

Opening of Personal or Related Trading Accounts * by an Appointed Trader (AT) of other client(s) of KGI Securities (Singapore) Pte. Ltd.

(Related Trading Accounts include any individual (eg. Spouse or family members,) or corporate or firm entity account in which the AT has a beneficial interest or control over the operation or management of the account).*

The following Terms and Conditions shall apply to all Personal and Related Trading Accounts opened by the Appointed Trader (AT) with KGI Securities (Singapore) Pte. Ltd. (the "Company").

1. *The AT shall not cross any trades between AT's Personal/Related Trading Accounts and any other client account in the Company that is controlled and operated by the AT;*
2. *No inter-account transfer of any executed trades is allowed from the AT's Personal/Related Trading Accounts to the client account (mentioned in (1) above) and vice versa;*
3. *Where the AT places orders to the Company's execution desk, the AT shall clearly state the name and number of the account that the orders are to be executed for before any orders can be executed;*
4. *If the AT breaches any of the abovementioned conditions, the Company reserves the right to take actions which include –*
 - (a) stopping any further trading by the AT in the AT's Personal/Related Trading Accounts and any other client accounts in the Company that are operated or managed by the AT;*
 - (b) reversing any open positions that were transferred by the AT from his Personal/Related Trading Accounts to the client account and vice versa;*
 - (c) imposing cash adjustment from the AT's Personal/Related Trading Accounts to the client account whose open positions were closed-out as a result of transfer carried out by the AT;*
 - (d) retaining and forfeiting any profits as a result of transfer or crossing of trades carried out by the AT which squared off the open positions in the AT's Personal/Related Trading Accounts;*
 - (e) closing the AT's Personal/Related Trading Accounts;*
 - (f) terminating the AT arrangement with other client accounts in the Company;*
 - (g) revealing any information about any orders or transactions carried out by the AT which is against the abovementioned terms and conditions to the client account holder; and*
 - (h) taking any other actions that the Company consider necessary or appropriate.*



5. *Any losses incurred in the liquidation of the related contracts shall be fully borne by the AT and profits (if any) shall be forfeited by the Company and the AT shall pay an administrative cost to the Company as may be charged by the Company;*
6. *The Company reserves the right to impose any other terms and conditions as and when the Company deems fit; and*
7. *The AT hereby indemnifies the Company and all its officers from and against any and all claims, demands, actions, suits, losses, costs, charges, expenses, damages and liabilities whatsoever which the Company may pay, sustain, suffer or incur by reason of or in connection with the POA's breach of the abovementioned conditions and any actions taken by the Company due to such breach, including without limiting the generality of the foregoing, all costs and expenses (including legal expenses) incurred in connection with any such loss or damage.*

ACKNOWLEDGEMENT AND ACCEPTANCE OF TERMS AND CONDITIONS

I, _____ (name of AT) of -

Item	Name of AT's Personal/Related Trading Accounts	Number of AT's Personal/Related Trading Accounts

and the AT of the following customer accounts in the Company –

Item	Customer account name	Customer account number

hereby fully agree and accept the Terms and Conditions (abovementioned paragraph 1-7) and shall abide by any other terms and conditions as may be imposed by the Company from time to time.

Name: _____

Signature: _____

Date: _____