including a	all supporting documentation furnished in
(iii)	We hereby represe Foreign Account Ta	ax Compliance Act ("FATCA"), Commo	and all documentation or other information to KGI for in Reporting Standard ("CRS"), local legislation in co rnments in relation to FATCA and CRS.	
(iv)	information, docum	nents or other evidence which may be r	s of any change of the information herein (including o equired in connection with such change.	
(v)	We hereby acknow Act 2012.	vledge and consent to KGI's collecting	, processing and disclosing our personal data protection	cted under the Personal Data Protection
(vi)	We agree that the		terms & conditions for trading ("T&C") as may be var s available on <u>www.kgieworld.sg</u> and that a printed	
	CLIENT PROFILE		Mandatory Field	d for Accredited or Expert Investor
			nd accurate. Any incomplete or inaccurate inform nt knowledge and experience to deal in certain pro	
	credited Investor confirm that I am se tick accordingly	an Accredited Investor as defined	in section 4A(1)(a) of the Securities And Futur	es Act (Cap. 289) (the "Act"), being
		assets exceed in value S\$2 million* (or	its equivalent in a foreign currency),of which no more	e than S\$1,000,000 (or its
_			the net estimated fair market value of my primary res	
_		( , , , , , , , , , , , , , , , , , , ,	I in value \$1 million (or its equivalent in a foreign curre amount, where "financial asset" means —	ency) or such other
		efined in section 4B of the Banking Act;	anount, where intariolal asset filedits —	
	an investment	product as defined in section 2(1) of the		
_		at as may be prescribed by regulations $r$		
		•	),000* (or its equivalent in a foreign currency); and complete the Accredited Investor Opt-In Forn	1
II. E	xpert Investor			
<b>I</b> I	confirm that I am	an Expert Investor as defined ir	n section 4A(1)(b) of the Securities And Future	s Act (Cap. 289) (the "Act"), being
	se tick accordingly	iness involves the acquisition and the c	lisposal, or the holding, of capital markets products, v	hether as principal or agent.
	the Trustee of such	trust as the Authority may prescribe, what here the authority may prescribe.		mound as principal or agent,

CRITERIA	ASSESSMENT DECLARATION CAR (Listed SIPs)	Mandatory Field if trading in Derivatives, FX or OTC product CKA (Unlisted SIPs)
5. Educational	Do you have one or more of the following qualifications?	`
Qualification	A. Diploma or higher qualification(s) in any of the B	Any of the following professional finance-related ualification(s):
	<ul> <li>Accountancy -</li> <li>Actuarial science         <ul> <li>Business/business administration/business management/business studies</li> <li>Capital markets</li> <li>Commerce</li> <li>Computational finance</li> <li>Economics</li> <li>Finance</li> <li>Financial engineering / planning</li> <li>Insurance</li> </ul> </li> </ul>	<ul> <li>Associate Financial Planner</li> <li>Associate Wealth Planner</li> <li>Certified Financial Planner</li> <li>Certified Financial Planner</li> <li>Chartered Financial Technician</li> <li>Chartered Financial Analyst</li> <li>Chartered Financial Consultant</li> <li>Chartered Alternative Investment Analyst</li> <li>Chartered Institute of Securities and Investment</li> <li>Certified Public Accountant/Association of Chartered Certified Accountants</li> <li>Capital Markets and Financial Services (CMFAS) Exam Module 6 AND Module 7 (or Module 6A)</li> <li>Financial Risk Manager</li> </ul>
	Type of Qualification: Name of Institution : Year of Graduation:	Type of Qualification: Year of Certification:
6. Work Experience	Do you have a minimum of 3 consecutive years of relevant (support functions that are administration or clerical in nature w ☐ Yes ☐ No	t working experience in the past 10 years, in the following area(s): vill not considered as relevant experience)
	<ul> <li>Sale / Trading of investment products</li> <li>Provision of training in investment products</li> </ul>	<ul> <li>Research on or analysis of investment products</li> <li>Work experience in accountancy, actuarial science, treasury and/or financial risk management activities</li> <li>Provision of legal advice in any of the listed areas</li> </ul>
7. Investment Experience	Have you traded a minimum of 6 transactions in Liste SIPs in the preceding 3 years? Yes No Examples of Listed SIPs*: - Certificates - Exchange Traded Funds /Notes - Futures - Structured Warrants or Options Type of Products: Month / Year of Transaction: * may vary from time to time according to MAS rules are regulations	the preceding 3 years?         Yes         No         Examples of Unlisted SIPs*:         - Leverage Foreign Exchange         OTC Products         Type of Products:         Month / Year of Transaction:
	•	
8. Investment Education	(a) Have you personally undergone and completed the SG         eTutorial       and       passed       the       online       quiz         https://onlineeducation.sgx.com/specifiedinvestmentproducts/	

AS	SESSMENT RESULT	
Ba	sed on the above assessment, I understand that I :	
CA	R for Listed SIPs	CKA for Unlisted SIPs
	possess the relevant knowledge or experience for Listed SIPs, as one or	possess the relevant knowledge or experience in Unlisted SIPs,
ma	pre of my answers to the above (other than Q4(b)) is Yes, and my answer to	as one or more of my answers to the above (other than Q4(b)) is Yes,
Q4	(b) is No. You will contact me if you need more information from me.	and my answer to Q4(b) is No. You will contact me if you need more information from me.
	do not possess the relevant knowledge or experience in Listed SIPs. I will	
no	t be able to continue to trade in Listed SIPs.	do not possess the relevant knowledge or experience in Unlisted
		SIPs. I will not be able to continue to trade in Unlisted SIPs.
Pla	ease complete the Online Education programme of the SGX (mentioned in	
		If you still wish to trade in Unlisted CIDs, you can take the caline a
	(a)) and pass the online quiz or submit the ' <b>Customer's Acknowledgment</b>	If you still wish to trade in Unlisted SIPs, you can take the online e-
TO	CAR' if you still intend to trade in Listed SIPs	module course offered by ABS-SAS and be eligible to trade once you
		have passed.
	CKA/CAR ASSESSMENT DECLARATION (Continued)	
IV		I have not omitted nor misrepresented any material and/or relevant facts complete information provided by me may affect the outcome of the CAR
	and mormation. I understand and acknowledge that any maccurate of in and/or CKA.	
١		npany to assess whether I have the relevant knowledge or experience to
	trade Listed SIPs and/or Unlisted SIPs, and I acknowledge the assessme	nt result as shown in the above.
v	5	ly aware that I can, at any time, request for advice concerning Listed SIPs
20	and/or Unlisted SIPs for a fee.	
	CLIENT PROFILE DECLARATION (Continued) aration	
	by agree, declare and warrant as follows:	
		immediately and agree to provide supporting documents to the Company
	for verification purpose, upon request if the information provided herein,	or otherwise in connection with the above declaration, is no longer true,
	correct or accurate in any respect in relation to all accounts I maintain with	
vi	<b>o</b>	ave formally notified you otherwise in writing, I understand that I am not
		to my knowledge or experience in Specified Investment Products (SIPs) for myself of any and all investment products, advice or recommendations
	and that I am solely liable for all financial and other consequences ensuin	
vii		ain provisions of the SFA and the Financial Advisers Act ("FAA"), including
	without limitation the following sections of the FAA under their correspond	ing exemptions:
	Section 25 of the FAA -Disclosure of product information, exempted	under Regulation 33 of the FAR
	<ul> <li>Section 27 of the FAA - Reasonable basis for making investment, re</li> </ul>	
	Section 36 of the FAA - Disclosure of certain interests in securities, e	
Ď		g and legally effective on/in relation to me in all respects in relation to all
>	accounts I now or hereafter shall open/maintain with you.	directors, shareholders, officers and employees) for any loss, claim or
,	expenses (including legal costs) it sustains as a result of relying on the inf	

Kindly ensure that all necessary fields have been completed and that no changes are indicated where necessary. Kindly also attach the latest NRIC/Passport for both Joint Account holders along with this update of particulars form.

Signature of 1 <sup>st</sup> Account Holder:	Date:	FOR OFFICE USE ONLY Signature Witnessed / Verified by	
		Name & Signature	Date
Signature of 2 <sup>nd</sup> Account Holder:	Date:		

For clients with existing CDP Securities Account, it is mandatory to complete the SGX Update Particulars (Individual/Joint) form for updating of Name, Address, NRIC/Passport No., Nationality and/or PR Status with The Central Depository (Pte) Limited directly.