

AUTHORIZED INTER DEALER BROKER APPLICATION 授权交易商经纪申请表

*note: This form would be applicable to customers who wish to apply for over-the-counter (OTC) Clearing Services.
 *注: 本节适用于有意申请场外(OTC) 结算服务的客户。

Individual Account 我的个人账户

Trading Account No. 交易账户数

Full Name: (Dr/Mr/Mrs/Mdm/Ms) (as in NRIC/Passport) 英文全名: (博士/先生/夫人/女士/小姐)(与身份证/护照上的姓名一致)

NRIC/ Passport No. 身份证/ 护照号码

Date of Birth 出生日期

Joint Account 联合账户

Full Name: (Dr/Mr/Mrs/Mdm/Ms) (as in NRIC/Passport) 英文全名: (博士/先生/夫人/女士/小姐)(与身份证/护照上的姓名一致)

NRIC/ Passport No. 身份证/ 护照号码

Date of Birth 出生日期

Provision of Clearing Services for OTC Contracts on the Relevant Clearing Houses, including SGX-DC, where applicable
新加坡交易所衍生品结算所(SGX-DC)等相关结算所提供的场外合约结算服务

Please read the "Terms and Conditions for Provision of Clearing Services for OTC Contracts" as set out in Clause B6 of KGI Securities (Singapore) PTE. LTD. (KGI Securities (Singapore)) Customer Trading Agreement, and complete the application below.
 请阅读本附录中的《场外(OTC) 合约结算服务条款及条件》，并填写下列申请表。

Application 申请表

In consideration of KGI Securities (Singapore) agreeing at its sole and absolute discretion, from time to time, to permit the Customer to effect registration of the Customer's OTC transactions for clearing in accordance with the rules and regulations prescribed by the relevant exchanges and clearing houses through the following IDB registered with the relevant clearing houses and/or exchanges –
 鉴于KGI Securities (Singapore) 全权酌情决定允许本文件签署人(“客户”)通过下列在相关结算所和/或交易所注册的交易商经纪(IDB)，按照交易所和结算所拟定的规章及规定完成场外(OTC)交易的登记结算:

- | | |
|----------|-----------|
| 1. _____ | 2. _____ |
| 3. _____ | 4. _____ |
| 5. _____ | 6. _____ |
| 7. _____ | 8. _____ |
| 9. _____ | 10. _____ |

The Customer hereby declares, warrants and agrees:-
 客户特此声明、保证并同意:

- (a) that all information submitted in connection with this application is true and accurate in all aspects;
 所提供的与本申请表有关的一切信息均正确属实;
- (b) that the Customer has read and understood, and agrees to the Terms and Conditions for Provision of OTC Clearing Services for OTC Contracts set out in Clause B6 of KGI Securities (Singapore) Customer Trading Agreement;
 客户已阅读、理解并同意本附录中的《场外合约结算服务条款及条件》;
- (c) that the Customer acknowledges and agrees to the Terms and Conditions for Provision of Clearing Services for OTC Contracts set out in Clause B6 of the KGI Securities (Singapore) Customer Trading Agreement, as if the transactions to be covered under such agreement were also to include KGI Securities (Singapore) providing the Customer clearing services for OTC transactions as a clearing member and/or through a third party clearing member and not merely acting as a broker and/or clearing agent for the Customer with respect to transactions or other trading services; and
 客户认可并同意本附录中的《场外合约结算服务条款及条件》属于《KGI Securities (Singapore) 客户交易协议》的一部分, 如同《客户交易协议》所涵盖的交易也包括 KGI Securities (Singapore) 作为结算成员和/或通过第三方结算成员向客户提供场外交易结算服务, 而非仅就相关交易或其他服务担任客户的经纪和/或结算代理人; 和
- (d) that KGI Securities (Singapore) shall submit to the Clearing House the name of each Authorised IDB or such other party and to consent to KGI Securities (Singapore) before allowing the Authorised IDB or such other party to register the Customer's OTC transaction through the applicable trade registration system of the relevant exchanges and/or clearing houses.
 如果客户使用交易商经纪, 客户明确同意 KGI Securities (Singapore) 披露该交易商经纪的名称等信息, 以授权交易商经纪通过相关交易所和/或结算所的交易登记系统进行场外交易的登记。

 Name of Customer 客户姓名

 Date 日期

 Signature of Customer 客户签名

 Name of Joint Account Holder
 联名账户持有人姓名

 Date 日期

 Signature of Joint Account Holder
 联名账户持有人签名