



## UPDATE OF ACCOUNT PARTICULARS (CORPORATE/OTHER ENTITES)

Please provide latest information for changes only

### 1. ACCOUNT INFORMATION

Name of Account Holder : \_\_\_\_\_

Company/Entity Registration No. : \_\_\_\_\_ Trading Account No(s) : \_\_\_\_\_

### 2. UPDATE OF ADDRESS

Registered Address

Principal Place of Business (if different from Registered Address)

\* Please provide a copy of the supporting document (less than 3 months old)

Mailing Address\* (If different from Registered Address/ Principal Place of Business)

\* Please provide a copy of the supporting document (less than 3 months old)

Reason for using the mailing address: \_\_\_\_\_

Relationship to the owner of the mailing address: \_\_\_\_\_

### 3. UPDATE OF CONTACT NUMBER(S) AND EMAIL ADDRESS

Office Telephone No.

Fax No.

Email Address

### 4. UPDATE OF ULTIMATE BENEFICIAL OWNER (Shareholding of 25% or more in the Company) (Supporting documents submitted must be certified true copy by KGI's Authorised Staff or an Executive Director of the Account Holder or a Notary Public)

I/ We hereby certify & confirm that the following individual(s) ultimately own(s) or has/ have effective control over the Account ("Beneficial Owners"). (Kindly attach list if necessary). \*Please provide supporting documents that reflect the latest shareholding structure, copies of the Identification documents and proof of address for the newly added Beneficial Owner(s) for verification purposes.

Full Name As Per NRIC/ Passport

Identification Number

Nationality

Shareholdings

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

### 5. UPDATE OF TAX RESIDENT INFORMATION

(1) Entity Type (Please provide the account holder's status by ticking one of the following boxes)

(a) Financial Institution - Investment Entity

An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution

Please indicate the name of all Controlling Person(s) of the account holder:

_____	_____	_____
_____	_____	_____

Each Controlling Person is required to complete "Controlling Person Tax Residency Self-Certification Form", which is available upon request.

Other Investment Entity

(b) Financial Institution - Depository Institution, Custodial Institution or Specified Insurance Company

If you have ticked (a) or (b) above, please provide, if held, the account holder's Global Intermediary Identification Number ("GIIN") obtained for FATCA purposes. GIIN: \_\_\_\_\_

(c) Active NFE - a corporation the stock of which is regularly traded on an Established Securities Market or a corporation which is a Related Entity of such a corporation

Please indicate the name of the Established Securities Market: \_\_\_\_\_

Please indicate the name of the regularly traded corporation if you are a Related Entity of such a corporation: \_\_\_\_\_

- (d) Active NFE - a government entity or central bank
- (e) Active NFE - an international organisation
- (f) Active NFE - other than (c)-(e) (for example a start-up NFE or a non-profit NFE)
- (g) Passive NFE

Please indicate the name of all Controlling Person(s) of the account holder:


Each Controlling Person is required to complete "Controlling Person Tax Residency Self-Certification Form", which is available upon request.

(2) Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number ("TIN")

Please complete the following table indicating (i) where the account holder is tax resident and (ii) the account holder's TIN for each country/jurisdiction indicated.

Please indicate **all** countries/jurisdictions (including Singapore and US, if applicable). If the account holder is not tax resident in any country/jurisdiction (e.g., because it is fiscally transparent), please indicate that on line 1 in the table below and provide its place of effective management or jurisdiction in which its principal office is located.

If the account holder is a tax resident of Singapore, the TIN is the Unique Entity Number ("UEN"), the Income Tax Reference Number ("ITR"), or the Tax Reference Number assigned by IRAS ("ASGD").

If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

**Reason A** - The country/jurisdiction where the account holder is resident does not issue TINs to its residents.

**Reason B** - The account holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason).

**Reason C** - No TIN is required. (Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such jurisdiction).

Country/Jurisdiction of tax residence	TIN	If no TIN available, enter Reason A, B or C	Explain why you are unable to obtain a TIN if you selected Reason B
1			
2			
3			
4			
5			

- If the entity is a US tax resident, please provide us with the completed and signed W-9 Form, which is available upon request or can be downloaded from the US Internal Revenue Service Forms: <https://www.irs.gov/forms-instruction>
- For more information, please visit the OECD or IRAS website: <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/> <https://www.iras.gov.sg/IRASHome/Quick-Links/International-Tax/Common-Reporting-Standard--CRS-/>
- Please note that it is an offense under applicable Singapore laws to knowingly provide false or misleading information regarding tax residency status and that such offense is punishable with a fine and/or imprisonment.

**6. UPDATE BOARD OF DIRECTORS/PRINCIPAL OFFICERS**

**Please tick accordingly:**

- Attached a copy of latest Information Print-Out issued by the Accounting and Corporate Regulatory Authority ("ACRA") and supporting documents\* (for Singapore Incorporated companies)
- Attached a copy of relevant document issued by or filed with the authority and supporting documents\* (for Foreign entities)
- Attached a copy of latest Certificate of Incumbency which is < 3 months old and supporting documents\*\* (for Tax Haven Incorporated Companies)

**Supporting documents refer to the identification documents of Directors, Company Secretary, Shareholders/Beneficial owners.**

\* Document to be certified true copy by KGI's Authorised Staff or an Executive Director of the Company or a Notary Public.

\*\* Document to be certified true copy by KGI's Authorised Staff or a Notary Public.

**7. OPTION FOR RECEIVING CONTRACT / STATEMENT (APPLICABLE FOR SECURITIES TRADING)**

Account statements and contract notes will be sent electronically to your email address maintained with KGI for all your accounts with online trading or with a valid email address.

- We would like to opt-out of eStatements and receive paper-based statements and contract notes via mail.

**8. UPDATE OF AUTHORISED PERSON(S) TO ACT FOR THE COMPANY**

CERTIFIED TRUE EXTRACT OF RESOLUTIONS

We, the undersigned, hereby certify that in respect of the Account Holder specified herein (the "Account Holder"), the following Resolutions dated \_\_\_\_\_ have been duly passed by:-

- (For corporation) the Board of Directors of the corporation in accordance with its memorandum and articles of association/charter/constitution.
- (For partnership, limited partnership ("LP") or limited liability partnership ("LLP")) the partners of the partnership/LP/LLP in accordance with its partnership/LP/LLP agreement.
- (For other entity \_\_\_\_\_ (please specify) the \_\_\_\_\_ (insert name of its management body) in accordance with \_\_\_\_\_ (insert name of its constitution).

**RESOLVED:** (please cross off those sections that require no update)

That any \* one / two of the following persons be and are hereby authorised to sign all other relevant agreement, terms and conditions and documents relating to the opening and maintenance of the Account(s) for and on behalf of the Applicant. **\*Please provide identification copy (ies) of newly added authorised persons for verification purposes.**

Name	NRIC/Passport No.	Designation	Residential Address	Tel. No.	Email Address	Signature

That any \* one / two of the following persons whose specimen signatures appear hereunder be and are hereby authorised to execute all documentation necessary to effect transfers and assignments and to give instructions on any and all matters relating to the settlement in connection with the Account(s). **\*Please provide identification copy (ies) of newly added authorised persons for verification purposes.**

Name	NRIC/Passport No.	Designation	Residential Address	Tel. No.	Email Address	Signature

That each of the following persons be and is hereby empowered to give instruction on any and all matters relating to trading in connection with the Account(s) on behalf of the Account Holder. ***\*Please provide identification copy (ies) of newly added authorised persons for verification purposes.***

Name	NRIC/Passport No	Tel. No.	Email Address	Signature

That each of the following persons be and is hereby authorised to receive all statements (in physical or electronic form) and/or notes in connection with the Account(s).

Name	Designation	Tel. No.	Email Address

[below is applicable for online trading only]

That each of the following persons be and is hereby empowered to receive the passwords, personal identification numbers or access numbers or codes and to perform online trading on behalf of the Account Holder.

Name	NRIC/Passport No.	Designation	Tel. No.	Email Address

We confirm that we shall ensure that there is no unauthorized use of the passwords, personal identification numbers or access numbers or codes and we will be fully responsible for all trades executed.

That a certified copy of this resolution be communicated to KGI and shall remain in full force until an amendment thereof is received by KGI in writing.  
CERTIFIED TRUE COPY

(affix company stamp, if any)

\_\_\_\_\_  
Chairman / Director\*  
Name:

\_\_\_\_\_  
Director / Secretary\*  
Name:

\_\_\_\_\_  
Date

*\*Delete as necessary*

**9. DECLARATION AND AGREEMENT**

- (i) We have the authority to make this update and we hereby authorize and consent to the update of the above information to all of our trading accounts ("Account") maintained with KGI.
- (ii) We declare that the information provided herein (including all supporting documentation furnished in connection herewith) is true, complete and correct and we have not withheld any material fact.
- (iii) We hereby represent and warrant that we have provided all documentation or other information to KGI for compliance with all requirements under Foreign Account Tax Compliance Act ("FATCA"), Common Reporting Standard ("CRS"), local legislation in connection with FATCA and CRS, and any other provision arising out of an agreement between governments in relation to FATCA and CRS.
- (iv) We undertake to notify KGI in writing within thirty (30) days of any change of the information herein (including our tax status) and provide KGI with such information, documents or other evidence which may be required in connection with such change.
- (v) We agree that the Account shall be governed by the KGI terms & conditions for trading ("T&C") as may be varied/amended or supplemented from time to time. We acknowledge that the prevailing KGI T&C is available on [www.kgiworld.sg](http://www.kgiworld.sg) and that a printed copy of the KGI T&C is available upon request.

\_\_\_\_\_  
Authorised Signatory

Name:

Designation:

Date: \_\_\_\_\_

\_\_\_\_\_  
Authorised Signatory

Name:

Designation:

Date: \_\_\_\_\_

Company's stamp:

\_\_\_\_\_

FOR OFFICE USE ONLY  
Signature Witnessed / Verified by

\_\_\_\_\_  
Name & Signature

\_\_\_\_\_  
Date